

10. Practice, Nov. 29.

1. Relativistic Landau niveaus

The Dirac equation for a charged particle in uniform magnetic field can be written as

$$\begin{pmatrix} mc^2 - E & c\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A}) \\ c\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A}) & -(mc^2 + E) \end{pmatrix} \begin{pmatrix} \varphi_l \\ \varphi_s \end{pmatrix} = 0,$$

where φ_l and φ_s are two-component spinors termed, which in the case of $E \geq mc^2$ are called the large and small components of the wavefunction, respectively, $\boldsymbol{\sigma}$ is the vector of the Pauli matrices. Let us consider the case. The small component can be expressed from the second line of Dirac equation,

$$\varphi_s = \frac{c\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A})}{mc^2 + E} \varphi_l,$$

and substituting it into the first line of the Dirac equation we get

$$(m^2c^4 - E^2 + c^2(\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A}))^2)\varphi_l = 0.$$

Let us examine the last term of the previous equation:

$$\begin{aligned} (\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A}))^2 &= \sigma_i\sigma_j(p_i - qA_i)(p_j - qA_j) = \delta_{ij}\mathbf{1}(p_i - qA_i)(p_j - qA_j) + i\epsilon_{ijk}\sigma_k(p_i - qA_i)(p_j - qA_j) \\ &= (\mathbf{p} - q\mathbf{A})^2 + i\epsilon_{ijk}\sigma_k(p_i p_j + q^2 A_i A_j - q(p_i A_j + A_i p_j)), \end{aligned}$$

$$i\epsilon_{ijk}\sigma_k(p_i p_j + q^2 A_i A_j - q(p_i A_j + A_i p_j)) = i\epsilon_{ijk}\sigma_k(p_i p_j + q^2 A_i A_j - q(A_j p_i + [p_i, A_j] + A_i p_j))$$

Since ϵ_{ijk} is antisymmetric and $p_i p_j + q^2 A_i A_j - q(A_j p_i + A_i p_j)$ is symmetric their product will disappear. The only term which survives the summation is

$$i\epsilon_{ijk}\sigma_k q[p_i, A_j] = \hbar q \epsilon_{ijk} \sigma_k \frac{\partial A_j}{\partial r_i} = -\hbar q \boldsymbol{\sigma} \text{rot} \mathbf{A} = -\hbar q \boldsymbol{\sigma} \mathbf{B}$$

↓

$$(\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A}))^2 = (\mathbf{p} - q\mathbf{A})^2 - \hbar q \boldsymbol{\sigma} \mathbf{B}.$$

Therefore, the Dirac equation for the large component is given by

$$(m^2c^4 - E^2 + c^2(\mathbf{p} - q\mathbf{A})^2 - \hbar c^2 q \boldsymbol{\sigma} \mathbf{B})\varphi_l = 0. \quad (1)$$

In case of $\mathbf{B} \parallel \mathbf{z}$, The solutions can be searched in the form,

$$\varphi_{n+} = \varphi_n \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \varphi_{n-} = \varphi_n \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

where φ_n is eigenstate of the nonrelativistic Hamiltonian,

$$\frac{1}{2m} (\mathbf{p} - q\mathbf{A})^2 \varphi_n = \varepsilon_n \varphi_n.$$

As we learned, the energy eigenvalues correspond to the Landau levels, $\varepsilon_n = \hbar\omega_L (n + \frac{1}{2})$, where $\omega_L = \frac{q|B|}{m}$. The energy can be obtained from Eq. (1) as,

$$E_{n\pm} = \sqrt{m^2c^4 + 2mc^2\hbar\omega_L(n + \frac{1}{2})} \mp \hbar mc^2 \omega_L = mc^2 \sqrt{1 + \frac{2\hbar\omega_L}{mc^2} (n + \frac{1}{2})} \mp \frac{\hbar\omega_L}{mc^2}. \quad (2)$$

Expanding Eq. (2) to first order we obtain the well-known nonrelativistic limit,

$$E_{n\pm} = mc^2 + \hbar\omega_L \left(n + \frac{1}{2} \right) \mp \frac{\hbar\omega_L}{2},$$

We further have to take care of the normalization of the bi-spinors,

$$\begin{aligned} \langle \psi_{n\sigma} | \psi_{n\sigma} \rangle &= 1 + \langle \varphi_{n\sigma} | \frac{(c\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A}))^2}{(mc^2 + E_{n\sigma})^2} | \varphi_{n\sigma} \rangle = 1 + \langle \varphi_{n\sigma} | c^2 \frac{(\mathbf{p} - q\mathbf{A})^2 - \hbar q\boldsymbol{\sigma}\mathbf{B}}{(mc^2 + E_{n\sigma})^2} | \varphi_{n\sigma} \rangle \\ &= 1 + \frac{2mc^2\hbar\omega_L(n + \frac{1}{2}) - \sigma mc^2\hbar\omega_L}{(mc^2 + E_{n\sigma})^2}, \quad (\sigma = \pm) \end{aligned}$$

So when φ_n is the eigenfunction of the Harmonic oscillator with energy $E_n = \hbar\omega_L(n + \frac{1}{2})$, the properly normalized bi-spinor wavefunction is given by

$$|\psi_{n\sigma}\rangle = \begin{pmatrix} \varphi_l \\ \varphi_s \end{pmatrix} = \frac{1}{\sqrt{1 + \frac{2mc^2\hbar\omega_L(n + \frac{1}{2}) - \sigma mc^2\hbar\omega_L}{(mc^2 + E_{n\sigma})^2}}} \begin{pmatrix} \varphi_{n\sigma} \\ \frac{c\boldsymbol{\sigma}(\mathbf{p} - q\mathbf{A})}{mc^2 + E_{n\sigma}} \varphi_{n,\sigma} \end{pmatrix}. \quad (3)$$

2. Spatial rotation and the total angular momentum operator

As we have seen at the lecture class, the transformation of the wavefunction under a spatial rotation $R(\varphi, \vec{n})$ is given by

$$\psi'(R(\varphi, \vec{n}) \vec{r}, t) = \exp\left(-\frac{i}{\hbar} \vec{n} \vec{S} \varphi\right) \psi(\vec{r}, t). \quad (4)$$

This can be rewritten in terms of the inversely rotated spacelike coordinates,

$$\psi'(\vec{r}, t) = \exp\left(-\frac{i}{\hbar} \vec{n} \vec{S} \varphi\right) \psi(R(-\varphi, \vec{n}) \vec{r}, t). \quad (5)$$

Let us express $\psi(R(-\varphi, \vec{n}) \vec{r}, t)$ for an infinitesimal rotation:

$$R(-\varphi, \vec{n}) \vec{r} = (\vec{r} \cdot \vec{n}) \vec{n} + (\vec{r} - (\vec{r} \cdot \vec{n}) \vec{n}) \cos \varphi - (\vec{n} \times \vec{r}) \sin \varphi \approx \vec{r} - (\vec{n} \times \vec{r}) \varphi$$

↓

$$\psi(R(-\varphi, \vec{n}) \vec{r}, t) = \psi(\vec{r} - (\vec{n} \times \vec{r}) \varphi, t) \quad (6)$$

$$\begin{aligned} &\simeq \psi(\vec{r}, t) - \varphi (\vec{n} \times \vec{r}) \cdot \vec{\nabla} \psi(\vec{r}, t) \\ &= \psi(\vec{r}, t) - \varphi \vec{n} \cdot (\vec{r} \times \vec{\nabla}) \psi(\vec{r}, t) \\ &= \psi(\vec{r}, t) - \frac{i}{\hbar} \varphi \vec{n} \cdot (\vec{r} \times \vec{p}) \psi(\vec{r}, t) \\ &= \left[I - \frac{i}{\hbar} \varphi \vec{n} \cdot \vec{L} \right] \psi(\vec{r}, t), \end{aligned} \quad (7)$$

consequently, for finite rotations,

$$\psi(R(-\varphi, \vec{n}) \vec{r}, t) = \exp\left(-\frac{i}{\hbar} \vec{n} \vec{L} \varphi\right) \psi(\vec{r}, t). \quad (8)$$

The complete transformation of the four component wavefunction under spatial rotations, $\psi(\vec{r}, t) \rightarrow \psi'(\vec{r}, t)$, can then be written as,

$$\begin{aligned} \psi'(\vec{r}, t) &= \exp\left(-\frac{i}{\hbar} \vec{n} \vec{S} \varphi\right) \psi(R(-\varphi, \vec{n}) \vec{r}, t) = \exp\left(-\frac{i}{\hbar} \vec{n} \vec{S} \varphi\right) \exp\left(-\frac{i}{\hbar} \vec{n} \vec{L} \varphi\right) \psi(\vec{r}, t) \\ &= \exp\left(-\frac{i}{\hbar} \vec{n} \vec{J} \varphi\right) \psi(\vec{r}, t), \end{aligned} \quad (9)$$

thus in relativistic quantum theory the infinitesimal generator of the spatial rotations is the *total angular momentum* operator,

$$\vec{J} = \vec{L} + \vec{S}.$$

3. **Klein paradox** Consider a free particle scattering on an infinitely wide potential well with height V_0 :

$$V(z) = \begin{cases} 0, & \text{if } z < 0 \\ V_0, & \text{if } z > 0 \end{cases},$$

where for convenience we consider the potential step along the z direction. Let us look for the solution of the scattering problem for wave functions of the form,

$$\psi \sim e^{i\frac{pz}{\hbar}} \begin{pmatrix} 1 \\ 0 \\ \frac{pc}{E+mc^2} \\ 0 \end{pmatrix}.$$

Let us consider $E > mc^2$. Then for $z < 0$ the scattering solution of the one-dimensional Dirac equation,

$$(c\alpha_3 p_z + \beta mc^2) \psi = E\psi,$$

is

$$\psi(z) = Ae^{i\frac{pz}{\hbar}} \begin{pmatrix} 1 \\ 0 \\ \frac{pc}{E+mc^2} \\ 0 \end{pmatrix} + Be^{-i\frac{pz}{\hbar}} \begin{pmatrix} 1 \\ 0 \\ \frac{-pc}{E+mc^2} \\ 0 \end{pmatrix},$$

with $E^2 = p^2 + m^2c^4$. For $z > 0$,

$$z > 0 : (c\alpha_3 p_z + \beta mc^2) \psi = (E - V_0) \psi,$$

$$\psi(z) = Ce^{i\frac{p'z}{\hbar}} \begin{pmatrix} 1 \\ 0 \\ \frac{p'c}{E-V_0+mc^2} \\ 0 \end{pmatrix},$$

with $(E - V_0)^2 = (p')^2 + m^2c^4$. Note that for $E - mc^2 < V_0 < E + mc^2$ p' is purely imaginary.

At $z = 0$ the continuity of the bi-spinor wavefunction yields

$$A + B = C$$

$$\frac{pc}{E + mc^2}A - \frac{pc}{E + mc^2}B = \frac{p'c}{E - V_0 + mc^2}C$$

↓

$$A - B = -\frac{p'}{p} \frac{E + mc^2}{V_0 - E - mc^2} C \equiv -rC$$

↓

$$A = \frac{1 - r}{2} C$$

$$B = \frac{1 + r}{2} C$$

↓

$$\frac{B}{A} = \frac{1+r}{1-r}$$

$$\frac{C}{A} = \frac{2}{1-r}.$$

Exploiting the standard representation of $\alpha_3 = \begin{bmatrix} 0 & \sigma_3 \\ \sigma_3 & 0 \end{bmatrix} \equiv \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{bmatrix}$ we obtain for the incoming, reflected and transmitted current densities ($j = c\psi^\dagger \alpha_3 \psi$),

$$j_i = |A|^2 \frac{2pc^2}{E + mc^2}$$

$$j_r = |B|^2 \frac{-2pc^2}{E + mc^2}$$

$$j_t = |C|^2 \frac{2c^2 Imp'}{E - V_0 + mc^2},$$

respectively. From here one can easily get the reflection and transmission coefficients:

$$R = -\frac{j_r}{j_i} = -\frac{|B|^2}{|A|^2} = \frac{|1+r|^2}{|1-r|^2}$$

$$T = \frac{j_t}{j_i} = -\frac{|C|^2 Imp'}{|A|^2 p} \frac{E + mc^2}{V_0 - E - mc^2} = -\frac{4\text{Im } r}{|1-r|^2}.$$

So we get

$$j_i + j_r = j_i \left(1 - \frac{|1+r|^2}{|1-r|^2}\right) = j_i \frac{-4\text{Im } r}{|1-r|^2} = j_t$$

or

$$R + T = \frac{|1+r|^2}{|1-r|^2} - \frac{4\text{Im } r}{|1-r|^2} = 1$$

as required by the continuity equation!

Now for determining the possible values of r , R and T we rewrite r as follows:

$$r = \frac{p'}{p} \frac{E + mc^2}{V_0 - E - mc^2} = \sqrt{\frac{(V_0 - E)^2 - m^2 c^4}{E^2 - m^2 c^4}} \frac{E + mc^2}{V_0 - E - mc^2} = \pm \sqrt{\frac{[V_0 - (E - mc^2)](E + mc^2)}{[V_0 - (E + mc^2)](E - mc^2)}}$$

We can distinguish between the following cases :

$$V_0 = 0 \Rightarrow r = -1, R = 0, T = 1, \text{ no scattering occurs}$$

$$V_0 > E - mc^2 \Rightarrow -1 < r < 0, 0 < R < 1, 0 < T < 1, \text{ normal scattering}$$

$$V_0 = E - mc^2 \Rightarrow r = 0, R = 1, T = 0, \text{ total reflection}$$

$$E - mc^2 < V_0 < E + mc^2 \Rightarrow r = ir_0, r_0 > 0, R = 1, T = 0, \text{ total reflection}$$

$$V_0 = E + mc^2 \Rightarrow r = 0, R = 1, T = 0, \text{ total reflection}$$

$$V_0 > E + mc^2 \Rightarrow r > \sqrt{\frac{E + mc^2}{E - mc^2}}, R > 1, T < 0, \text{ high potential step}$$

$$V_0 \rightarrow \infty \Rightarrow r = \frac{E + mc^2}{E - mc^2}, R = \left(\frac{\sqrt{E + mc^2} + \sqrt{E - mc^2}}{\sqrt{E + mc^2} - \sqrt{E - mc^2}}\right)^2, T = -4 \frac{\sqrt{(E + mc^2)(E - mc^2)}}{(\sqrt{E + mc^2} - \sqrt{E - mc^2})^2}$$

For $E - mc^2 < V_0 < E + mc^2$ the wavefunction is exponentially decaying for $z > 0$, thus there is no transmitted current density. However, for $V_0 > E + mc^2$ we get $R > 1$ and $T < 0$. This can be interpreted as the potential creates electron-positron (particle-antiparticle) pairs: the excess reflection corresponds to electrons travelling back in the $-z$ direction, while $T < 0$ corresponds to positrons going to the $+z$ direction.

4. Homework: Spatial reflection