

**Nanotechnology and Material Science
Lecture XI.
Department of Physics, BME
2024.**

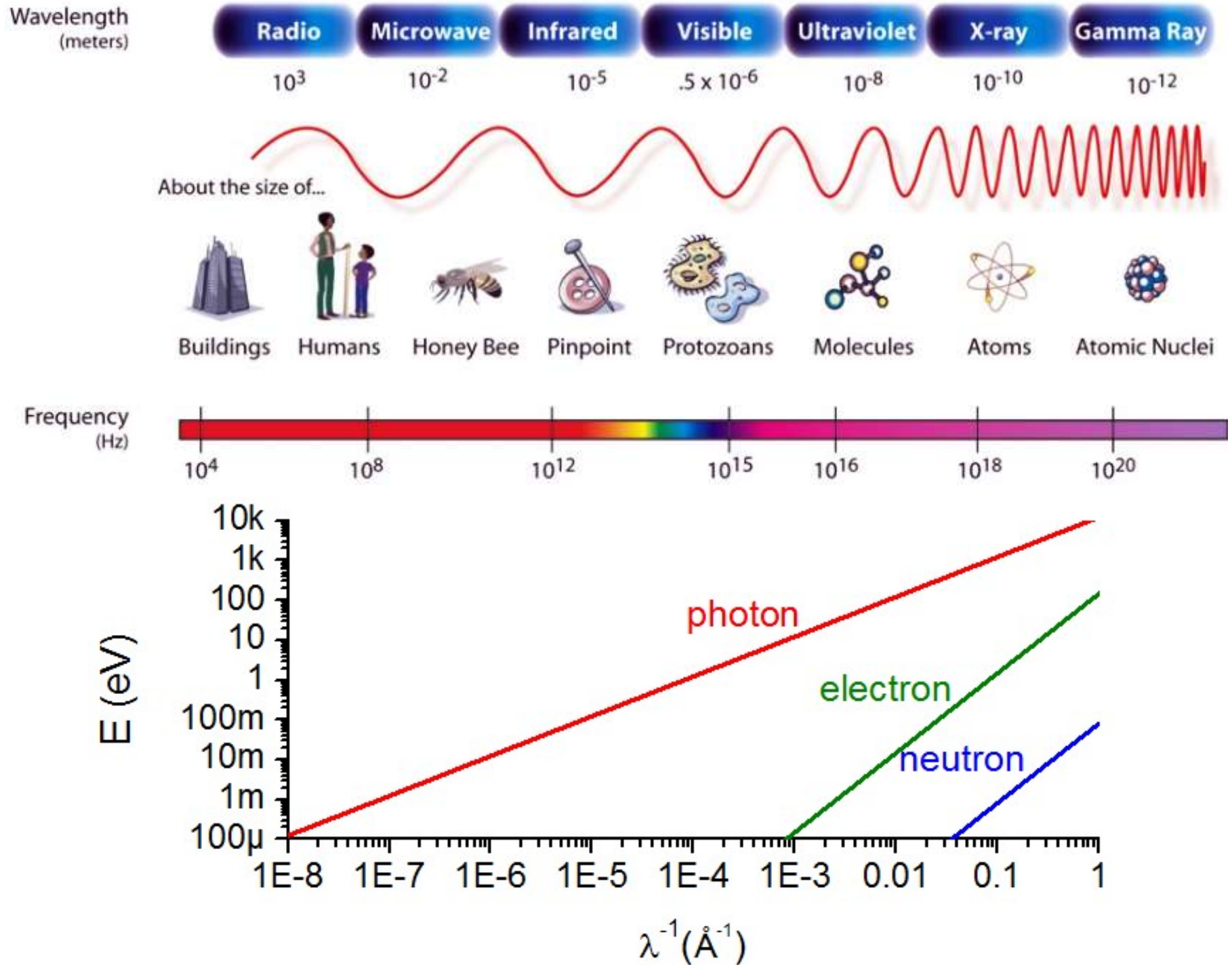
BMETE11MF58

Sándor Bordács

website: https://physics.bme.hu/BMETE11MF58_kov?language=en

Email: bordacs.sandor@ttk.bme.hu

Optical spectroscopy



Optical spectroscopy

Recommended literature

Tanner: Optical effects in solids (Library of the Physics Institute)

Dressel: Electrodynamics of solids

Atkins: Molecular quantum mechanics

Struve: Fundamentals of molecular spectroscopy

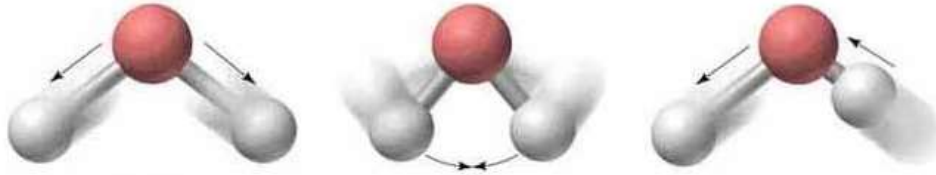
Kamarás: Bevezetés a modern optikába V. 11. fejezet

Sólyom: A modern szilárdtest-fizika alapjai I. 13. fejezet

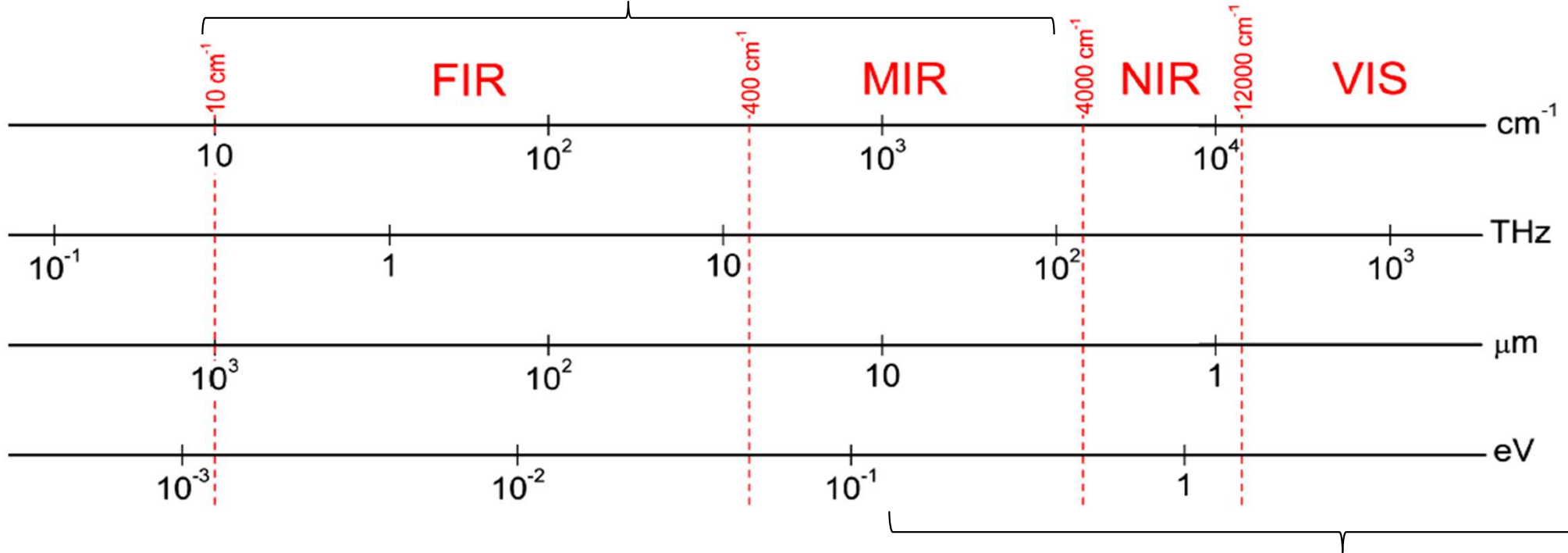
More details in the spring semester

Optikai spektroszkópia az anyagtudományban (BMETE11MF39)
(Optical Spectroscopy in Materials Science)

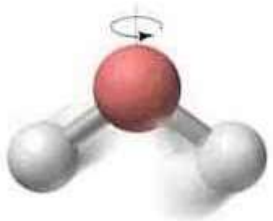
Optical spectroscopy



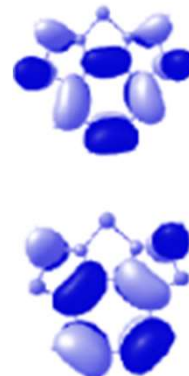
Vibrations of molecules and solids



Rotation of molecules



Electronic excitations between atomic and molecular orbitals, intraband excitations in solids



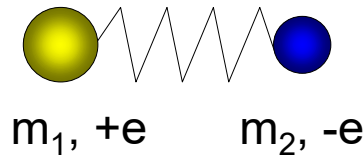
LUMO

HOMO

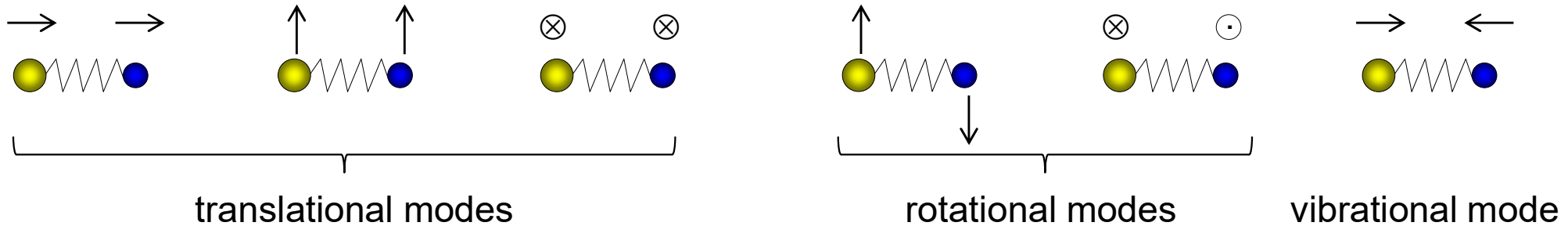


Optical spectroscopy: vibrational spectroscopy

A diatomic molecule:
(eg. HCl)



2×3 dimensional displacement field: $\{x_1, y_1, z_1, x_2, y_2, z_2\}$



Equation of motions:

$$\left. \begin{aligned} m_1 \frac{d^2 u_1}{dt^2} &= -D(u_1 - u_2) - \gamma m_1 \frac{du_1}{dt} + eE(t) \\ m_2 \frac{d^2 u_2}{dt^2} &= -D(u_2 - u_1) - \gamma m_2 \frac{du_2}{dt} - eE(t) \end{aligned} \right\}$$

Long wavelength approximation:

$$E(t) = E_\omega e^{i\omega t}$$

trial function:

$$u_2(t) - u_1(t) = \Delta u_\omega e^{i\omega t}$$

$$-\omega^2 \Delta u_\omega = -D \frac{m_1 + m_2}{m_1 m_2} \Delta u_\omega + i\gamma \omega \Delta u_\omega + \frac{m_1 + m_2}{m_1 m_2} eE_\omega$$


$$\mu = \frac{m_1 m_2}{m_1 + m_2}$$

$$\omega_0^2 = \frac{D}{\mu}$$

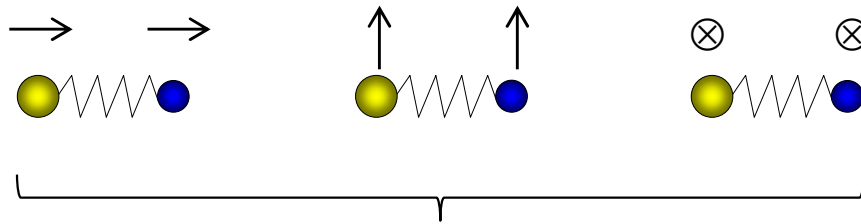
$$P_\omega = en \Delta u_\omega = \frac{ne^2}{\mu} \frac{1}{\omega_0^2 - \omega^2 - i\gamma\omega} E_\omega \quad \Omega_{pl}^2 = \frac{ne^2}{\epsilon_0 \mu}$$

$$\epsilon(\omega) = 1 + \chi(\omega) = 1 + \frac{\Omega_{pl}^2}{\omega_0^2 - \omega^2 - i\gamma\omega}$$

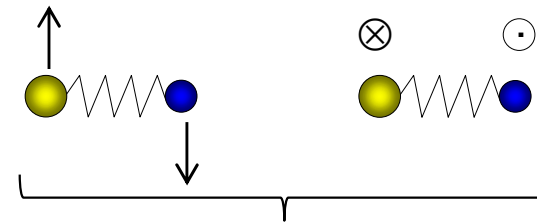
Optical spectroscopy: vibrational spectroscopy

A diatomic molecule:  (eg. HCl)
 $m_1, +e$ $m_2, -e$

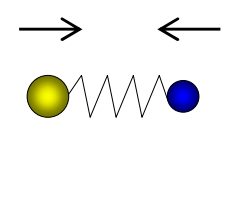
2×3 dimensional displacement field: $\{x_1, y_1, z_1, x_2, y_2, z_2\}$



translational modes



rotational modes



vibrational mode

$$\varepsilon(\omega) = \varepsilon_\infty + \chi(\omega) = \varepsilon_\infty + \frac{\Omega_{pl}^2}{\omega_0^2 - \omega^2 - i\gamma\omega}$$

Absorption coefficient:

$$E(r,t) = E_{q,\omega} e^{i(qr - \omega t)} = E_{q,\omega} e^{i\left[\frac{\omega}{c}\sqrt{\varepsilon}r - \omega t\right]} = E_{q,\omega} e^{i\left[\frac{\omega}{c}(n+ik)r - \omega t\right]}$$

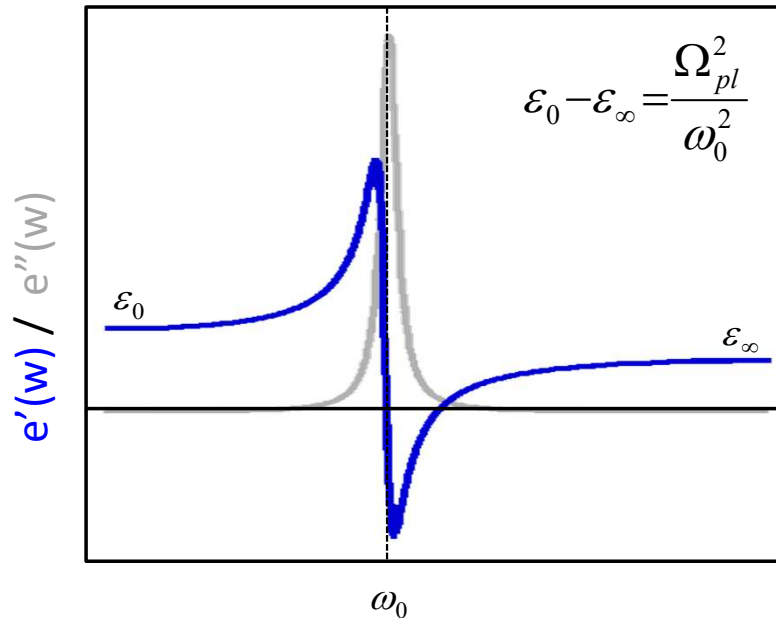
$$\sqrt{\varepsilon} = n + ik \Leftrightarrow \begin{cases} n^2 - k^2 = \varepsilon' \\ 2nk = \varepsilon'' \end{cases}$$

$$\overline{I(r)} = |E_{q,\omega}|^2 e^{-2k\frac{\omega}{c}r} \equiv I_0 e^{-\alpha r} \approx I_0 e^{-\sqrt{2\varepsilon''}\frac{\omega}{c}r}$$

Close to the resonance

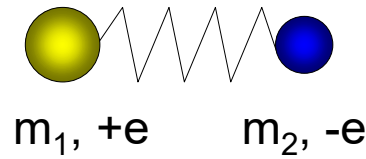
Reflectivity

$$R = \left| \frac{\sqrt{\varepsilon} - 1}{\sqrt{\varepsilon} + 1} \right|^2 \quad \varepsilon' = 0 \Rightarrow R = 1$$

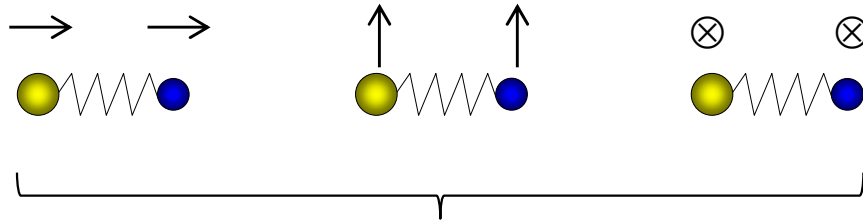


Optical spectroscopy: vibrational spectroscopy

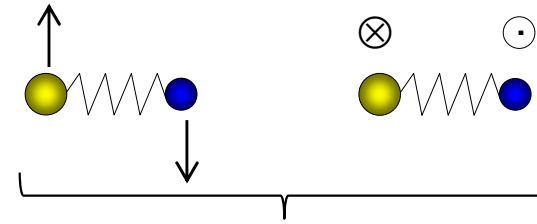
A diatomic molecule:
(eg. HCl)



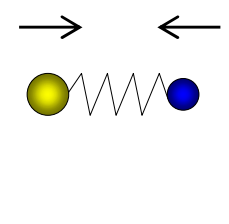
2×3 dimensional displacement field: $\{x_1, y_1, z_1, x_2, y_2, z_2\}$



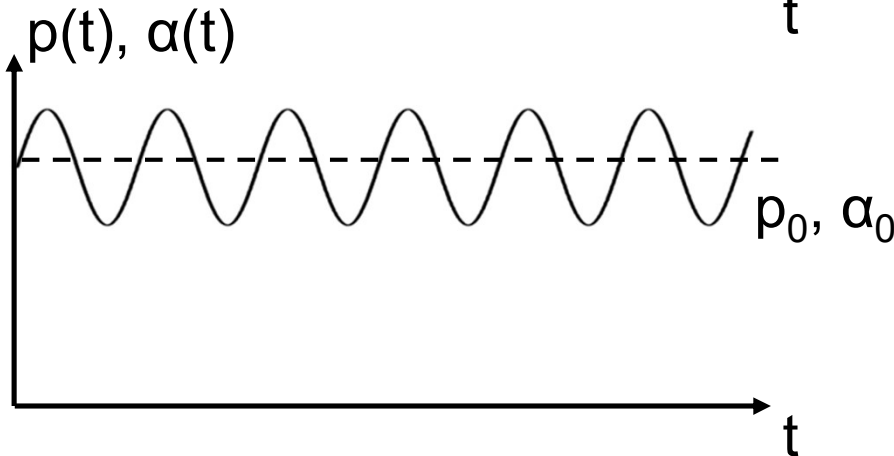
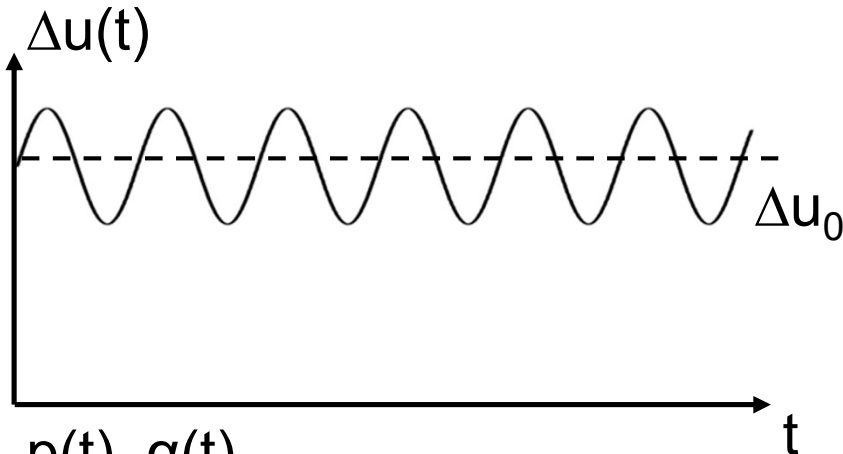
translational modes



rotational modes



vibrational mode



Oscillations of the polarizability (Raman scattering):

$$p(t) = \left(\alpha_0 + \frac{\partial \alpha}{\partial q} q + \dots \right) E_\omega \cos(\omega t)$$

$$p(t) \approx \left(\alpha_0 + \frac{\partial \alpha}{\partial \Delta u} \Delta u \cos(\omega_0 t) \right) E_\omega \cos(\omega t)$$

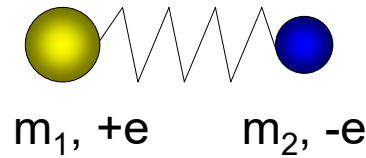
$$p(t) \approx \alpha_0 E_\omega \cos(\omega t) + \frac{\Delta \alpha E_\omega}{2} [\cos((\omega_0 + \omega)t) + \cos((\omega_0 - \omega)t)]$$

anti-Stokes

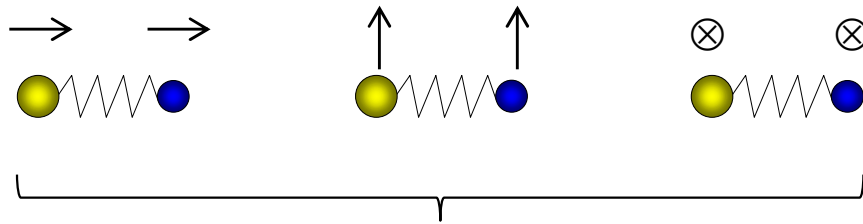
Stokes

Optical spectroscopy: vibrational spectroscopy

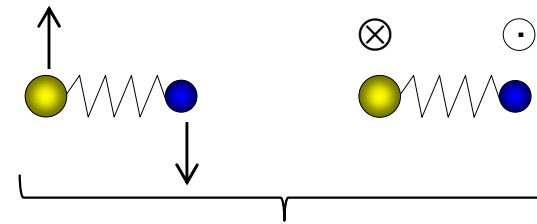
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(eg. HCl)



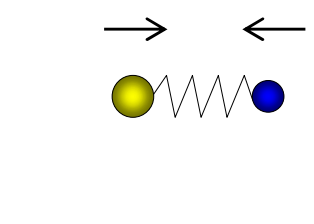
2×3 dimensional displacement field: $\{x_1, y_1, z_1, x_2, y_2, z_2\}$



translational modes

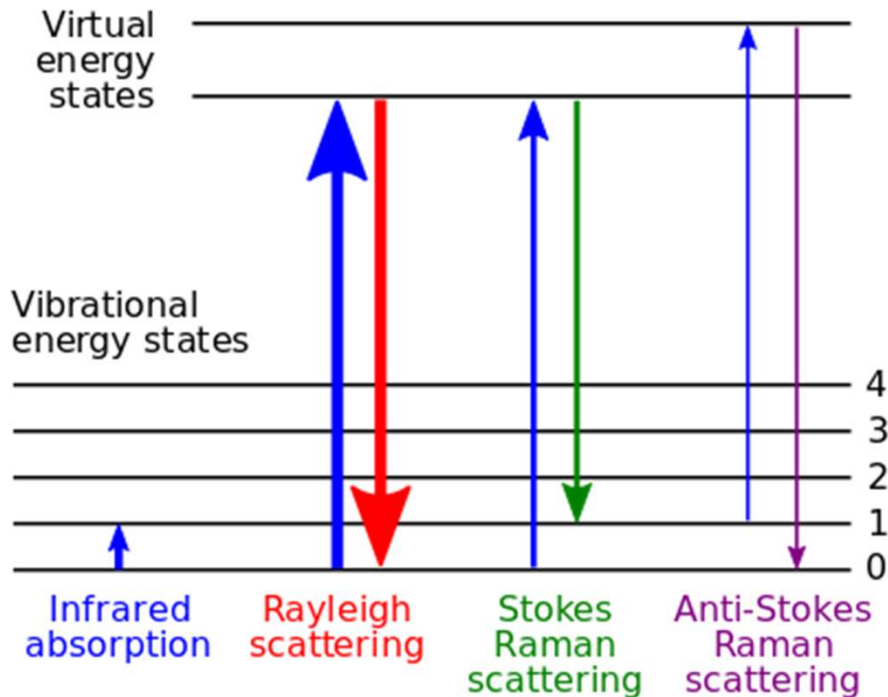


rotational modes



vibrational mode

Oscillations of the polarizability (Raman scattering):



$$p(t) = \left(\alpha_0 + \frac{\partial \alpha}{\partial q} q + \dots \right) E_\omega \cos(\omega t)$$

$$p(t) \approx \left(\alpha_0 + \frac{\partial \alpha}{\partial \Delta u} \Delta u \cos(\omega_0 t) \right) E_\omega \cos(\omega t)$$

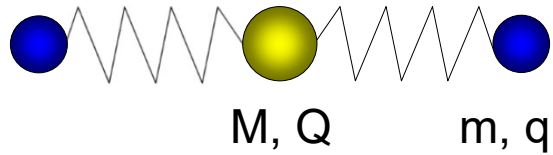
$$p(t) \approx \alpha_0 E_\omega \cos(\omega t) + \frac{\Delta \alpha E_\omega}{2} [\cos((\omega_0 + \omega)t) + \cos((\omega_0 - \omega)t)]$$

anti-Stokes

Stokes

Vibrational spectroscopy

3 atomic linear molecule,
1d displacement:
(eg. CO2)

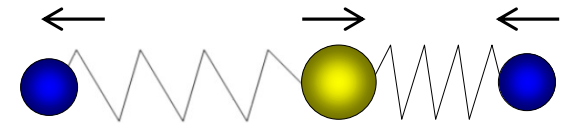
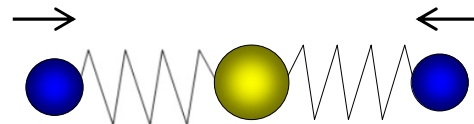
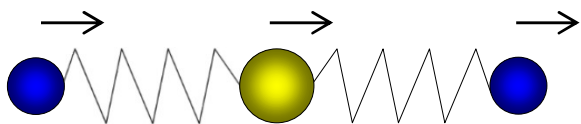


$$m \frac{d^2 x_{O1}}{dt^2} = -D(x_{O1} - x_C)$$

$$M \frac{d^2 x_C}{dt^2} = -D(x_C - x_{O1}) - D(x_C - x_{O2})$$

$$m \frac{d^2 x_{O2}}{dt^2} = -D(x_{O2} - x_C)$$

$$-\omega^2 \begin{bmatrix} m & 0 & 0 \\ 0 & M & 0 \\ 0 & 0 & m \end{bmatrix} \begin{bmatrix} x_{O1} \\ x_C \\ x_{O2} \end{bmatrix} = \begin{bmatrix} -D & D & 0 \\ D & -D & D \\ 0 & D & -D \end{bmatrix} \begin{bmatrix} x_{O1} \\ x_C \\ x_{O2} \end{bmatrix}$$



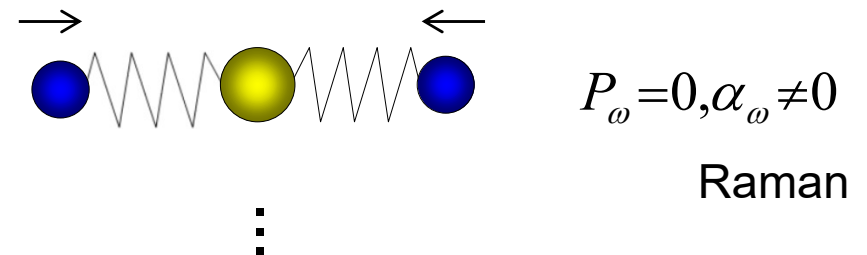
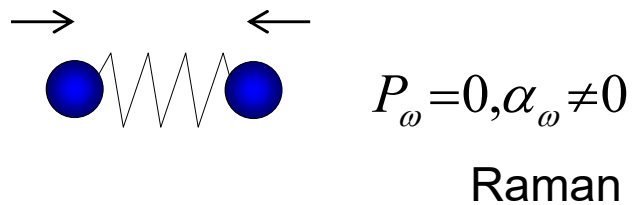
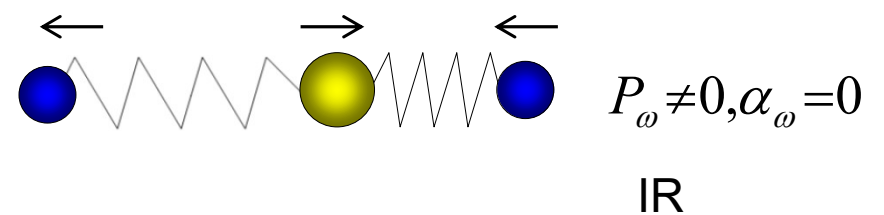
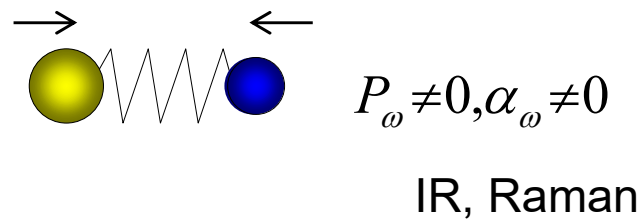
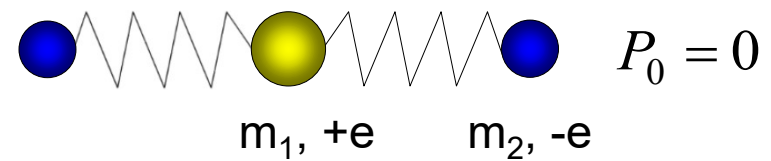
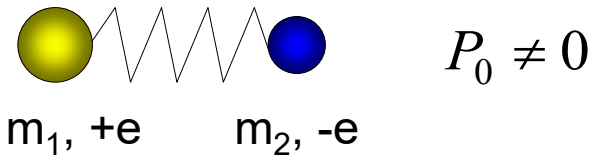
$$\omega = 0 \quad \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

$$\omega^2 = \frac{D}{m} \quad \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$$

$$\omega^2 = \frac{D}{m} + \frac{2D}{M} \quad \begin{bmatrix} 1 \\ \frac{2m}{M} \\ 1 \end{bmatrix}$$

Vibrational spectroscopy

Polarization/polarizability:



If the molecule has inversion symmetry, i.e. $[\hat{H}, i] = 0$, then the eigenfunctions of \hat{H} are either even or odd \rightarrow Vibrations are either Raman or IR active, respectively.

Vibrational spectroscopy

← → ↻ webbook.nist.gov/chemistry/

NIST National Institute of Standards and Technology
U.S. Department of Commerce

NIST Chemistry WebBook, SRD 69

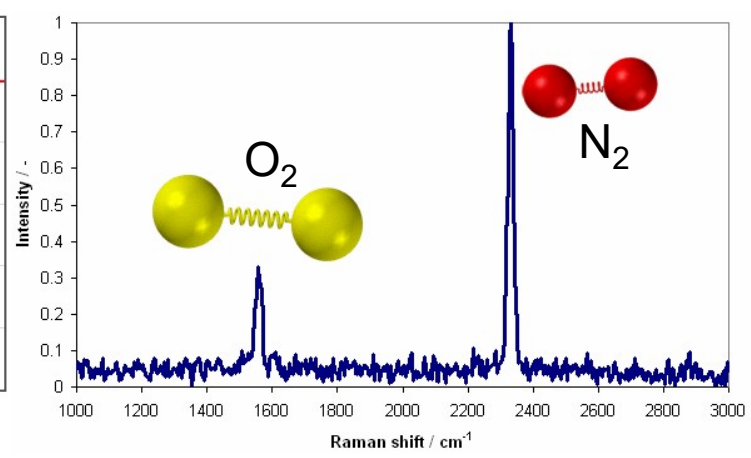
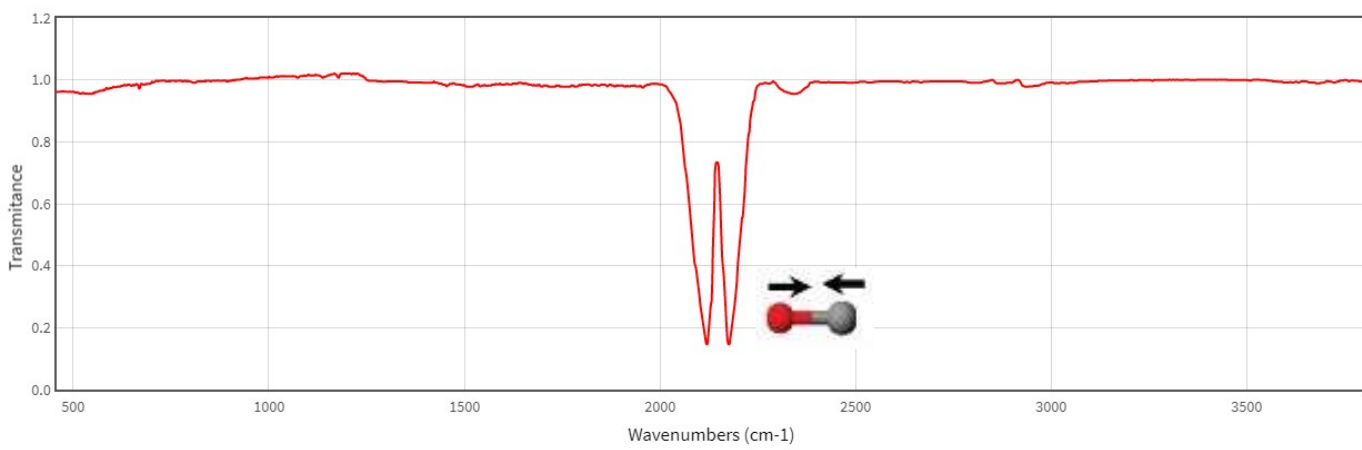
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NIST Chemistry WebBook

Carbon Monoxide

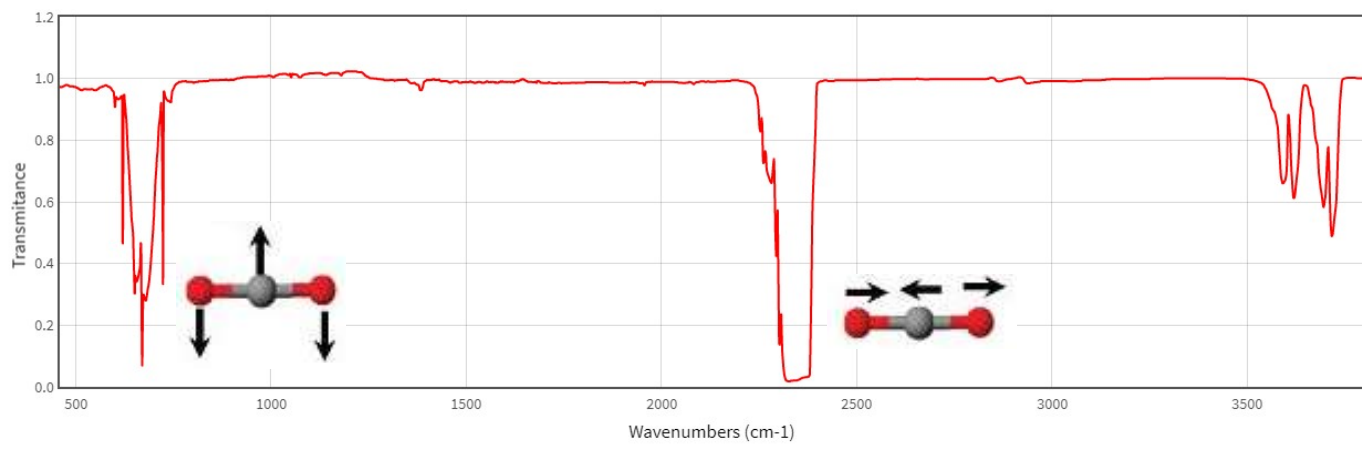
CO

Infrared Spectrum

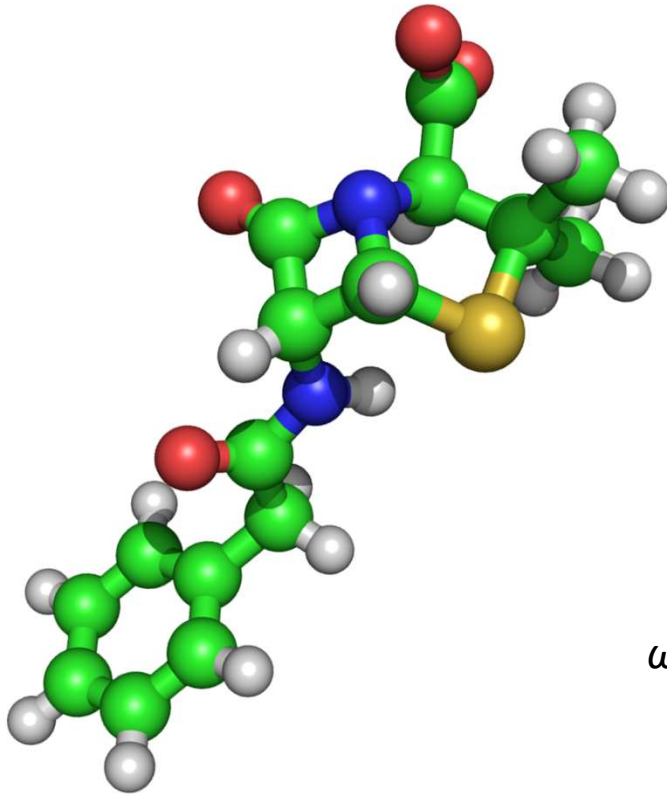


CO₂

Infrared Spectrum

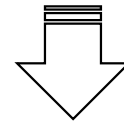


Vibrational spectroscopy



$N \times 3$ dimensional displacement field: $\{x_1, y_1, z_1, \dots, x_N, y_N, z_N\}$

Classical:
$$m_j \frac{d^2 u_j(t)}{dt^2} = - \frac{\partial E_{\text{harm}}}{\partial u_j} = - \sum_{k \neq j} D_{j,k} u_k(t) \quad u_j(t) = u_j e^{i\omega t}$$



$$m_j \omega_j^2 u_j = \sum_{k \neq j} D_{j,k} u_k$$

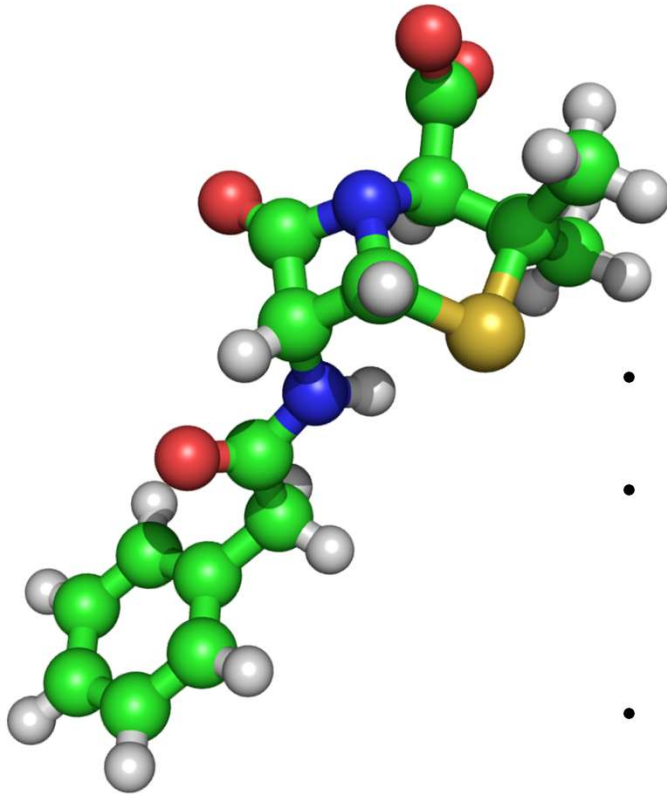
ω_j eigenfrequencies and u_j eigenmodes \rightarrow diagonalise $D_{j,k}$

Quantum:
$$\hat{H} = \sum_{j=1}^{3N} \frac{p_j^2}{2m_j} + \frac{1}{2} \sum_{j \neq k} u_j D_{j,k} u_k = \frac{1}{2} \sum_{j=1}^{3N} (\tilde{p}_j^2 + \omega_j^2 \tilde{u}_j^2) = \sum_{j=1}^{3N} \hbar \omega_j \left(\hat{a}_j^+ \hat{a}_j + \frac{1}{2} \right)$$

ω_j eigenfrequencies and u_j eigenmodes (polarization) \rightarrow diagonalise $D_{j,k}$

3N harmonic oscillators

Vibrational spectroscopy



$N \times 3$ dimensional displacement field: $\{x_1, y_1, z_1, \dots, x_N, y_N, z_N\}$

$3N$ harmonic oscillators

- 3 translational modes: only the center of mass moves
- 3 rotational modes: rigid rotations around the center of mass, 2 in case of linear molecules, may be IR active, MHz - GHz
- $3N-3-3$ vibrational modes, ω_i eigenfrequencies

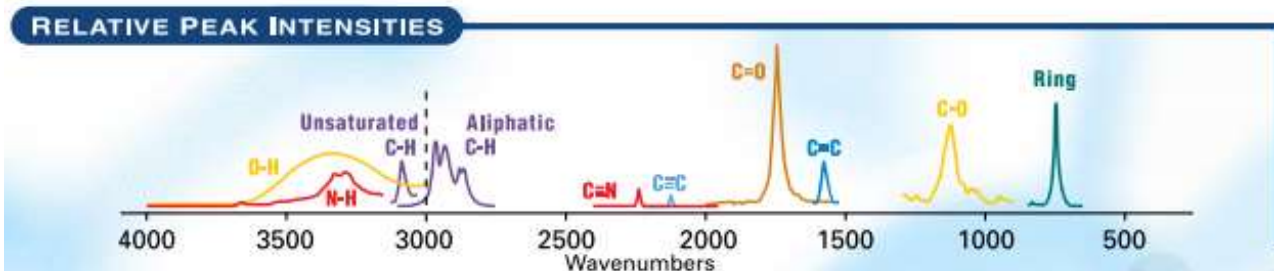
$$\varepsilon(\omega) = \varepsilon_\infty + \sum_j \frac{e^2}{K_j} \frac{\omega_j^2}{\omega_j^2 - \omega^2 - 2i\gamma_j\omega}$$

- IR active modes: possess oscillating electric dipole moments (thus not all modes are IR active)
- Raman active modes: polarizability of the molecule changes
- Silent modes: neither IR nor Raman active modes

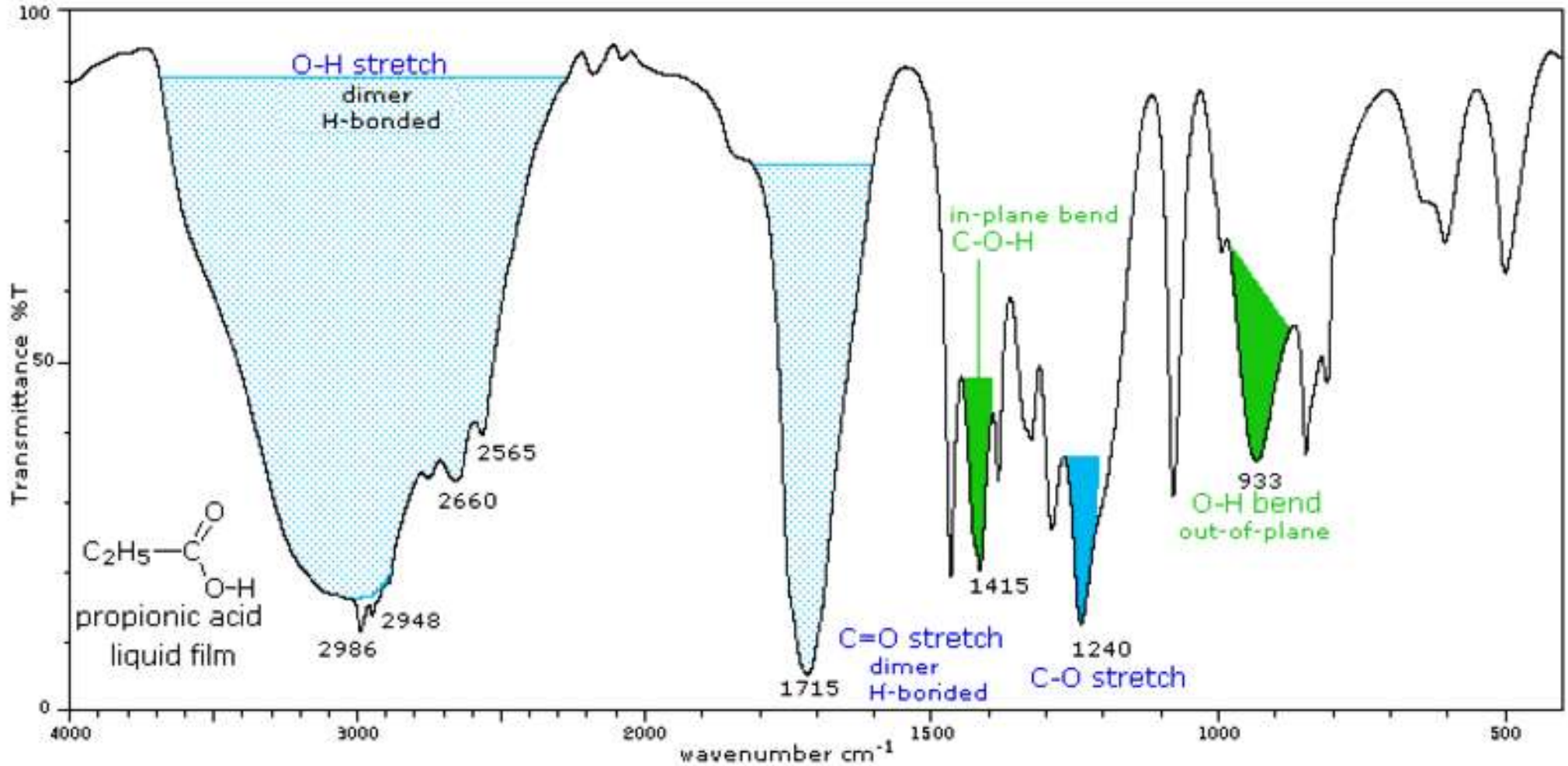
Vibrational spectroscopy

NAME	POSITIONS OF INFRARED BANDS	
Aliphatic	C-H	
Methyl	2960	
Methylene	2930	
Unsaturated	C=C	
Alkenes	3050	1640
Vinyl	910	1640
Vinylidene	890	1640
Cis	700	1640
Trans	965	1670
Alkynes	3200	2200
Aromatics	Ring	
Mono	750	700
Ortho	750	---
Meta	782	700
Para	817	---
Oxygen Groups	C-O	O-H
Ether	1100	
Alcohol	1100	3350
Carbonyl Groups	C=O	
Aldehyde	2700	1730
Ketone		1700
Ester	1200	1740
Carboxylic Acid		3100 1720
Nitrogen Groups	N-H	C≡N
Amide	1640	3200
Amine		3300
Nitrile		2250

FUNCTIONAL GROUP REPRESENTATION			
Methyl			
CH_3^-	Methylene	Alkene	Alkyne
Vinyl	$-\text{CH}_2^-$	$\text{C}=\text{C}$	$\text{C}\equiv\text{C}$
	Vinylidene	Cis	Trans
Mono	Ortho	Meta	Para
	Aldehyde	Ketone	Ester
	Ether	CarbAcid	Alcohol
	$\text{C}-\text{O}-\text{C}$		$\text{C}-\text{O}-\text{H}$
	Amide	Amine	Nitrile
		$\text{C}-\text{N}$	$\text{C}\equiv\text{N}$

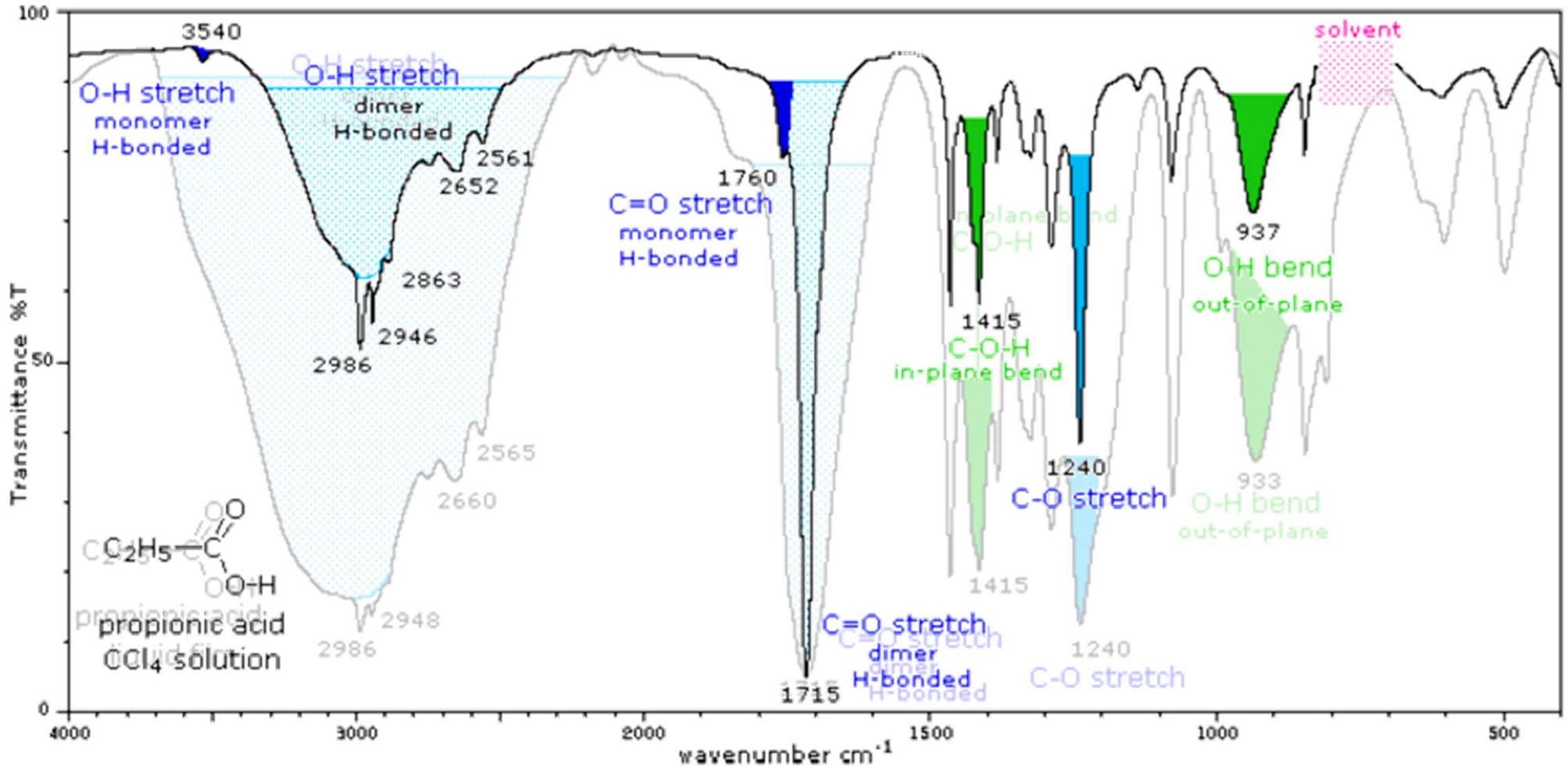


Vibrational spectroscopy



- IR and Raman spectra of known molecules are accessible in databases → composition, concentration of a molecule can be determined from spectroscopy
- Units of the molecules (O-H, C=O, ...) have characteristic frequencies (group frequencies), which do not change much → spectroscopy can be used to determine the structure of new molecules
- In case of smaller molecules it is possible to calculate the structure and the vibrational modes from first principles

Vibrational spectroscopy



- IR and Raman spectra of known molecules are accessible in databases → composition, concentration of a molecule can be determined from spectroscopy
- Units of the molecules (O-H, C=O, ...) have characteristic frequencies (group frequencies), which do not change much → spectroscopy can be used to determine the structure of new molecules
- In case of smaller molecules it is possible to calculate the structure and the vibrational modes from first principles
- Solvent: frequency shift, different damping

Vibrational spectroscopy

C₆₀ fullerén:



The Nobel Prize in Chemistry 1996
Robert F. Curl Jr., Sir Harold Kroto, Richard E. Smalley

The discovery of carbon atoms bound in the form of a ball is rewarded

Robert F. Curl, Richard E. Smalley: *Probing C₆₀*
Science **242**, 1017 (1988)

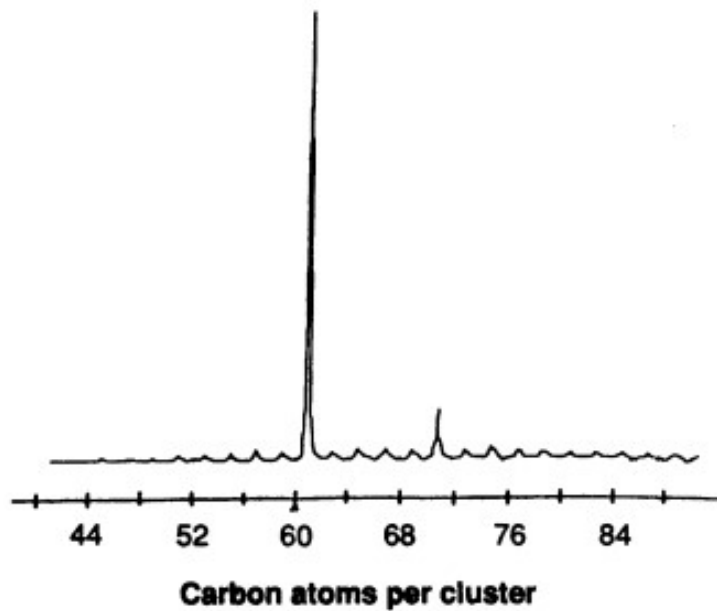
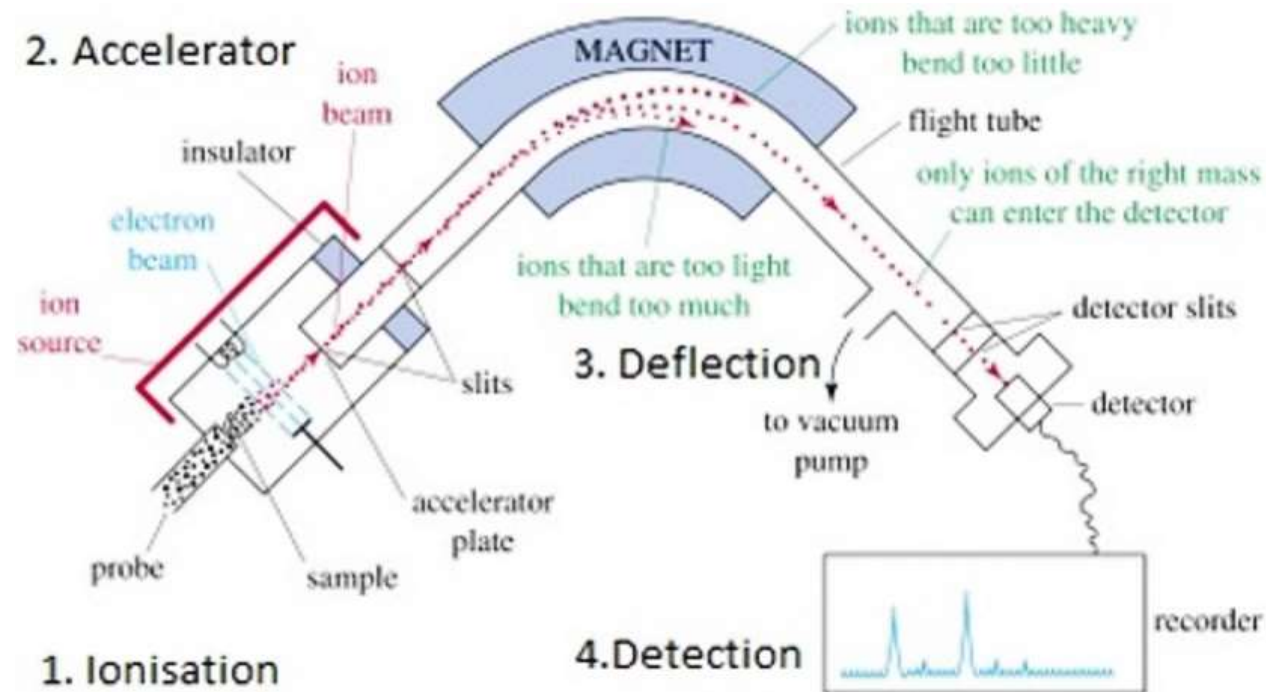


Fig. 1. Mass spectra of carbon cluster distributions in a supersonic beam produced by laser vaporization



Vibrational spectroscopy

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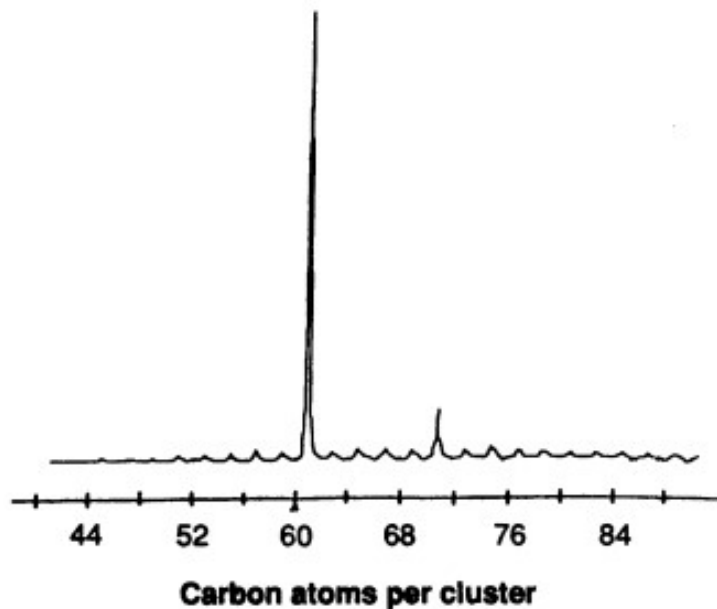


Fig. 1. Mass spectra of carbon cluster distributions in a supersonic beam produced by laser vaporization

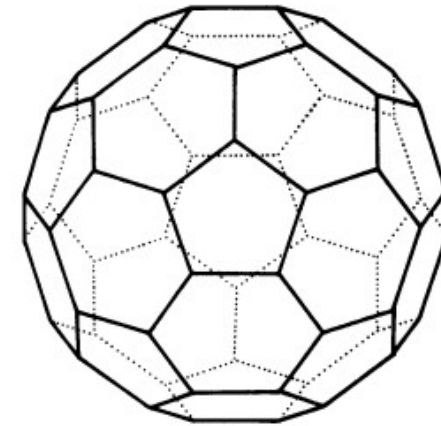


Fig. 2. Truncated icosahedral structure proposed for C₆₀.

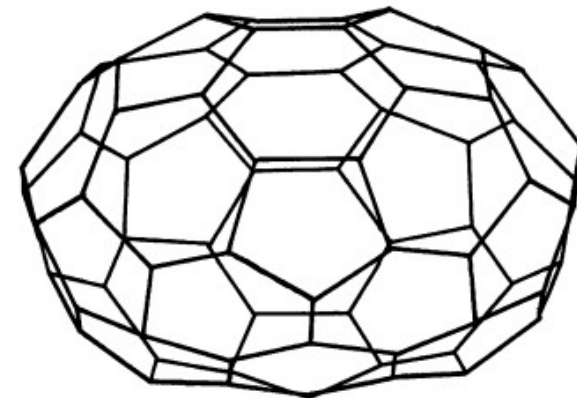


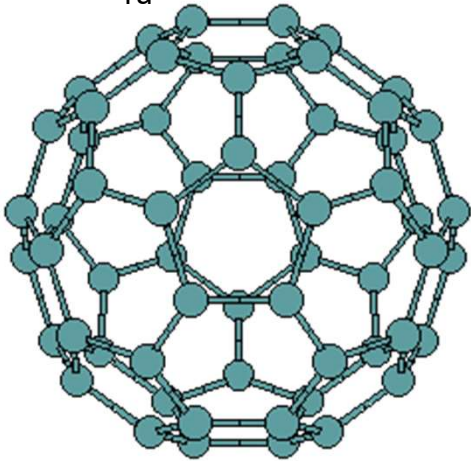
Fig. 3. A possible fullerene structure for the cluster C₇₂.

Vibrational spectroscopy

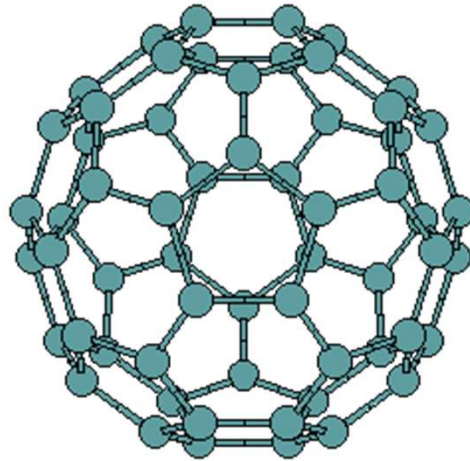
Vibrations of C_{60} fullerenen

- Vibrational modes: $N \times 3 - 6 = 174$ harmonic oscillatros
- Icosahedral symmetry \rightarrow 46 normal modes: 4 IR active, 10 Raman active, 32 silent modes

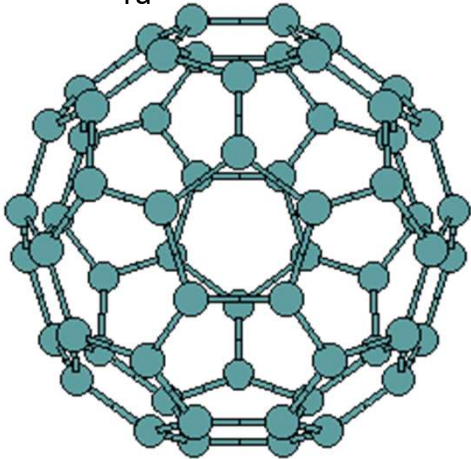
T_{1u} IR 526 cm^{-1}



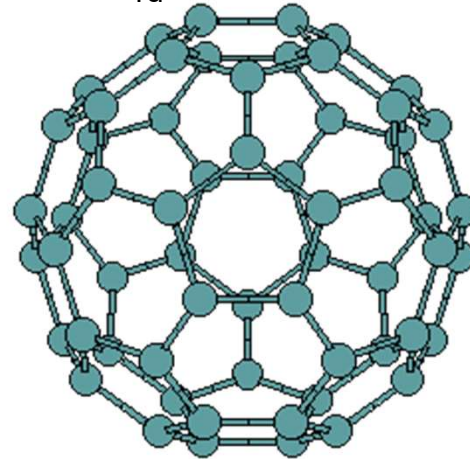
T_{1u} IR 575 cm^{-1}



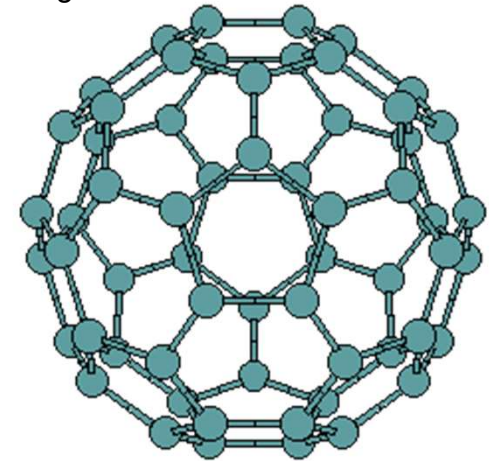
T_{1u} IR 1182 cm^{-1}



T_{1u} IR 1429 cm^{-1}



A_{1g} Raman breathing mode

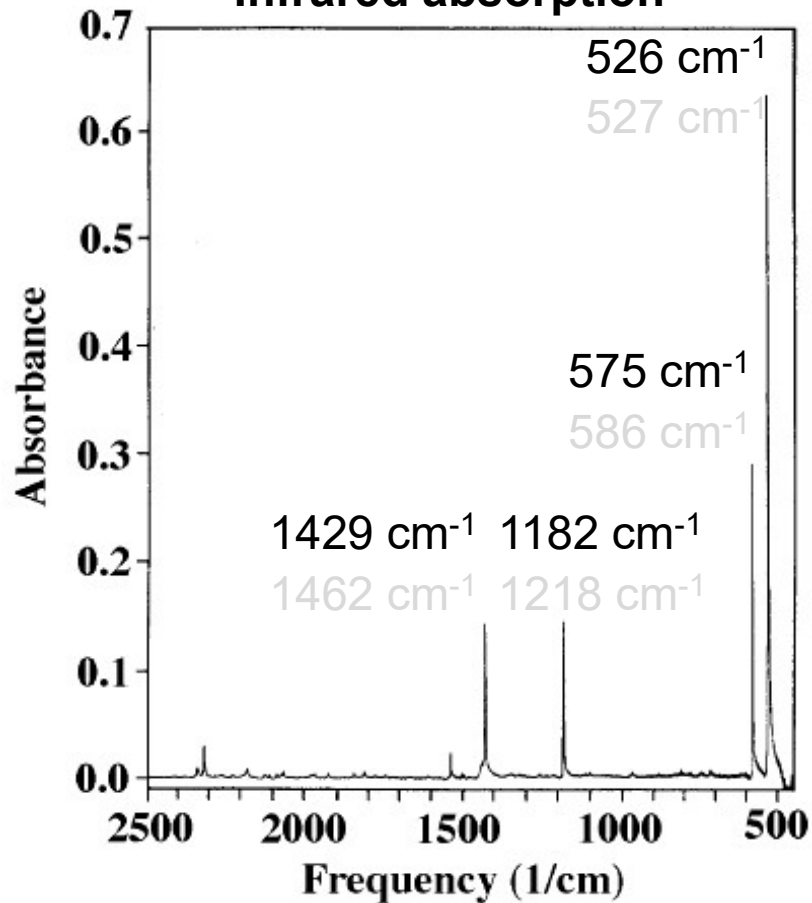


Vibrational spectroscopy

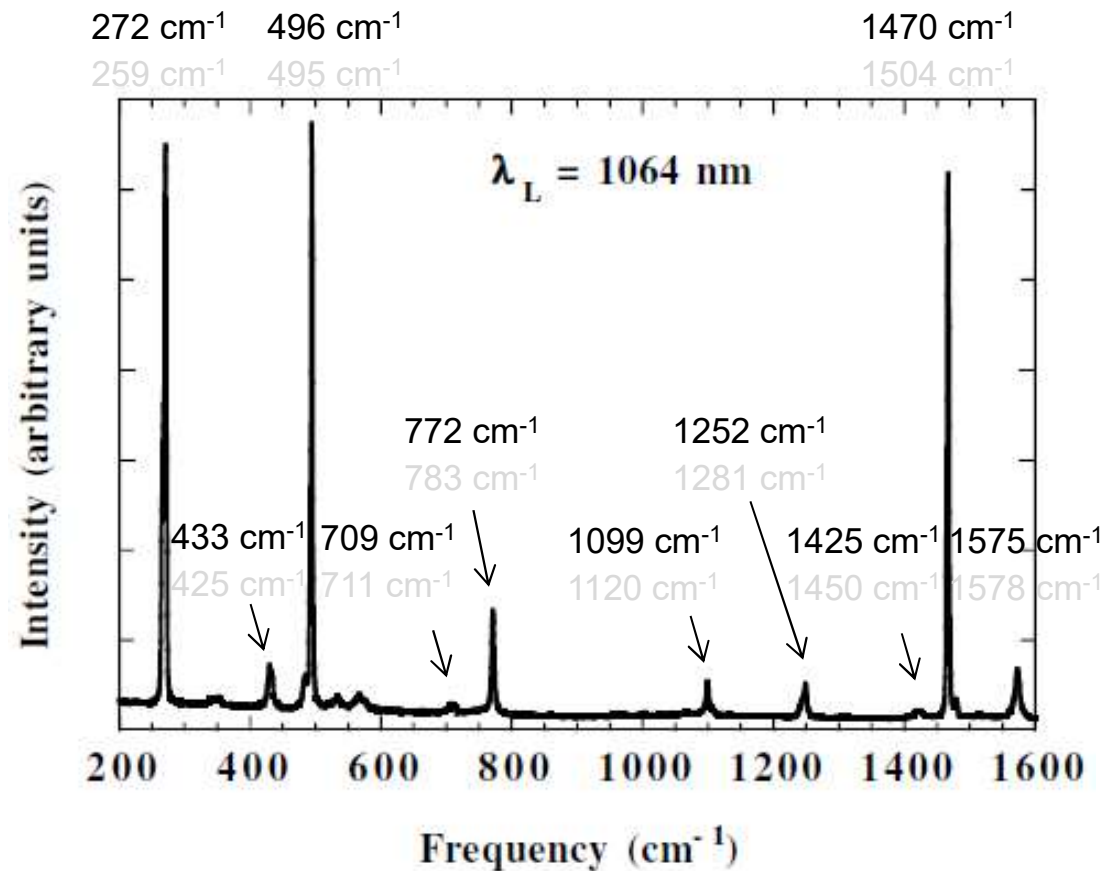
Vibrations of C₆₀ fulleren

- Icosahedral symmetry → 46 normal modes: 4 IR active, 10 Raman active, 32 silent modes
- First principles calculations: C₆₀ icosahedral ground state, vibrational frequencies with ~1-2% error

Infrared absorption



Raman scattering



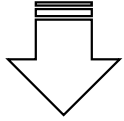
Measurement in 1.4 mm thick films: B. Chase, N. Herron, E. Holler: J. Phys. Chem. **96**, 4262 (1992)

Vibrational spectroscopy

Vibrations in crystals (Phonon modes)

$$m_u \frac{d^2 u_n}{dt^2} = -D(u_n - v_{n-1}) - D(u_n - v_n)$$

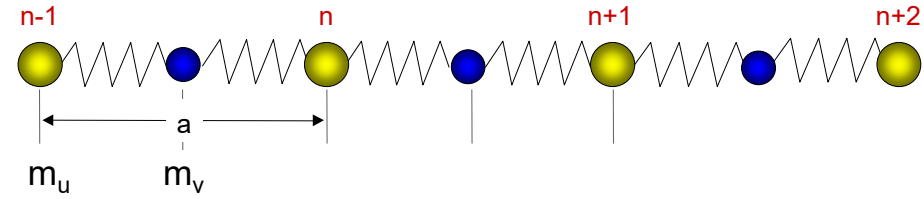
$$m_v \frac{d^2 v_n}{dt^2} = -D(v_n - u_n) - D(v_n - u_{n+1})$$



$$-\omega^2 u m_u = 2D(v \cos \frac{qa}{2} - u)$$

$$-\omega^2 v m_v = 2D(u \cos \frac{qa}{2} - v)$$

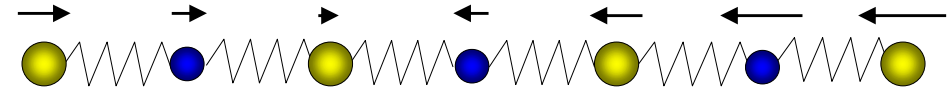
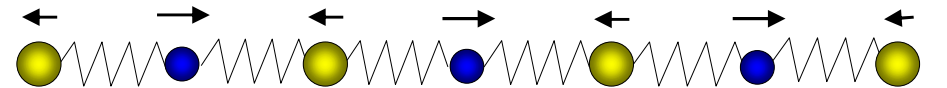
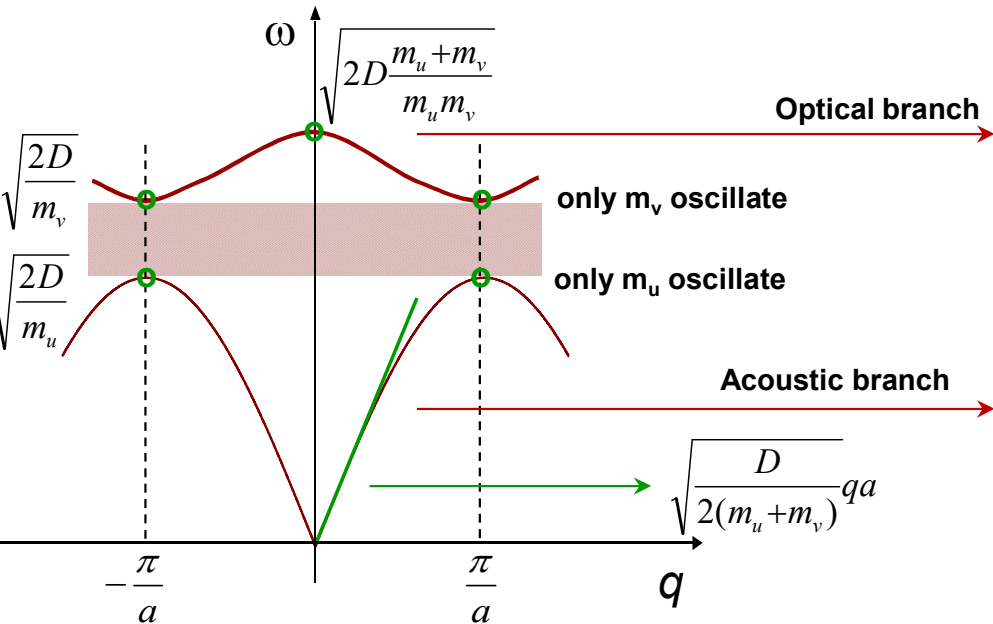
$$\begin{bmatrix} -2D & D & & & 0 \\ D & -2D & D & & \\ & D & -2D & D & \\ & & D & -2D & D \\ 0 & & & D & -2D \end{bmatrix} \begin{bmatrix} u_{n-1} \\ v_{n-1} \\ u_n \\ v_n \\ u_{n+1} \end{bmatrix}$$



$$u_n = u e^{i(qna - \omega t)}$$

$$v_n = v e^{i(q\{n + \frac{1}{2}\}a - \omega t)}$$

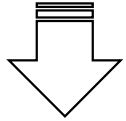
Dispersion relation:
$$\omega(q)^2 = D \frac{m_u + m_v}{m_u m_v} \pm D \sqrt{\left(\frac{m_u + m_v}{m_u m_v}\right)^2 - \frac{4 \sin^2(qa/2)}{m_u m_v}}$$



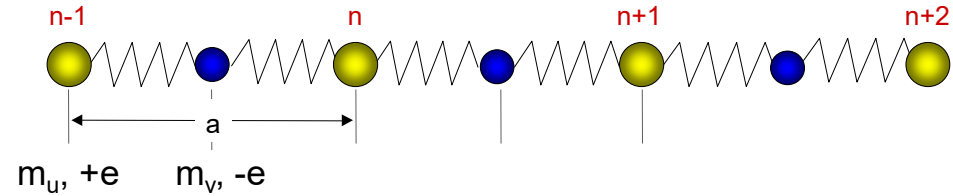
Vibrational spectroscopy

$$m_u \frac{d^2 u_n}{dt^2} = D(v_n + v_{n-1} - 2u_n) - \gamma m_u \frac{du_n}{dt} + eE(t)$$

$$m_v \frac{d^2 v_n}{dt^2} = D(u_n + u_{n-1} - 2v_n) - \gamma m_v \frac{dv_n}{dt} - eE(t)$$



$$\lambda \gg a \Rightarrow q \ll \frac{\pi}{a} \Rightarrow \begin{cases} E(r,t) \approx E_\omega e^{i\omega t} \\ u_n(t) \approx u e^{-i\omega t} \\ v_n(t) \approx v e^{-i\omega t} \end{cases}$$

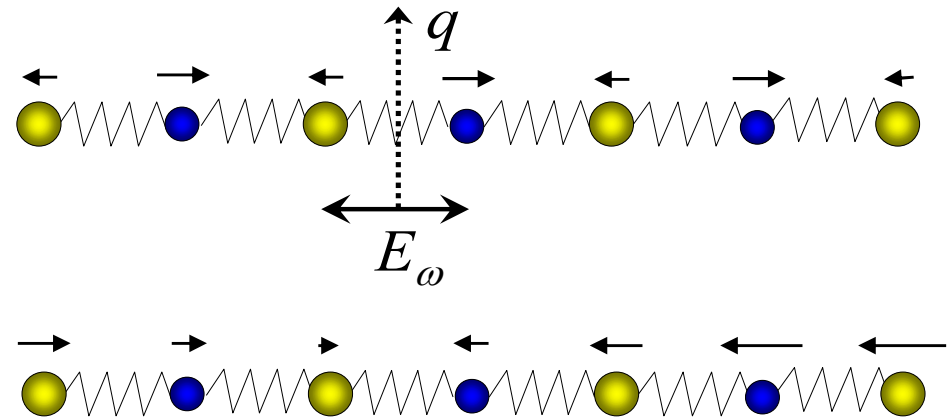
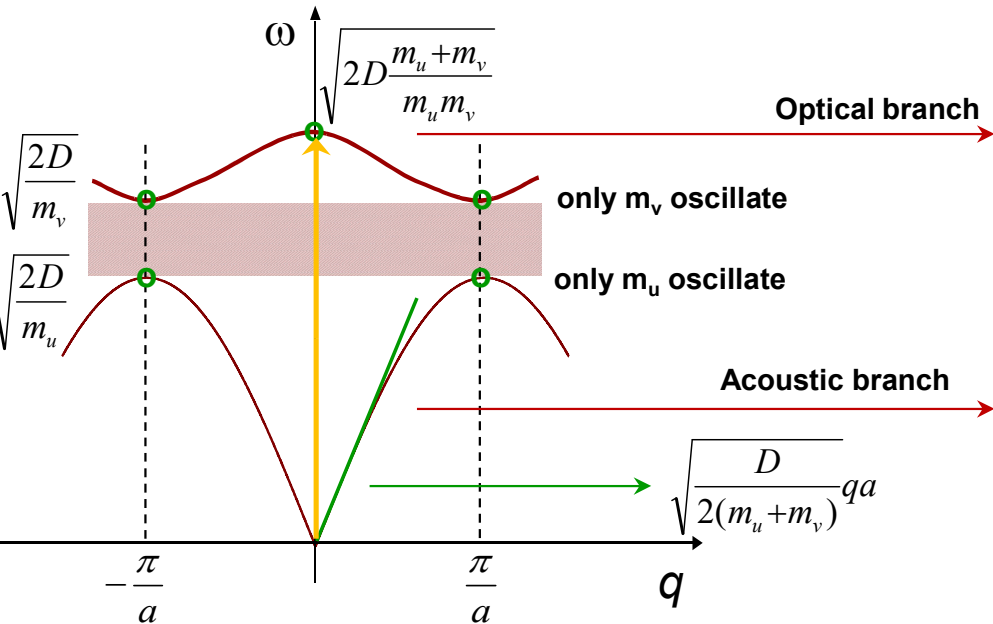


$$\omega_{TO} = \sqrt{2D \frac{m_u + m_v}{m_u m_v}}$$

$$P_\omega = en(u_\omega - v_\omega) = \frac{ne^2}{\mu} \frac{1}{\omega_{TO}^2 - \omega^2 - i\gamma\omega} E_\omega$$

$$\epsilon(\omega) = 1 + \frac{\Omega_{pl}^2}{\omega_{TO}^2 - \omega^2 - i\gamma\omega}$$

The $q=0$ case is equivalent to a diatomic molecule, atoms move respect to the center of mass



Vibrational spectroscopy

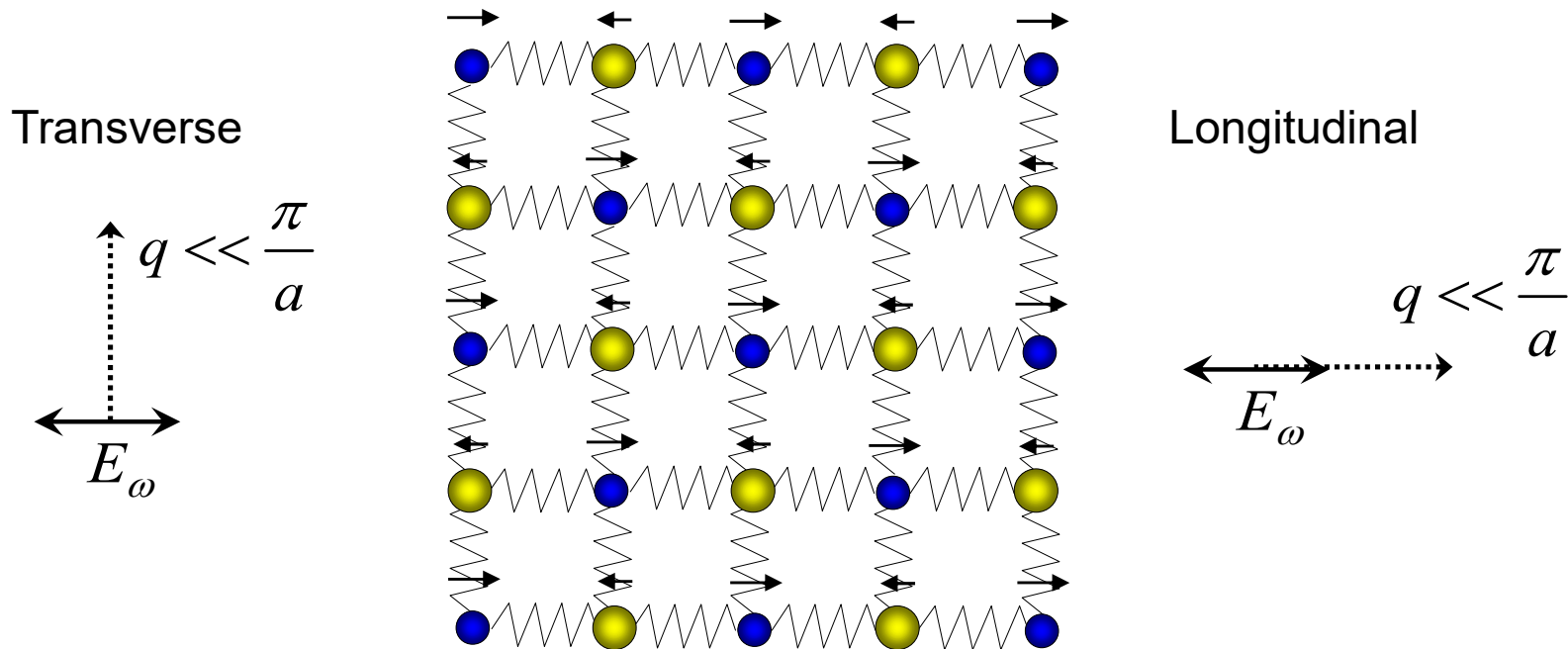
Wave equation for Fourier components: $0 = \mathbf{q} \times (\mathbf{q} \times \mathbf{E}_{\mathbf{q}\omega}) + \frac{\omega^2}{c^2} \epsilon(\omega) \mathbf{E}_{\mathbf{q}\omega}$

Longitudinal solution: $0 = \mathbf{q} \times \mathbf{E}_{\mathbf{q}\omega} \Leftrightarrow \epsilon(\omega) = 0 \Rightarrow \omega^2 = \omega_{TO}^2 + \frac{\Omega_{pl}^2}{\epsilon_\infty} \equiv \omega_{LO}^2$

$$\omega_{TO} = \sqrt{2D \frac{m_u + m_v}{m_u m_v}}$$

$$\epsilon(\omega) = \epsilon_\infty + \frac{\Omega_{pl}^2}{\omega_{TO}^2 - \omega^2} = \epsilon_\infty \frac{\omega_{TO}^2 + \frac{\Omega_{pl}^2}{\epsilon_\infty} - \omega^2}{\omega_{TO}^2 - \omega^2}, \quad 1 \rightarrow \epsilon_\infty \text{ to take into account the high frequency electronic excitations, } \gamma = 0$$

$$\epsilon(\omega) = \epsilon_\infty \frac{\omega_{LO}^2 - \omega^2}{\omega_{TO}^2 - \omega^2}$$



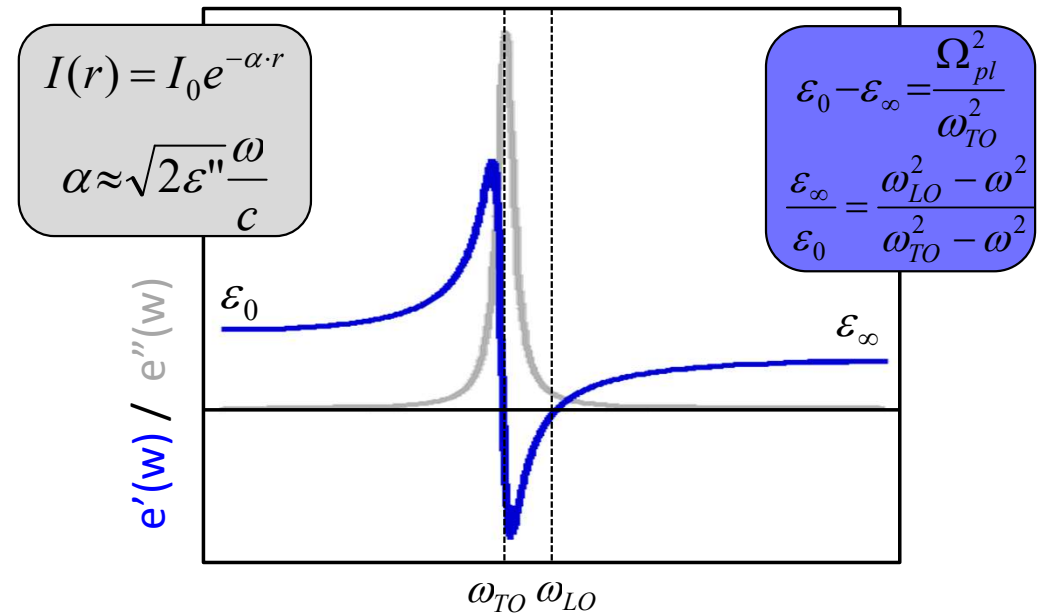
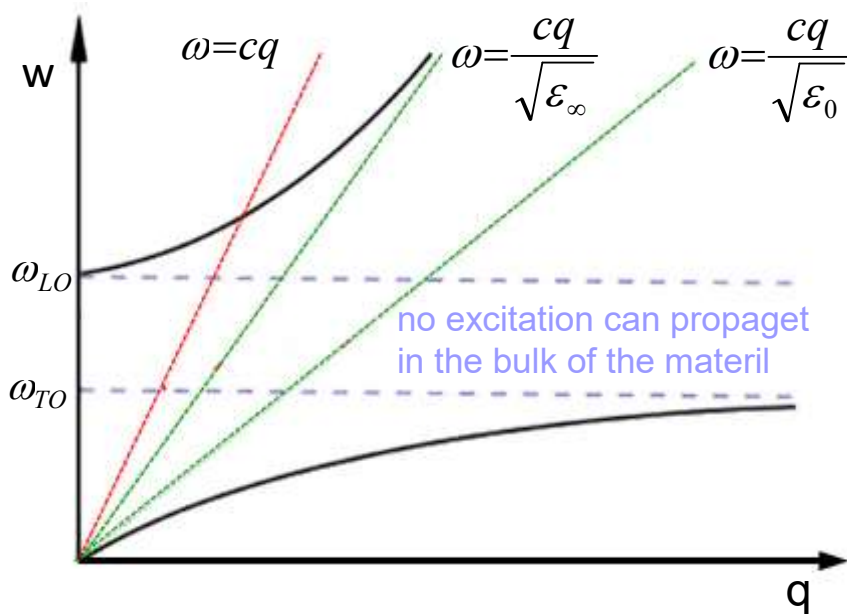
Vibrational spectroscopy

Wave equation for Fourier components: $0 = \mathbf{q} \times (\mathbf{q} \times \mathbf{E}_{\mathbf{q}\omega}) + \frac{\omega^2}{c^2} \epsilon(\omega) \mathbf{E}_{\mathbf{q}\omega}$

Longitudinal solution: $0 = \mathbf{q} \times \mathbf{E}_{\mathbf{q}\omega} \Leftrightarrow \epsilon(\omega) = 0 \Rightarrow \omega^2 = \omega_{TO}^2 + \frac{\Omega_{pl}^2}{\epsilon_\infty} \equiv \omega_{LO}^2$

$$\omega_{TO} = \sqrt{2D \frac{m_u + m_v}{m_u m_v}}$$

$$\text{Dispersion relation: } q^2 = \frac{\omega^2}{c^2} \epsilon(\omega) = \frac{\omega^2}{c^2} \epsilon_\infty \frac{\omega_{LO}^2 - \omega^2}{\omega_{TO}^2 - \omega^2} \Rightarrow \omega(q) = \frac{1}{2} \left(\frac{c^2 q^2}{\epsilon_\infty} + \omega_{LO}^2 \pm \sqrt{\left(\frac{c^2 q^2}{\epsilon_\infty} + \omega_{LO}^2 \right)^2 - 4 \frac{c^2 q^2}{\epsilon_\infty} \omega_{TO}^2} \right)$$

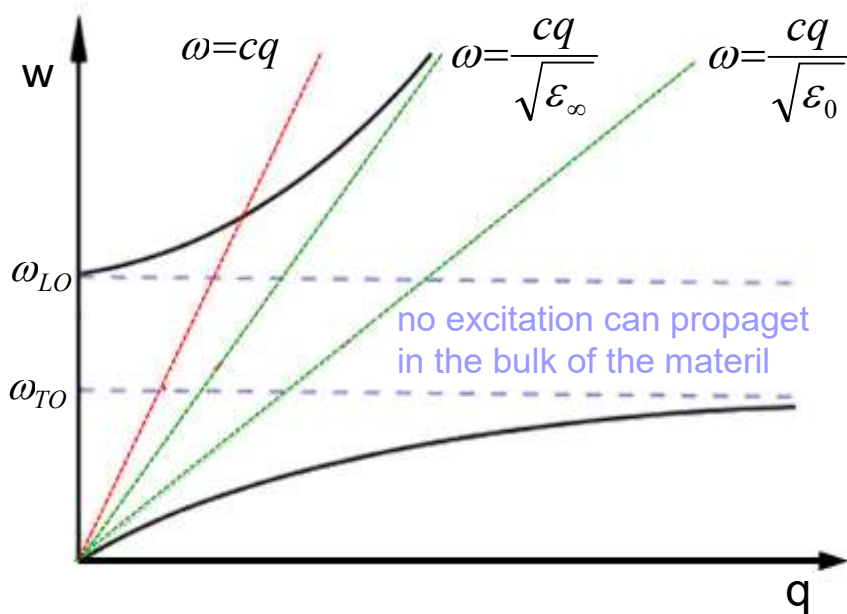


Vibrational spectroscopy

Wave equation for Fourier components: $0 = \mathbf{q} \times (\mathbf{q} \times \mathbf{E}_{\mathbf{q}\omega}) + \frac{\omega^2}{c^2} \epsilon(\omega) \mathbf{E}_{\mathbf{q}\omega}$

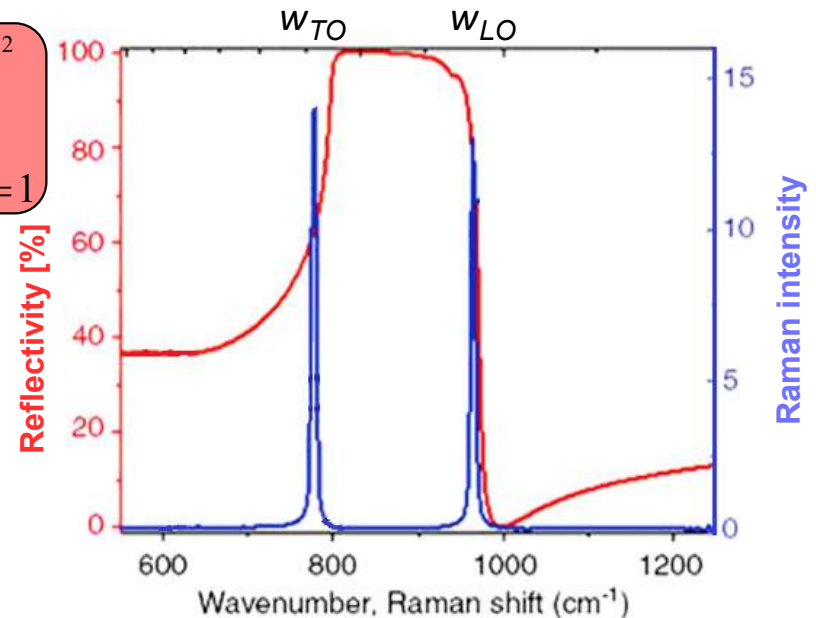
Longitudinal solution: $0 = \mathbf{q} \times \mathbf{E}_{\mathbf{q}\omega} \Leftrightarrow \epsilon(\omega) = 0 \Rightarrow \omega^2 = \omega_{TO}^2 + \frac{\Omega_{pl}^2}{\epsilon_\infty} \equiv \omega_{LO}^2$ $\omega_{TO} = \sqrt{2D \frac{m_u + m_v}{m_u m_v}}$

Dispersion relation: $q^2 = \frac{\omega^2}{c^2} \epsilon(\omega) = \frac{\omega^2}{c^2} \epsilon_\infty \frac{\omega_{LO}^2 - \omega^2}{\omega_{TO}^2 - \omega^2} \Rightarrow \omega(q) = \frac{1}{2} \left(\frac{c^2 q^2}{\epsilon_\infty} + \omega_{LO}^2 \pm \sqrt{\left(\frac{c^2 q^2}{\epsilon_\infty} + \omega_{LO}^2 \right)^2 - 4 \frac{c^2 q^2}{\epsilon_\infty} \omega_{TO}^2} \right)$



$$R = \left| \frac{\sqrt{\epsilon} - 1}{\sqrt{\epsilon} + 1} \right|^2$$

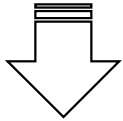
$\epsilon' = 0 \Rightarrow R = 1$



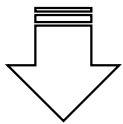
Vibrational spectroscopy

Phonon modes as determined by inelastic neutron scattering

$$\hat{H} = \frac{1}{2M} \sum_{\mathbf{q}} |\hat{\mathbf{p}}(\mathbf{q})|^2 + \frac{1}{2} \sum_{\mathbf{q}} \lambda_s(\mathbf{q}) |\hat{\mathbf{u}}(\mathbf{q})|^2$$



$$\hat{H} = \sum_{\mathbf{q},s} \hbar \omega_s(\mathbf{q}) \left(\hat{\mathbf{a}}_{\mathbf{q},s}^+ \hat{\mathbf{a}}_{\mathbf{q},s} + \frac{1}{2} \right)$$



3×N×M harmonic oscillator:

- 3×M phonon branches
- N k points in each branches

Conservation laws in case of neutron-cristal interaction

$$\begin{cases} \frac{p'^2}{2M_n} = \frac{p^2}{2M_n} \pm \hbar \omega(\mathbf{q}) \\ \hbar \mathbf{q} = \mp \mathbf{p}' - \mathbf{p} - \hbar \mathbf{G} \end{cases}$$

IR reflectivity

LO₃

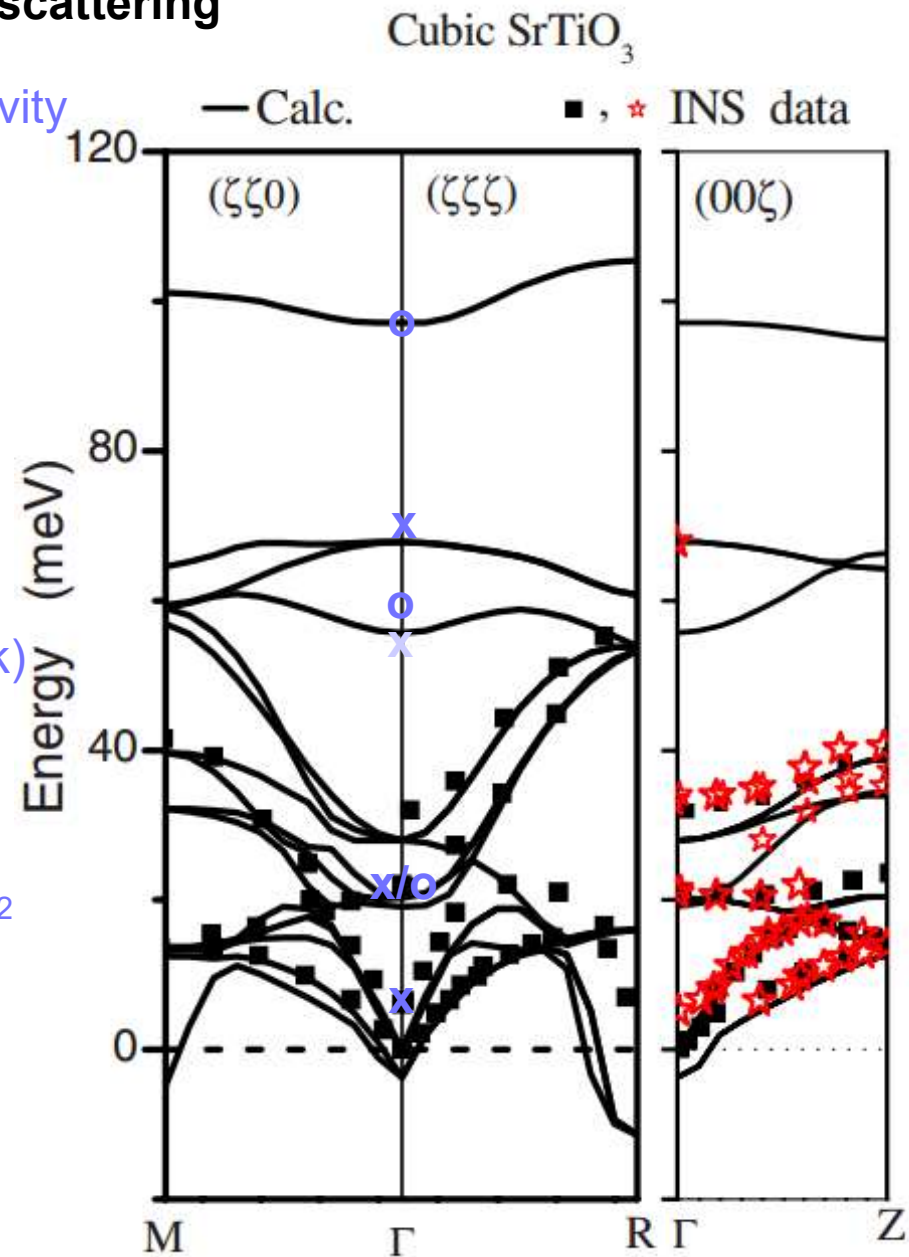
TO₃

LO₂

(TO, weak)

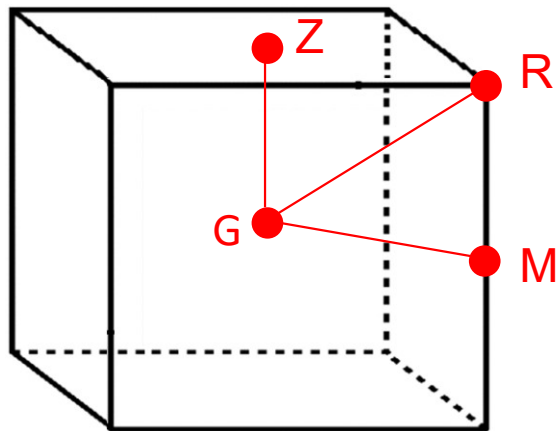
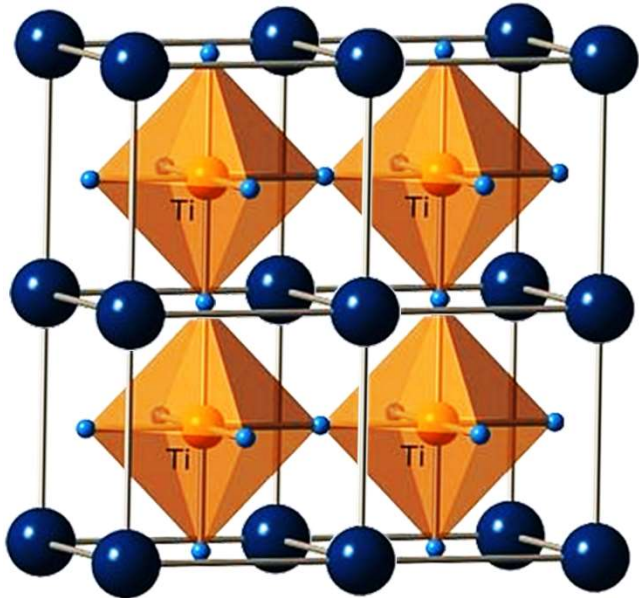
LO₁/TO₂

TO₁



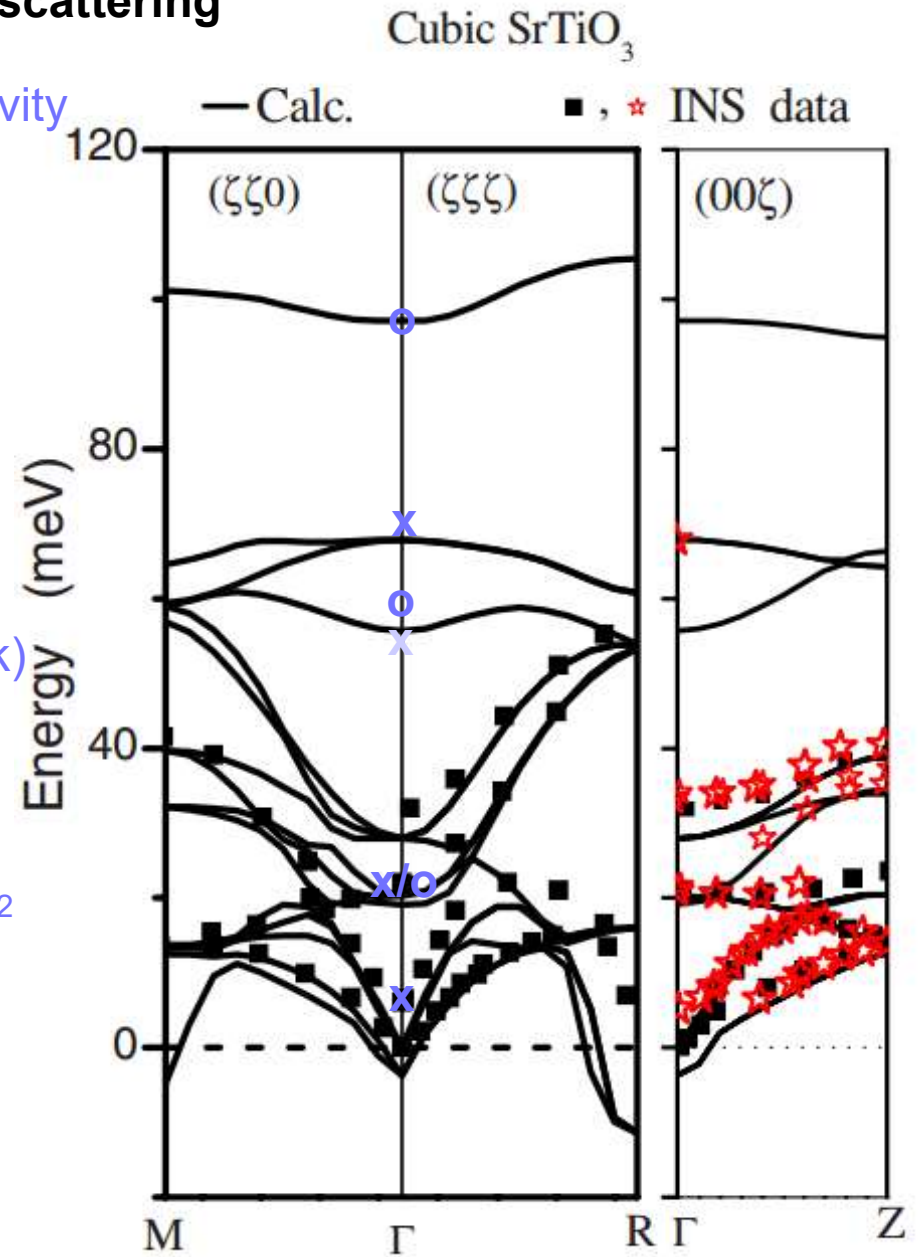
Vibrational spectroscopy

Phonon modes as determined by inelastic neutron scattering



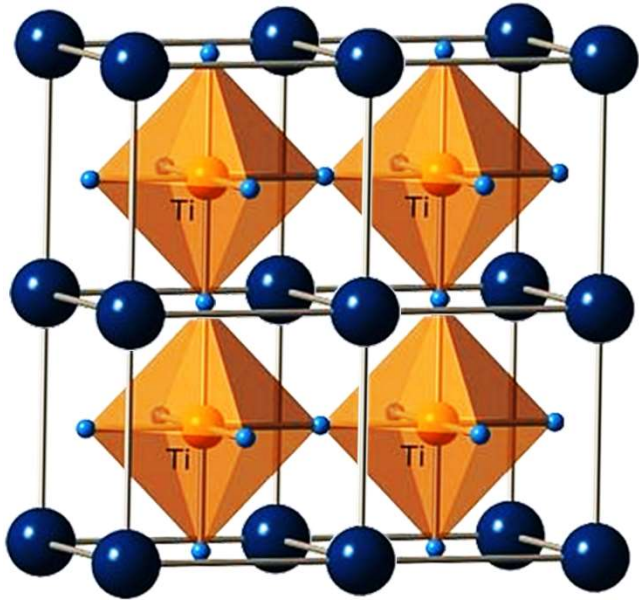
IR reflectivity

LO_3
 TO_3
 LO_2
 (TO, weak)
 LO_1/TO_2
 TO_1

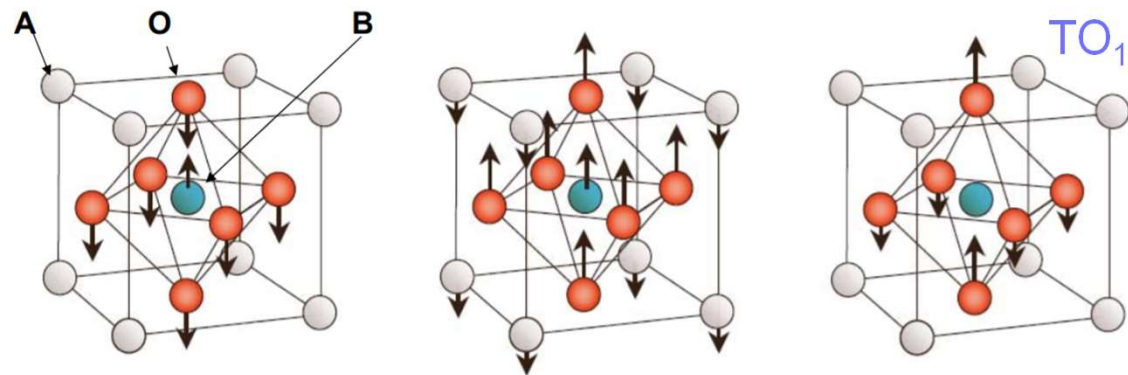


Vibrational spectroscopy

Phonon modes as determined by inelastic neutron scattering



3 IR active modes of the cubic phase:



IR reflectivity

LO_3

TO_3

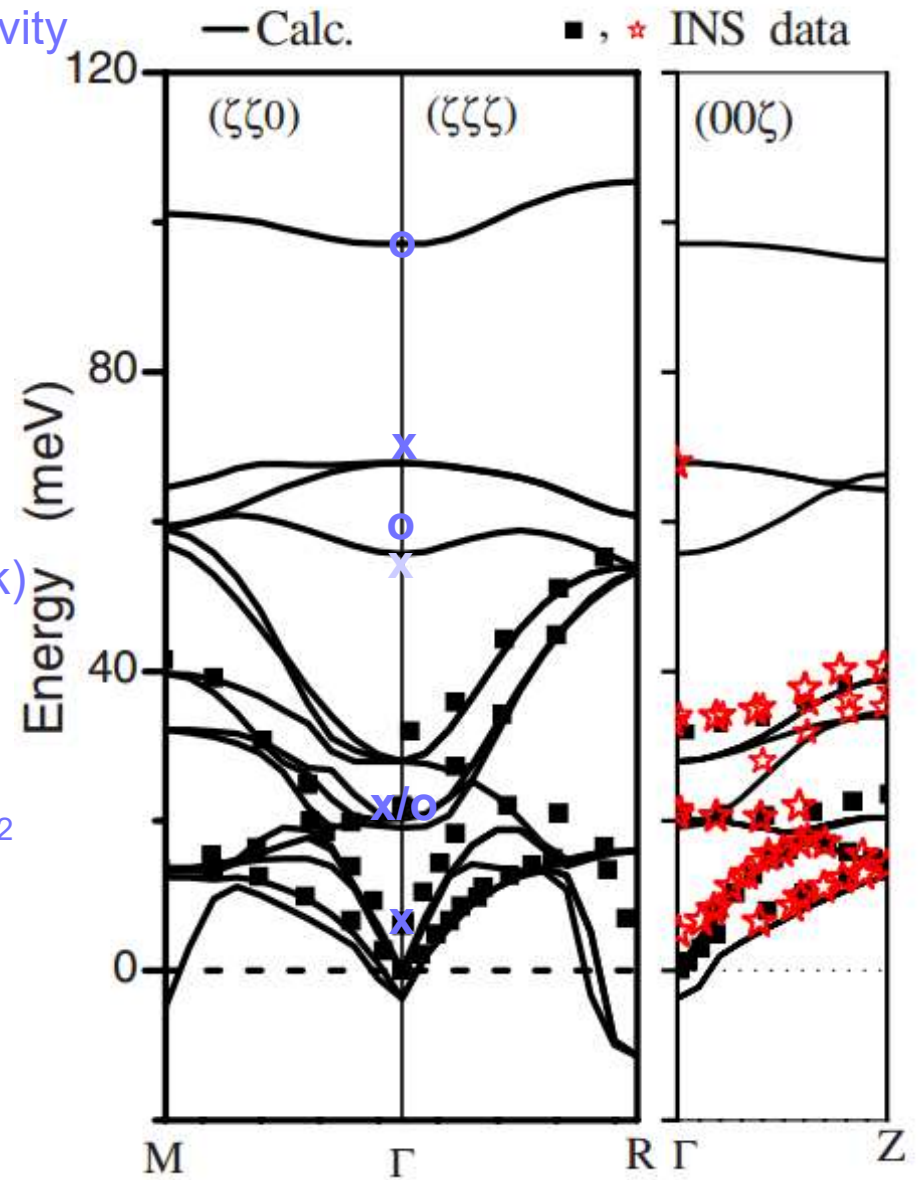
LO_2

(TO , weak)

LO_1/TO_2

TO_1

Cubic SrTiO_3



Vibrational spectroscopy

Symmetry lowering as seen by the vibrational modes

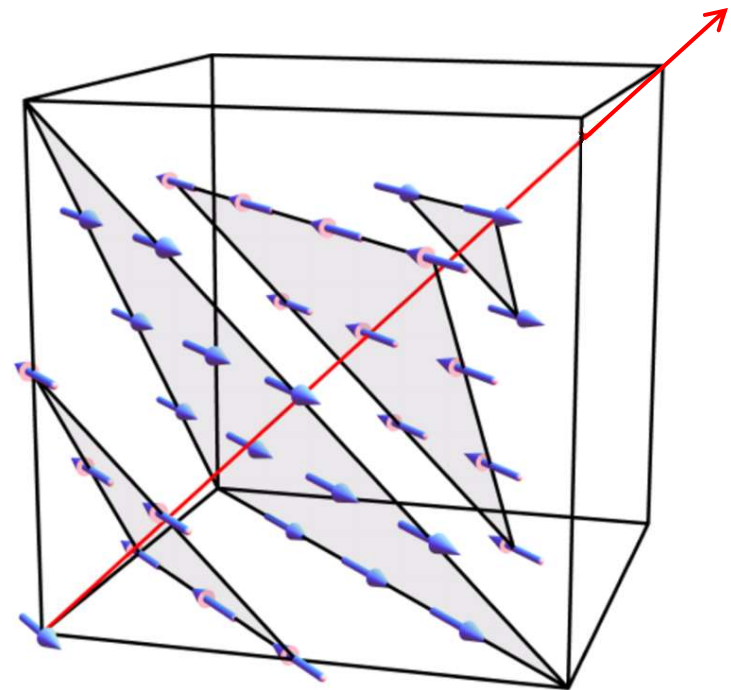
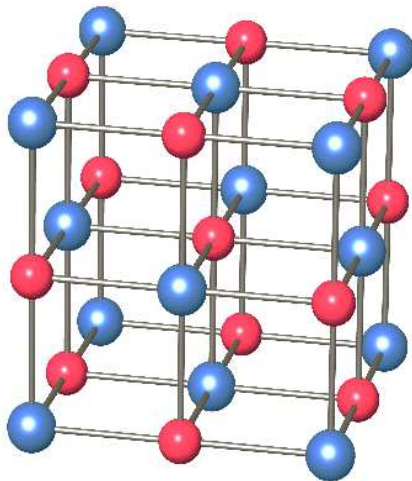


The Nobel Prize in Physics 1994

Bertram N. Brockhouse, Clifford G. Shull

The Nobel Prize in Physics 1994 was awarded *"for pioneering contributions to the development of neutron scattering techniques for studies of condensed matter"*

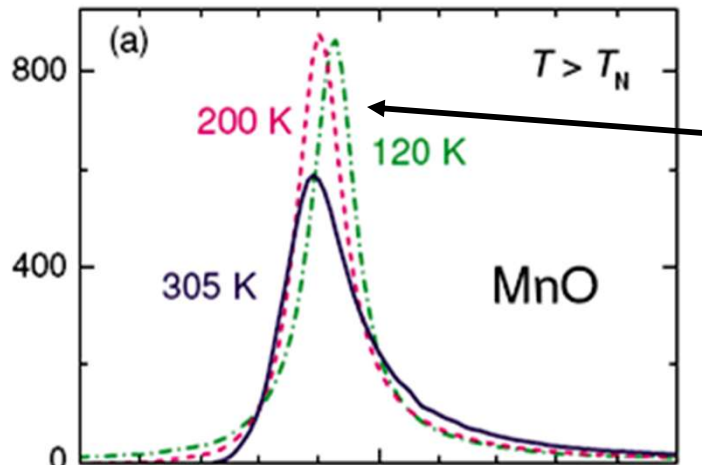
- MnO**
- FCC lattice with 2 atoms in the unit cell, NaCl structure
 - Antiferromagnetic order below $T_N=118\text{K}$ (Nobel prize, magnetic neutron scattering)
 - The crystal symmetry changes from cubic to rhombic due to the magnetic order



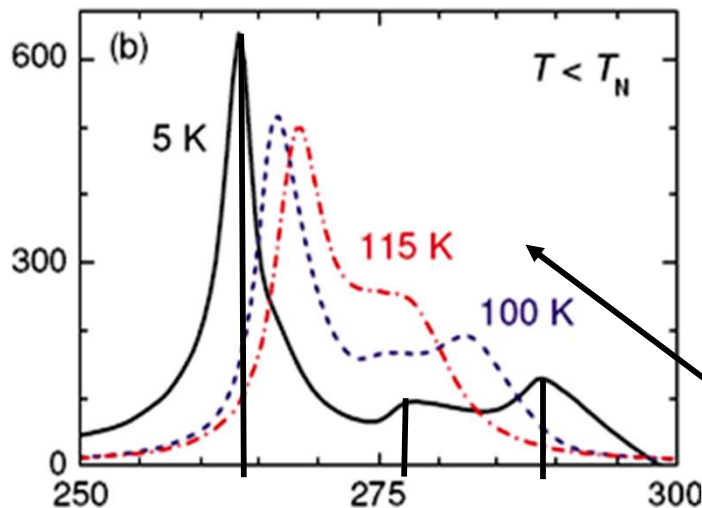
Vibrational spectroscopy

Symmetry lowering as seen by the vibrational modes

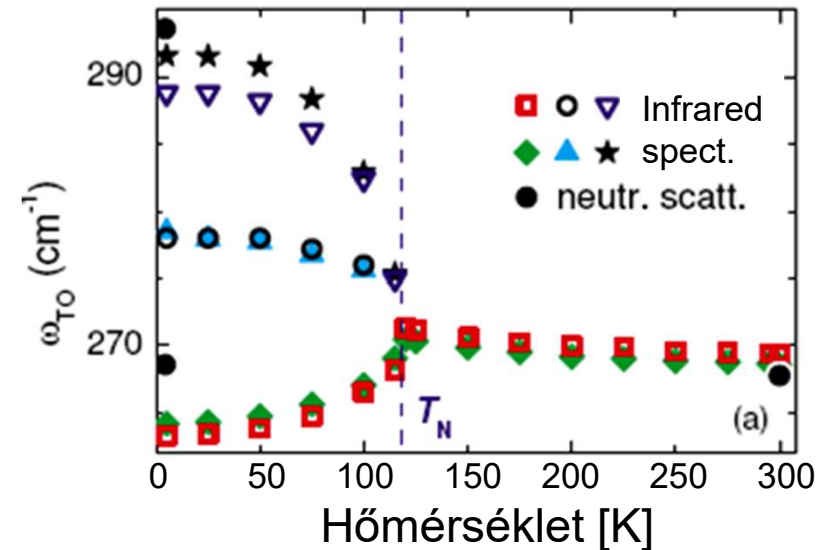
The crystal symmetry of MnO changes from cubic to rhombic lower than rhombic due to the magnetic order!



- As the temperature is lowered phonon modes become:
- harder as the lattice shrinks
 - sharper as the phonon-phonon scattering freeze out



Hullámszám [cm^{-1}]

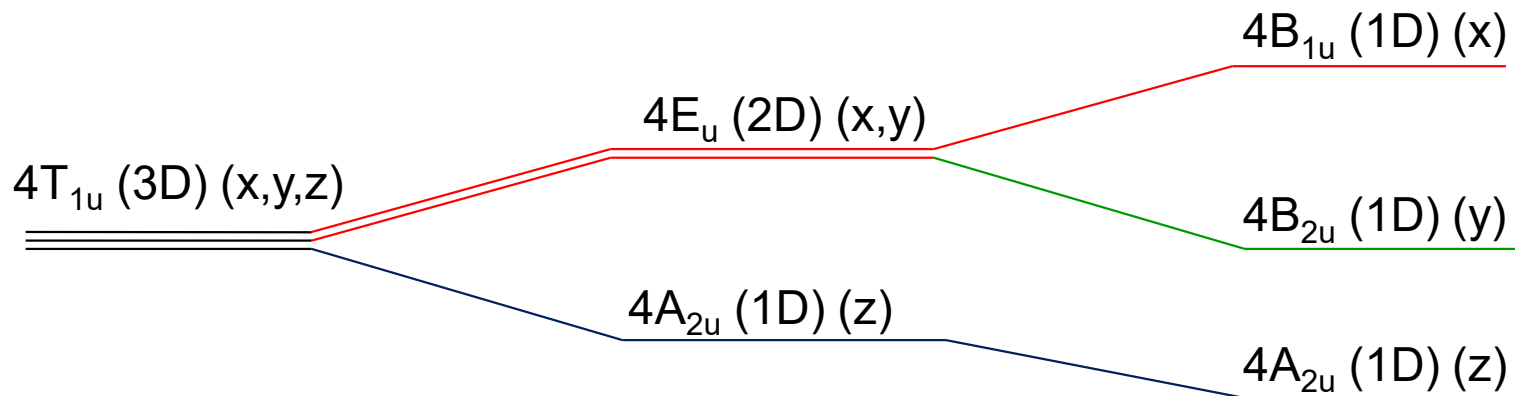
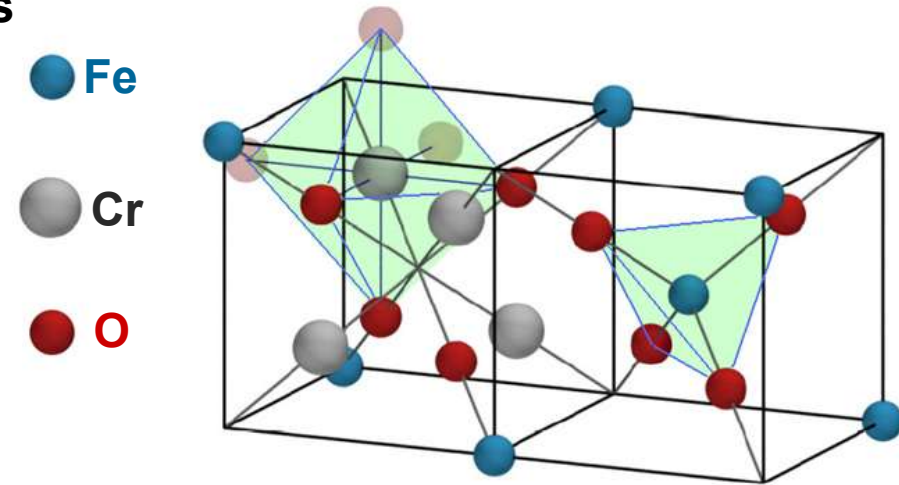


- 2 atoms in the unit cell: 3 acoustic + 3 optical branches
- $T > T_N$ 3 \times degeneracy, cubic phase
- $T < T_N$ no degeneracy, orthorhombic phase

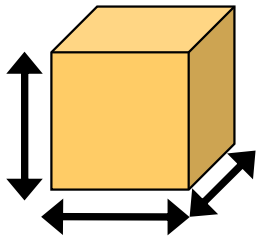
Vibrational spectroscopy

Symmetry lowering as seen by the vibrational modes

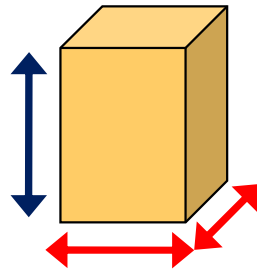
- Spinel structure: AB_2X_4
- FCC unit cell contains 2 formula units
- Symmetry analysis of the IR active modes



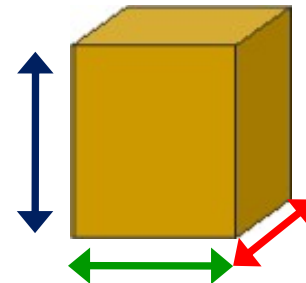
Cubic



Tetragonal



Orthorhombic

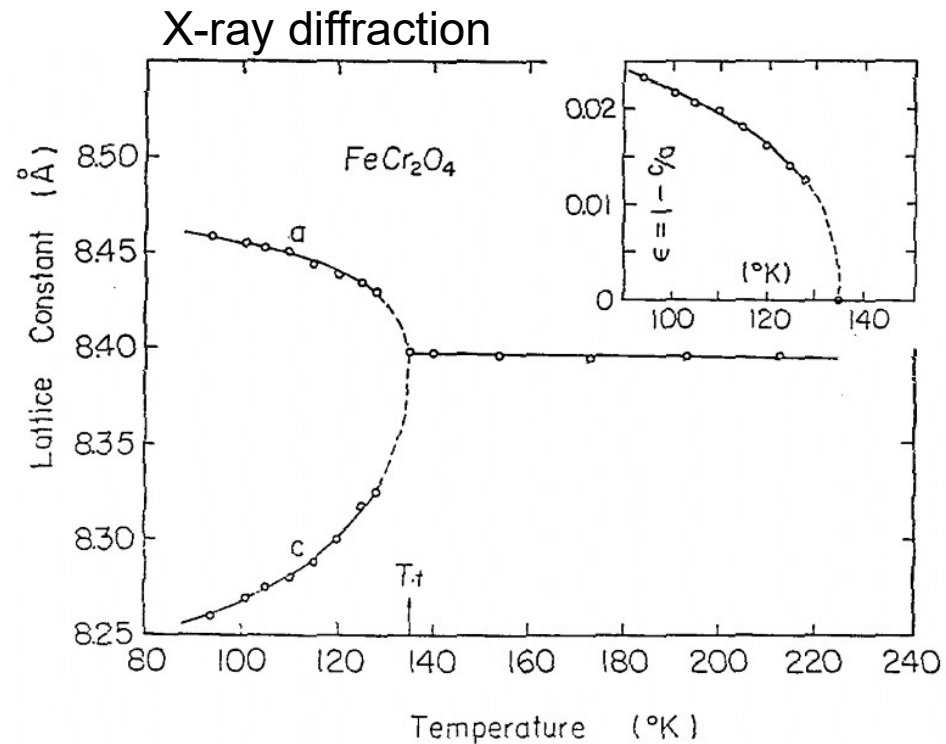
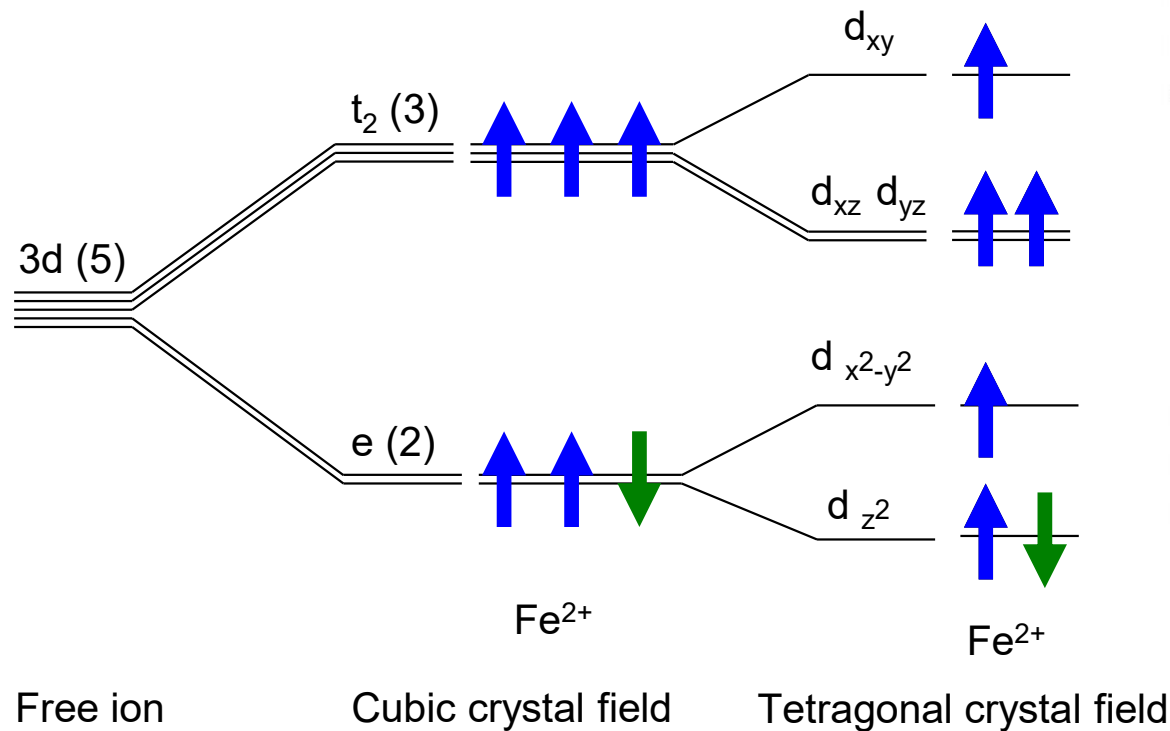
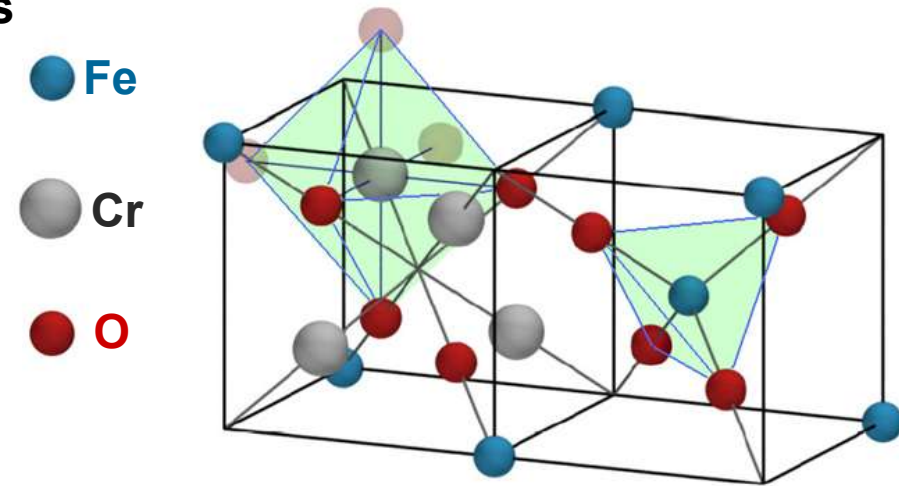


Vibrational spectroscopy

Symmetry lowering as seen by the vibrational modes

Fe²⁺ ions d shell is partially filled:

- Orbital degeneracy → Jahn-Teller distortion, T_{JT}
- Magnetic ordering, T_C



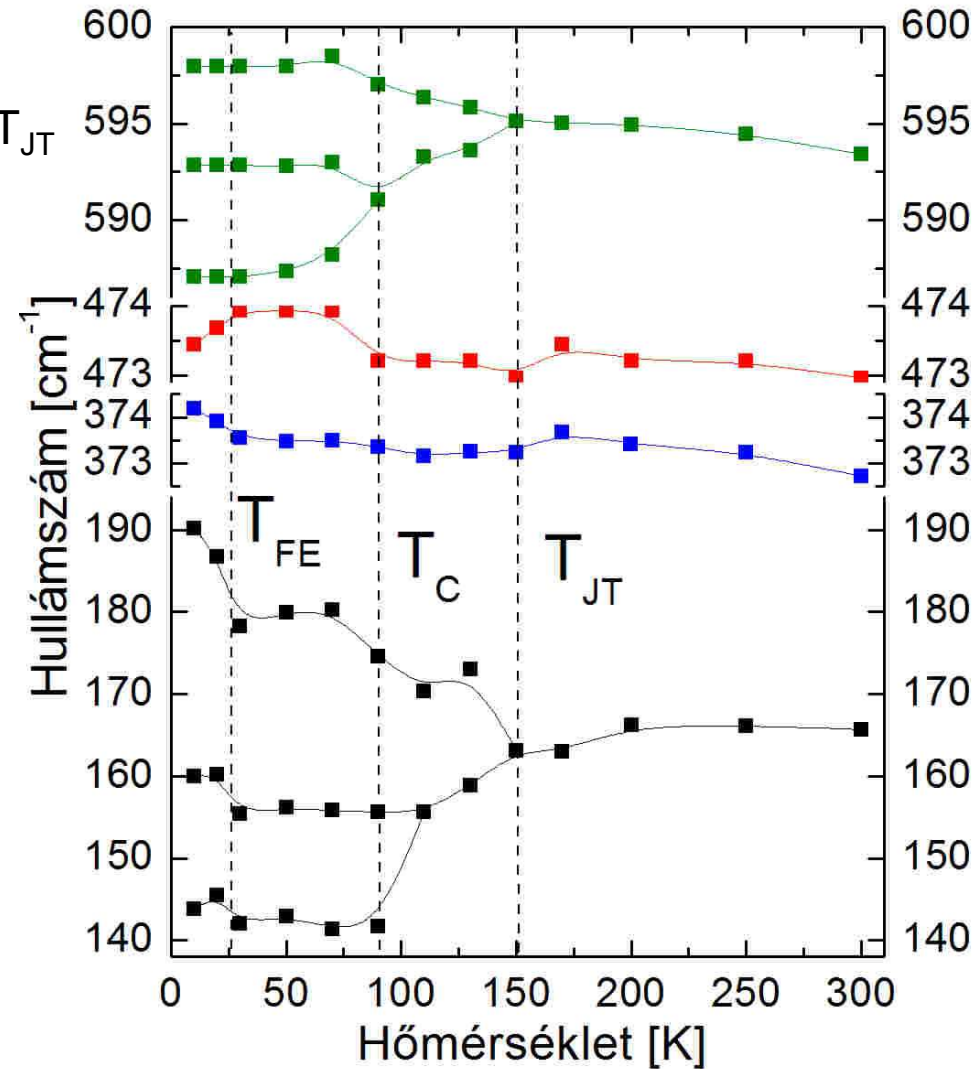
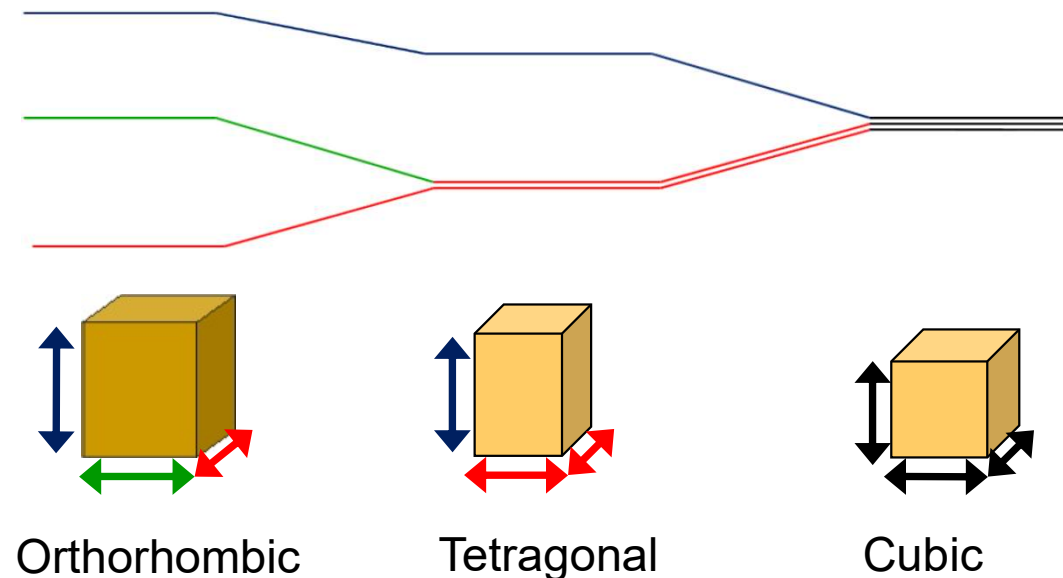
Tanaka et al., J. Phys. Soc. Japan **21**, 262 (1966)

Vibrational spectroscopy

Symmetry lowering as seen by the vibrational modes

Fe²⁺ ions d shell is partially filled:

- Orbital degeneracy → Jahn-Teller distortion, T_{JT}
- Magnetic ordering, T_C



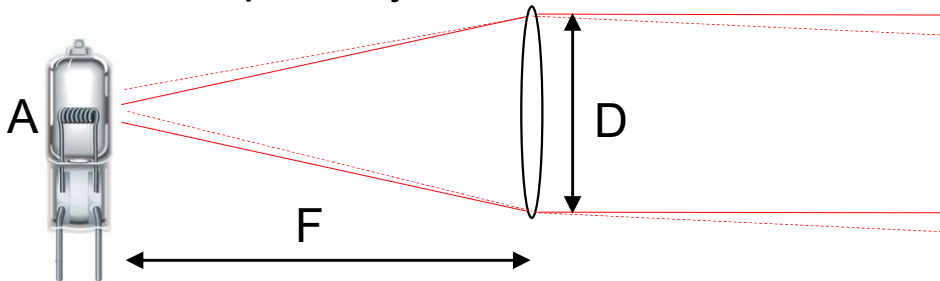
Grating spectroscopy

Grating spectrometer

Radiant flux:
(Total emitted power) $\Phi = \iint_A S dA$

Brightness:
(Radiance) $B = \frac{\delta^2 \Phi}{\delta \Omega \delta A \cos \theta}$

Brightness is conserved in an ideal loss-less optical system



$$B = \frac{\Phi}{A * \frac{\pi (D/2)^2}{F^2}} = B' = \frac{\Phi}{\pi \left(\frac{D}{2}\right)^2 * \frac{A}{F^2}}$$

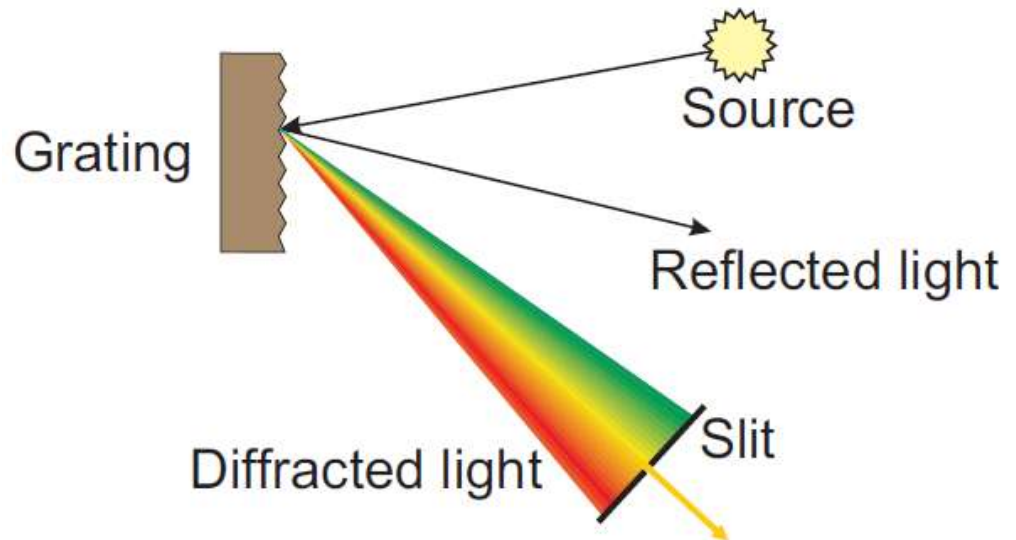
Etendue (throughput): $E = A * \Omega$

f-number = F/D

notation: $f/\#$, e.g. $f/2 = 100 \text{ mm} / 50 \text{ mm} = 2$

Numerical aperture: $NA = n \sin \theta = n \sin(\arctg(D/2F))$

small f-number or large NA is better



Reciprocal dispersion [nm/mm] $\frac{\delta \lambda}{\delta L}$

$2 a \sin \vartheta = m \lambda$ Bragg's law

$\frac{\delta \vartheta}{\delta \lambda} = \frac{m}{a \cos \vartheta}$ grating equation

$\Delta \lambda = \frac{\delta \lambda}{\delta L} W = \frac{\delta \lambda}{F \delta \vartheta} W$

$E_G \propto WH \left(\frac{D}{F}\right)^2 \propto \frac{H}{F} D^2 \frac{\Delta \lambda}{\lambda}$

Fourier transform spectroscopy

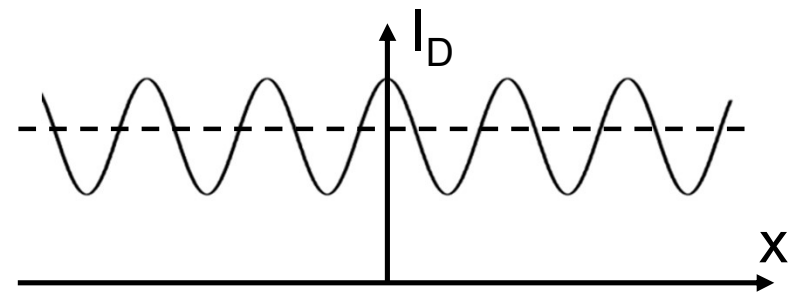
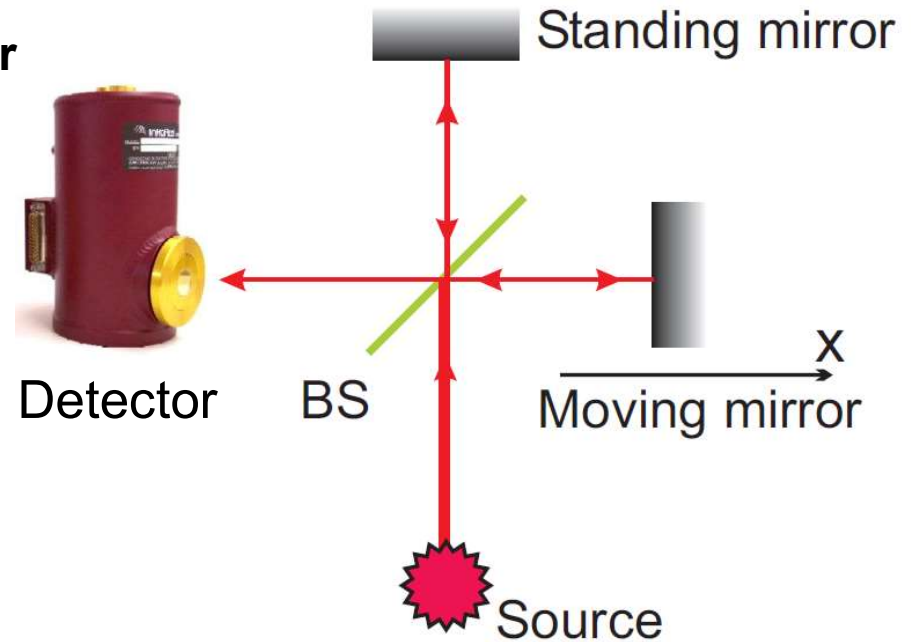
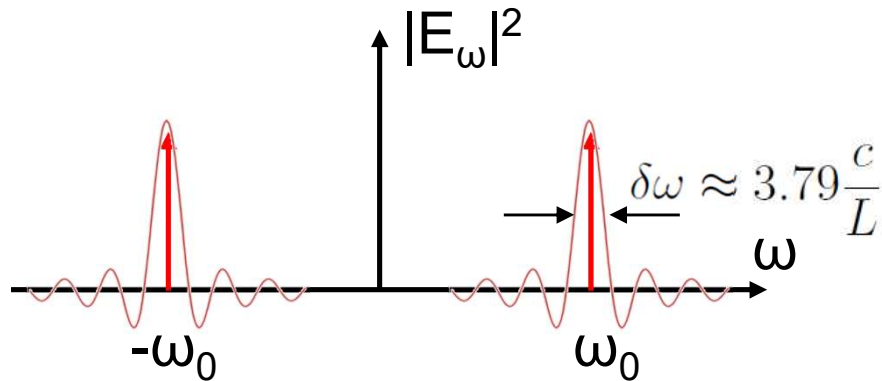
Fourier transform infrared (FT-IR) spectrometer

$$E_D = \int \left(\frac{E_\omega}{2} + \frac{E_\omega}{2} e^{i\frac{\omega}{c}2x} \right) e^{-i\omega t} \frac{d\omega}{2\pi}$$

$$I_D = \frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \langle E_D^* E_D \rangle$$

$$I_D(x) \simeq \frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \frac{1}{2} \int |E_\omega|^2 \cos\left(\frac{\omega}{c}2x\right) \frac{d\omega}{2\pi}$$

$$E(t) = E_o \cos(\omega_o t)$$



$$I_D(K) = \frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \int_{-L/2}^{L/2} \frac{1}{2} \int \frac{1}{4} (\delta(\omega - \omega_o) + \delta(\omega + \omega_o)) \cos\left(\frac{\omega}{c}2x\right) e^{iKx} \frac{d\omega}{2\pi} dx =$$

$$= \frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \frac{L}{32\pi} \left(\frac{\sin\left[\left(2\omega_o - Kc\right)\frac{L}{2c}\right]}{\left(2\omega_o - Kc\right)\frac{L}{2c}} + \frac{\sin\left[\left(2\omega_o + Kc\right)\frac{L}{2c}\right]}{\left(2\omega_o + Kc\right)\frac{L}{2c}} \right)$$

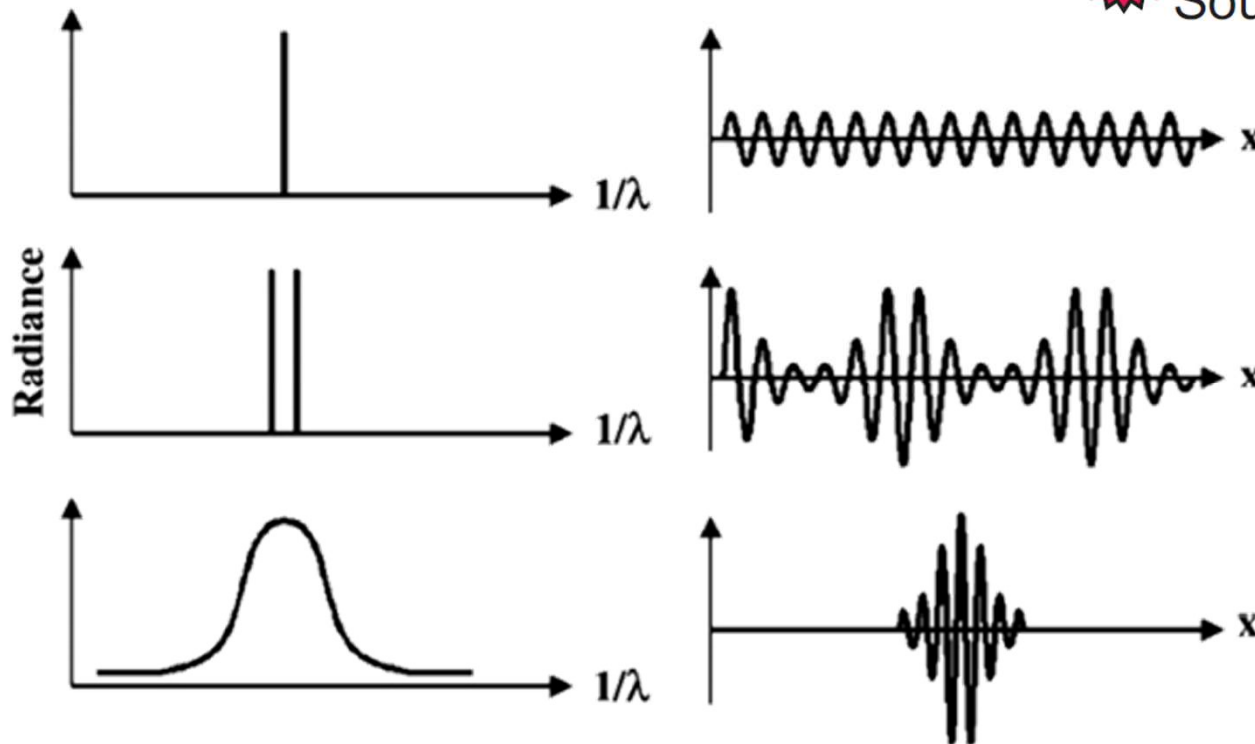
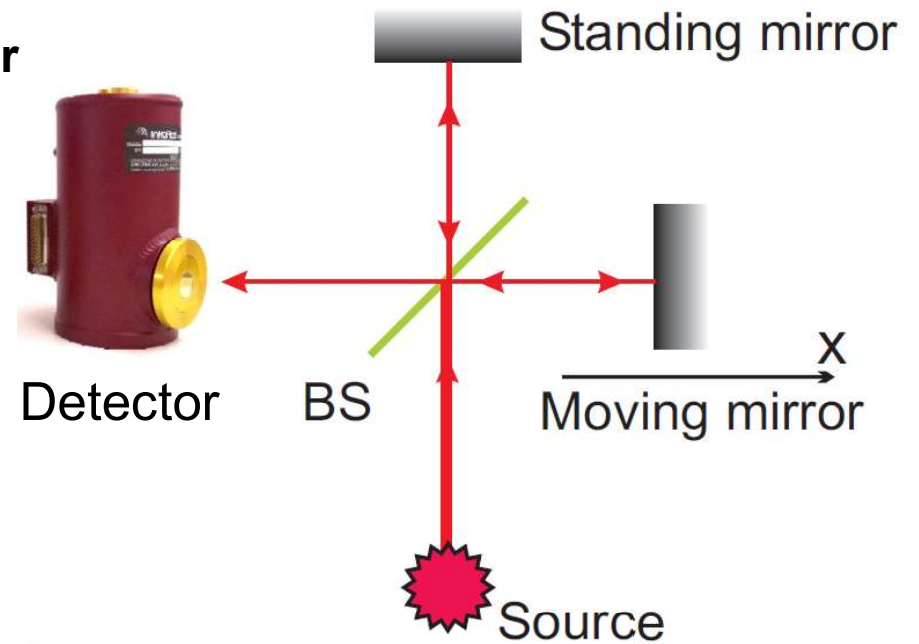
Fourier transform spectroscopy

Fourier transform infrared (FT-IR) spectrometer

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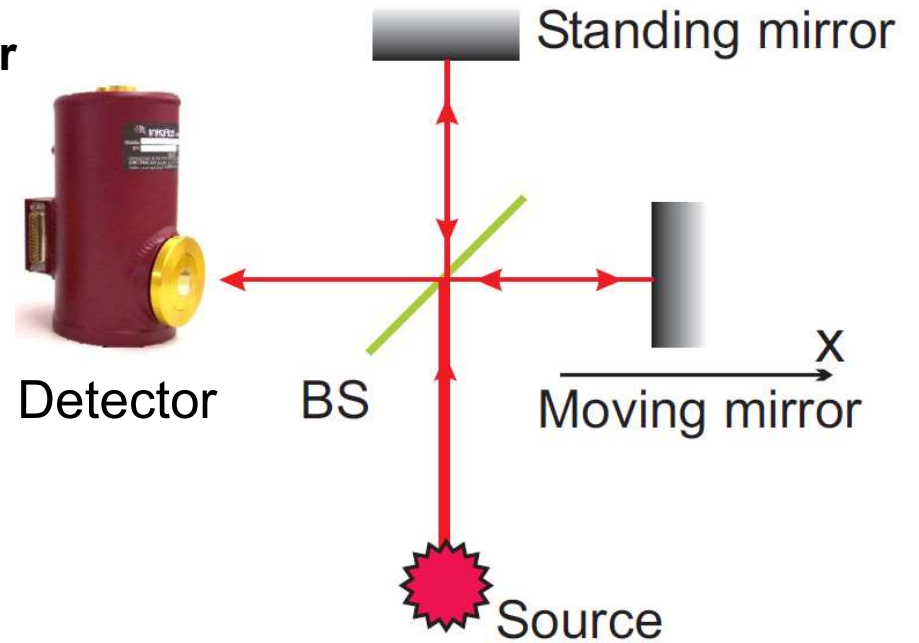
Fourier transform spectroscopy

Fourier transform infrared (FT-IR) spectrometer

Discrete Fourier Transform (DFT)

finite sampling interval, Δx

finite high frequency cut-off $\omega_M = \frac{\pi c}{2\Delta x}$



Fourier transform spectroscopy

Fourier transform infrared (FT-IR) spectrometer

Discrete Fourier Transform (DFT)

finite sampling interval, Δx

finite high frequency cut-off $\omega_M = \frac{\pi c}{2\Delta x}$

multiplex advantage

throughput advantage

$$\xi = \left[\frac{2L}{\cos(\alpha)} - 2L \operatorname{tg}(\alpha) \sin(\alpha) \right] - 2L$$

path difference = [deflected beam] - centered beam

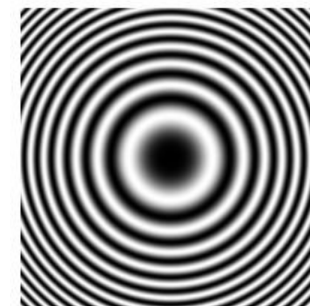
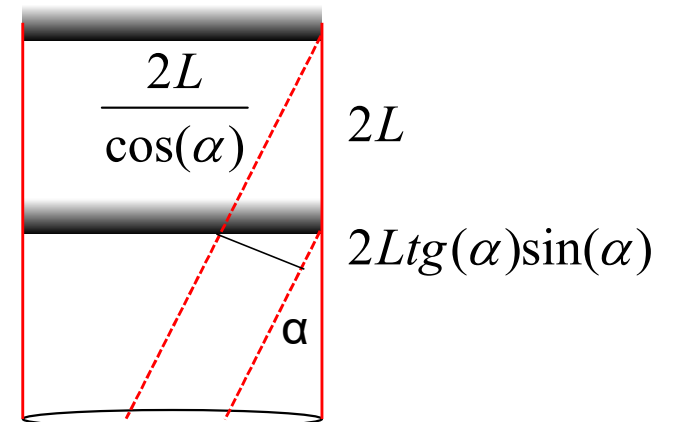
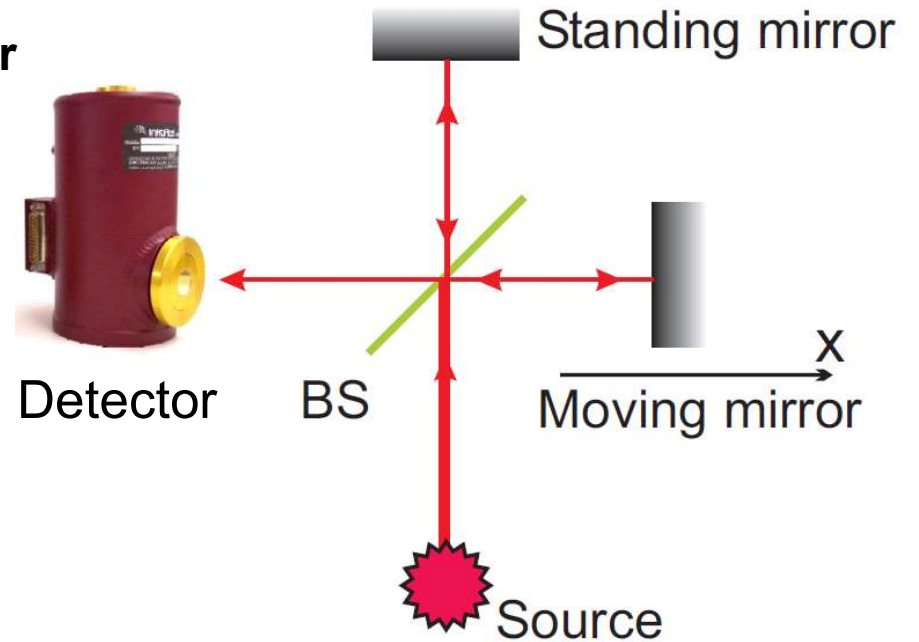
when $\xi \sim \lambda$ cancellation on the detector,

no point to further move the mirror

$$\lambda \approx L\alpha^2$$

$$\frac{\Delta\lambda}{\lambda} \approx \alpha^2 \quad E_{FT} \propto \left(\frac{D}{2}\right)^2 \pi \left(\frac{D}{F}\right)^2 \propto \alpha^2 D^2 = D^2 \frac{\Delta\lambda}{\lambda}$$

$$\frac{E_{FT}}{E_G} \propto \frac{F}{H} \gg 1$$



Raman spectroscopy

Raman spectrometer

