

1 Time reversal

1.1 Without spin

Time-dependent Schrödinger equation:

$$i\hbar\partial_t\psi(\mathbf{r}, t) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) \right] \psi(\mathbf{r}, t) \quad (1)$$

'Local' time-reversal transformation, T :

$$t_1 < t_2 < \dots < t_n \Rightarrow Tt_1 > Tt_2 > \dots > Tt_n \quad (2)$$

$$Tt_i - Tt_j = -(t_i - t_j) \quad (3)$$

$$T = T^{-1} \quad (4)$$

↓

$$\frac{df(T \circ t)}{dt} = \lim_{dt \rightarrow 0} \frac{f(Tt + Tdt) - f(Tt)}{dt} = \lim_{dt \rightarrow 0} \frac{f(Tt - dt) - f(Tt)}{dt} = - \left. \frac{df(t)}{dt} \right|_{Tt} \quad (5)$$

For simplicity, we will denote $\left. \frac{df(t)}{dt} \right|_{Tt}$ by $\frac{df(Tt)}{dt}$, which should not be confused with $\frac{df(T \circ t)}{dt}$!

The time-reversed Schrödinger equation then reads as

$$-i\hbar\partial_t\psi'(\mathbf{r}, Tt) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) \right] \psi'(\mathbf{r}, Tt) . \quad (6)$$

On the other hand,

$$-i\hbar\partial_t\psi^*(\mathbf{r}, t) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) \right] \psi^*(\mathbf{r}, t) \quad (7)$$

↓

$$\psi'(\mathbf{r}, Tt) = C\psi(\mathbf{r}, t) \doteq \psi^*(\mathbf{r}, t) . \quad (8)$$

Properties of the operator C :

$$C^2 = 1, C^{-1} = C, \quad (9)$$

C is anti-hermitian,

$$\langle \psi | C\varphi \rangle = \langle \varphi | C\psi \rangle = \langle C\psi | \varphi \rangle^* \quad (10)$$

and anti-linear,

$$C(c_1\varphi_1 + c_2\varphi_2) = c_1^*C\varphi_1 + c_2^*C\varphi_2 . \quad (11)$$

However, the C preserves the norm of the wavefunctions,

$$\langle C\psi | C\psi \rangle = \langle \psi | \psi \rangle . \quad (12)$$

Relationship to other operators:

$$C(\mathbf{r}\psi) = \mathbf{r}(C\psi) \implies C\mathbf{r} = \mathbf{r}C \implies [\mathbf{r}, C] = 0 \quad (13)$$

Notation:

$$\mathbf{r}^* \doteq C\mathbf{r}C = \mathbf{r}.$$

Furthermore,

$$C(\mathbf{p}\psi) = C\left(\frac{\hbar}{i}\nabla\psi\right) = -\frac{\hbar}{i}\nabla C\psi = -\mathbf{p}(C\psi) \implies C\mathbf{p} = -\mathbf{p}C \implies \mathbf{p}^* = -\mathbf{p} \quad (14)$$

$$C\mathbf{L} = C(\mathbf{r} \times \mathbf{p}) = \mathbf{r} \times C\mathbf{p} = -(\mathbf{r} \times \mathbf{p})C = -\mathbf{L}C \implies \mathbf{L}^* = -\mathbf{L}. \quad (15)$$

1.2 With spin

Pauli-Schrödinger Hamilton operator

$$H = \frac{\mathbf{p}^2}{2m} + V(\mathbf{r}) + \frac{\mu_B}{\hbar}(\mathbf{L} + 2\mathbf{S})\mathbf{B} \quad (16)$$

Pauli-Schrödinger equation

$$i\hbar\partial_t\psi(\mathbf{r}, t) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) + \frac{\mu_B}{\hbar}(\mathbf{L} + 2\mathbf{S})\mathbf{B}\right]\psi(\mathbf{r}, t) \quad (17)$$

Time-reversed magnetic field: $\mathbf{B}' = -\mathbf{B}$! The time-reversed Pauli-Schrödinger equation then takes the form,

$$-i\hbar\partial_t\psi'(\mathbf{r}, Tt) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) + \frac{\mu_B}{\hbar}(\mathbf{L} + 2\mathbf{S})\mathbf{B}'\right]\psi'(\mathbf{r}, Tt) \quad (18)$$

$$= \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) - \frac{\mu_B}{\hbar}(\mathbf{L} + 2\mathbf{S})\mathbf{B}\right]\psi'(\mathbf{r}, Tt). \quad (19)$$

On the other hand,

$$-i\hbar\partial_t\psi^*(\mathbf{r}, t) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) + \frac{\mu_B}{\hbar}(\mathbf{L}^* + 2\mathbf{S}^*)\mathbf{B}\right]\psi^*(\mathbf{r}, t) \quad (20)$$

$$= \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) - \frac{\mu_B}{\hbar}(\mathbf{L} - 2\mathbf{S}^*)\mathbf{B}\right]\psi^*(\mathbf{r}, t) \quad (21)$$

It is then tempting to suppose that $\exists \mathbf{M} \in \mathcal{L}(\mathbb{C}^2)$ such that

$$\psi'(\mathbf{r}, Tt) = \mathbf{M}C\psi(\mathbf{r}, t) = \mathbf{M}\psi^*(\mathbf{r}, t). \quad (22)$$

Since \mathbf{M} acts only in spin space, it commutes with \mathbf{r} , \mathbf{p} and \mathbf{L} . Thus,

$$-i\hbar\mathbf{M}\partial_t\psi^*(\mathbf{r}, t) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) - \frac{\mu_B}{\hbar}(\mathbf{L} - 2\mathbf{S})\mathbf{B}\right]\mathbf{M}\psi^*(\mathbf{r}, t) \quad (23)$$

\Downarrow

$$-i\hbar\partial_t\psi^*(\mathbf{r}, t) = \left[-\frac{\hbar^2}{2m}\Delta + V(\mathbf{r}) - \frac{\mu_B}{\hbar}(\mathbf{L} + 2\mathbf{M}^{-1}\mathbf{S}\mathbf{M})\mathbf{B}\right]\psi^*(\mathbf{r}, t). \quad (24)$$

This equation is obviously satisfied if

$$\mathbf{M}^{-1}\mathbf{S}\mathbf{M} = -\mathbf{S}^* = -\mathbf{C}\mathbf{S}\mathbf{C} \implies \mathbf{S}\mathbf{M}\mathbf{C} = -\mathbf{M}\mathbf{C}\mathbf{S}. \quad (25)$$

Let's define the time-reversal operator as $T \doteq \mathbf{M}\mathbf{C}$,

$$\mathbf{T}\mathbf{S} = -\mathbf{S}\mathbf{T}. \quad (26)$$

It is easy to prove that

$$T = e^{i\theta}\sigma_y C \quad (27)$$

for any $\theta \in \mathbb{R}$ is a general solution of Eq. (26). In most text-books $\theta = \frac{\pi}{2} \rightarrow T = i\sigma_y C$ is chosen, but in these notes we take $\theta = 0 \rightarrow T = \sigma_y C$.

Proof:

$$\sigma_x^* = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \sigma_x \quad \sigma_y^* = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix} = -\sigma_y \quad \sigma_z^* = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \sigma_z \quad (28)$$

\Downarrow

$$T^{-1}\sigma_x T = (-\sigma_y C)\sigma_x(\sigma_y C) = \sigma_y\sigma_x\sigma_y = -\sigma_x \quad (29)$$

$$T^{-1}\sigma_y T = (-\sigma_y C)\sigma_y(\sigma_y C) = -\sigma_y \quad (30)$$

$$T^{-1}\sigma_z T = (-\sigma_y C)\sigma_z(\sigma_y C) = \sigma_y\sigma_z\sigma_y = -\sigma_z. \quad (31)$$

Properties:

$$T^{-1} = C\sigma_y = \sigma_y^* C = -\sigma_y C = -T \quad (32)$$

\Downarrow

$$T^2 = -1. \quad (34)$$

From the relationship,

$$\begin{aligned} \langle \psi | T\varphi \rangle &= \langle \psi | \sigma_y C\varphi \rangle = \langle \sigma_y \psi | C\varphi \rangle = (\sigma_y^{rs})^* \langle \psi_s | C\varphi_r \rangle = \langle \varphi_r | C\sigma_y^{rs} \psi_s \rangle \\ &= \langle \varphi | C\sigma_y \psi \rangle = -\langle \varphi | T\psi \rangle = -\langle T\psi | \varphi \rangle^*, \end{aligned} \quad (35)$$

it follows that

$$\langle \psi | T\psi \rangle = -\langle \psi | T\psi \rangle = 0, \quad (36)$$

i.e. ψ and $T\psi$ are orthogonal. Note also that T is antiunitary,

$$\langle \psi | \varphi \rangle = \langle \psi | T T^{-1} \varphi \rangle = -\langle T\psi | T^{-1} \varphi \rangle^* = \langle T\psi | T\varphi \rangle^* = \langle T\varphi | T\psi \rangle$$

and norm-conserving,

$$\langle T\psi | T\psi \rangle = -\langle \psi | T^2 \psi \rangle = \langle \psi | \psi \rangle. \quad (37)$$

The momentum operators and angular momentum operators change sign upon time reversal:

$$T^{-1}\mathbf{p}T = C\sigma_y\mathbf{p}\sigma_y C = C\mathbf{p}C = -\mathbf{p} \implies \mathbf{p}T = -\mathbf{p}T \quad (38)$$

$$T^{-1}\mathbf{L}T = C\sigma_y\mathbf{L}\sigma_y C = C\mathbf{L}C = -\mathbf{L} \implies \mathbf{L}T = -\mathbf{L}T, \quad (39)$$

thus the Hamilton operator with magnetic field \mathbf{B} transforms to that with $-\mathbf{B}$,

$$TH(\mathbf{B}) = T \left(\frac{\mathbf{p}^2}{2m} + V(\mathbf{r}) + \frac{\mu_B}{\hbar} (\mathbf{L} + 2\mathbf{S}) \mathbf{B} \right) = \left(\frac{\mathbf{p}^2}{2m} + V(\mathbf{r}) - \frac{\mu_B}{\hbar} (\mathbf{L} + 2\mathbf{S}) \mathbf{B} \right) T = H(-\mathbf{B})T, \quad (40)$$

or

$$T^{-1}H(\mathbf{B})T = H(-\mathbf{B}). \quad (41)$$

This is the manifestation that time reversal changes the sign of the magnetic field. This is valid even in the presence of spin-orbit coupling,

$$H_{SO} = \frac{\hbar}{4m^2c^2} (\nabla V \times \mathbf{p}) \boldsymbol{\sigma}, \quad (42)$$

since

$$\begin{aligned} T^{-1}(\nabla V \times \mathbf{p}) \boldsymbol{\sigma} T &= (T^{-1}(\nabla V \times \mathbf{p})T) (T^{-1}\boldsymbol{\sigma}T) \\ &= (\nabla V \times (-\mathbf{p})) (-\boldsymbol{\sigma}) = (\nabla V \times \mathbf{p}) \boldsymbol{\sigma}, \end{aligned} \quad (43)$$

i.e. H_{SO} also commutes with T .

Corollary 1: The Hamilton operator of a system is time-reversal invariant only in the absence of external magnetic field (or spontaneous spin-polarization (exchange splitting) that couples only to the spin of electrons, $H_{sp} = \frac{2\mu_B}{\hbar} \mathbf{S} \mathbf{B}_{ex}$).

Corollary 2: If $\psi_n(\mathbf{B})$ is an eigenstate of $H(\mathbf{B})$,

$$H(\mathbf{B})\psi_n(\mathbf{B}) = \varepsilon_n(\mathbf{B})\psi_n(\mathbf{B}) \quad (44)$$

then $T^{-1}\psi_n(\mathbf{B})$ is the eigenstate of $H(-\mathbf{B})$ with the same energy,

$$T^{-1}H(\mathbf{B})TT^{-1}\psi_n(\mathbf{B}) = H(-\mathbf{B})T^{-1}\psi_n(\mathbf{B}) = \varepsilon_n(\mathbf{B})T^{-1}\psi_n(\mathbf{B}). \quad (45)$$

This implies that the spectra of $H(\mathbf{B})$ and $H(-\mathbf{B})$ are identical, i.e. the energy of a system does not change if the magnetic field is reversed.

1.3 Kramers degeneracy

Let us consider an eigenfunction, $\psi(\mathbf{r}_1s_1, \dots, \mathbf{r}_Ns_N)$ of the N -electron Hamiltonian,

$$H\psi = \varepsilon\psi \quad (46)$$

being *invariant upon time reversal*,

$$T^{-1}HT = H. \quad (47)$$

The time-reversed wavefunction, $T\psi$, is then also eigenfunction of H with the same eigenvalue,

$$T^{-1}HT\psi = E\psi \implies H(T\psi) = \varepsilon(T\psi). \quad (48)$$

The time reversal operator T of the many-electron system should satisfy

$$T\mathbf{S}^{(k)} = -\mathbf{S}^{(k)}T, \quad (49)$$

for any $k = 1, \dots, N$, where $\mathbf{S}^{(k)} = \frac{\hbar}{2}\boldsymbol{\sigma}^{(k)}$ is the spin operator of electron k . It is prove to see that T can be represented as

$$T = \sigma_y^{(1)} \dots \sigma_y^{(N)} C . \quad (50)$$

since $\boldsymbol{\sigma}^{(k)}$ and $\boldsymbol{\sigma}^{(k')}$ commute for $k \neq k'$. The we can derive,

$$T = \sigma_y^{(1)} \dots \sigma_y^{(N)} C = (-1)^N C \sigma_y^{(1)} \dots \sigma_y^{(N)} = (-1)^N T^{-1} \implies T^2 = (-1)^N , \quad (51)$$

consequently,

$$T^{-1} = (-1)^N T .$$

This then implies,

$$\begin{aligned} \langle \psi | T \psi \rangle &= \langle \psi | \sigma_y^{(1)} \dots \sigma_y^{(N)} C \psi \rangle = \langle \sigma_y^{(1)} \dots \sigma_y^{(N)} \psi | C \psi \rangle \stackrel{\text{Eq. (10)}}{=} \langle \psi | C \sigma_y^{(1)} \dots \sigma_y^{(N)} \psi \rangle \\ &= (-1)^N \langle \psi | \sigma_y^1 \dots \sigma_y^N C \psi \rangle = (-1)^N \langle \psi | T \psi \rangle . \end{aligned} \quad (52)$$

Corollary: For odd number of electrons ψ and $T\psi$ are orthogonal, therefore, the eigenstates of the system are at least twofold degenerate.

1.4 Kramers degeneracy of Bloch-states

We consider the Hamiltonian derived from the Dirac equation up to first order of $1/c^2$:

$$H = \frac{\mathbf{p}^2}{2m} + V(\mathbf{r}) - \frac{\mathbf{p}^4}{8m^3c^2} + \frac{\hbar^2}{8m^2c^2} \Delta V(\mathbf{r}) + \frac{\hbar}{4m^2c^2} (\nabla V(\mathbf{r}) \times \mathbf{p}) \sigma , \quad (53)$$

where the third, fourth and fifth terms represent the relativistic kinetic energy correction, the Darwin term and the spin-orbit coupling, respectively. This one-electron Hamiltonian is invariant w.r.t. time-reversal,

$$T^{-1} H T = H . \quad (54)$$

In the previous section we learned that the eigenstates are at least two-fold degenerate:

$$H \psi = \varepsilon \psi \quad (55)$$

$$H (T \psi) = \varepsilon (T \psi) \quad (56)$$

and $T\psi$ is orthogonal to ψ .

A Bloch-state eigenfunction is defined as

$$\psi_{\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\mathbf{r}} u_{\mathbf{k}}(\mathbf{r}) \quad (57)$$

$$H_{\mathbf{k}} u_{\mathbf{k}} = \varepsilon_{\mathbf{k}} u_{\mathbf{k}} , u_{\mathbf{k}}(\mathbf{r} + \mathbf{R}) = u_{\mathbf{k}}(\mathbf{r}) , \quad (58)$$

where the \mathbf{k} -dependent Hamiltonian for a non-spinpolarized periodic solid is,

$$H_{\mathbf{k}} = \frac{(\mathbf{p} + \hbar\mathbf{k})^2}{2m} + V(\mathbf{r}) - \frac{(\mathbf{p} + \hbar\mathbf{k})^4}{8m^3c^2} + \frac{\hbar^2}{8m^2c^2} \Delta V(\mathbf{r}) + \frac{\hbar}{4m^2c^2} (\nabla V(\mathbf{r}) \times (\mathbf{p} + \hbar\mathbf{k})) \sigma . \quad (59)$$

It is straightforward to show that

$$T^{-1} H_{\mathbf{k}} T = H_{-\mathbf{k}} , \quad (60)$$

therefore,

$$T^{-1}H_{\mathbf{k}}u_{\mathbf{k}} = \varepsilon_{\mathbf{k}}T^{-1}u_{\mathbf{k}} \quad (61)$$

↓

$$H_{-\mathbf{k}}(T^{-1}u_{\mathbf{k}}) = \varepsilon_{\mathbf{k}}(T^{-1}u_{\mathbf{k}}) \quad (62)$$

↓

$$\varepsilon_{-\mathbf{k}} = \varepsilon_{\mathbf{k}}, \quad (63)$$

and the two degenerate wavefunctions are:

$$\psi_{\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\mathbf{r}} \begin{pmatrix} u_{\mathbf{k}+}(\mathbf{r}) \\ u_{\mathbf{k}-}(\mathbf{r}) \end{pmatrix} \quad \text{and} \quad \psi_{-\mathbf{k}}^{(1)}(\mathbf{r}) = e^{-i\mathbf{k}\mathbf{r}} \begin{pmatrix} iu_{\mathbf{k}-}^*(\mathbf{r}) \\ -iu_{\mathbf{k}+}^*(\mathbf{r}) \end{pmatrix}. \quad (64)$$

1.5 Space inversion

Let's consider the case when also *space inversion* (i) applies:

$$V(i\mathbf{r}) = V(-\mathbf{r}) = V(\mathbf{r}) \quad (65)$$

$$\nabla V(i\mathbf{r}) = -\nabla V(\mathbf{r}) \quad (66)$$

$$\Delta V(i\mathbf{r}) = \Delta V(\mathbf{r}) \quad (67)$$

$$i(\mathbf{p}f(\mathbf{r})) = -\mathbf{p}f(-\mathbf{r}) \quad (68)$$

↓

$$i(H_{\mathbf{k}}(\mathbf{r})u_{\mathbf{k}}(\mathbf{r})) = H_{-\mathbf{k}}(\mathbf{r})u_{\mathbf{k}}(-\mathbf{r}) \quad (69)$$

↓

$$H_{-\mathbf{k}}(\mathbf{r})u_{\mathbf{k}}(-\mathbf{r}) = \varepsilon_{\mathbf{k}}u_{\mathbf{k}}(-\mathbf{r}). \quad (70)$$

Similar to time-reversal this implies that

$$\varepsilon_{-\mathbf{k}} = \varepsilon_{\mathbf{k}} \quad (71)$$

and also

$$u_{-\mathbf{k}}(\mathbf{r}) = u_{\mathbf{k}}(-\mathbf{r}).$$

The corresponding Bloch-eigenfunction for $-\mathbf{k}$ is given by,

$$\psi_{-\mathbf{k}}^{(2)}(\mathbf{r}) = e^{-i\mathbf{k}\mathbf{r}} \begin{pmatrix} u_{\mathbf{k}+}(-\mathbf{r}) \\ u_{\mathbf{k}-}(-\mathbf{r}) \end{pmatrix}. \quad (72)$$

In case of both time-reversal and inversion symmetry, the two eigenfunctions for $-\mathbf{k}$ with the same energy $\varepsilon_{-\mathbf{k}} (= \varepsilon_{\mathbf{k}})$ are orthogonal:

$$\int \psi_{-\mathbf{k}}^{(1)}(\mathbf{r})^+ \psi_{-\mathbf{k}}^{(2)}(\mathbf{r}) d^3r = -i \int [u_{\mathbf{k}-}(\mathbf{r})u_{\mathbf{k}+}(-\mathbf{r}) - u_{\mathbf{k}+}(\mathbf{r})u_{\mathbf{k}-}(-\mathbf{r})] d^3r = 0. \quad (73)$$

Corollary: The Bloch-states (related to a given \mathbf{k}) of a nonmagnetic centro-symmetric crystal are at least twofold degenerate.

1.6 Sorting out the eigenstates by spin-expectation value

In general, the eigenfunctions $\psi_{\mathbf{k}}^{(\mu)}$ ($\mu = 1, 2$) are not eigenfunctions of the spin-operator S_z for any chosen quantization axis z . This is only the case in the absence of spin-orbit coupling. Nevertheless, it is possible to construct the linear combinations,

$$\psi_{\mathbf{k}}^{(+)} = c_1 \psi_{\mathbf{k}}^{(1)} + c_2 \psi_{\mathbf{k}}^{(2)} \quad (74)$$

$$\psi_{\mathbf{k}}^{(-)} = -c_2^* \psi_{\mathbf{k}}^{(1)} + c_1^* \psi_{\mathbf{k}}^{(2)} \quad (75)$$

such that (i)

$$|c_1|^2 + |c_2|^2 = 1 \quad (76)$$

i.e. the two states are orthonormal, (ii)

$$\langle \psi_{\mathbf{k}}^{(+/-)} | \sigma_x | \psi_{\mathbf{k}}^{(+/-)} \rangle = \langle \psi_{\mathbf{k}}^{(+/-)} | \sigma_y | \psi_{\mathbf{k}}^{(+/-)} \rangle = 0 \quad (77)$$

and (iii)

$$\langle \psi_{\mathbf{k}}^{(+/-)} | \sigma_z | \psi_{\mathbf{k}}^{(+/-)} \rangle = \pm P(\mathbf{k}) \quad (78)$$

$$0 \leq P(\mathbf{k}) \leq 1 \quad (79)$$

Thus we can sort out the two degenerate states by the spectral spin-polarization, $P(\mathbf{k})$. As it should apply for a nonmagnetic system, the expectation value of S_z within any eigen-subspace of the Hamiltonian is zero, since it always contains the orthonormal pairs of eigenfunctions, $\psi_{\mathbf{k}}^{(+)}$ and $\psi_{\mathbf{k}}^{(-)}$.

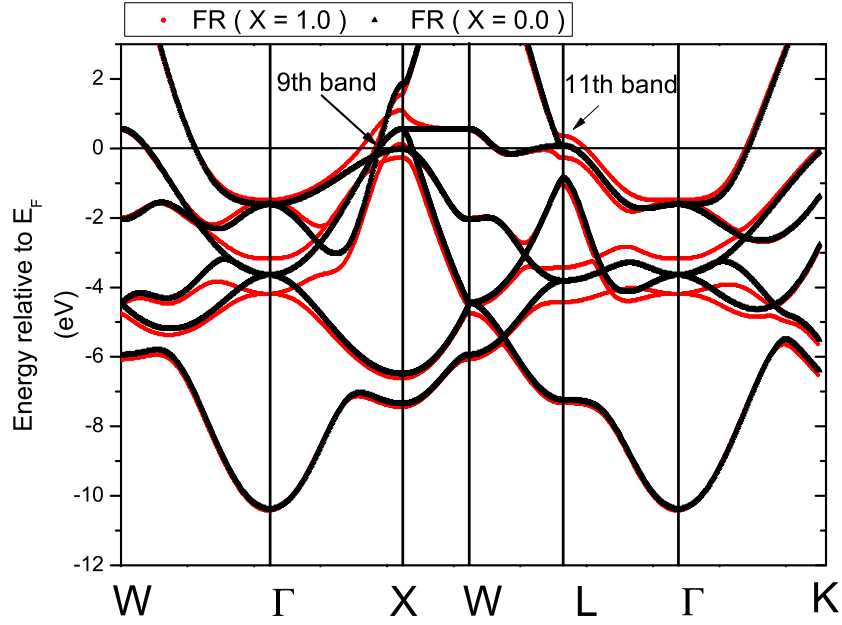


FIG. 2: Band structure of Pt from the fully relativistic (red) and the relativistic with the spin-orbit coupling scaled to zero (black) calculation.

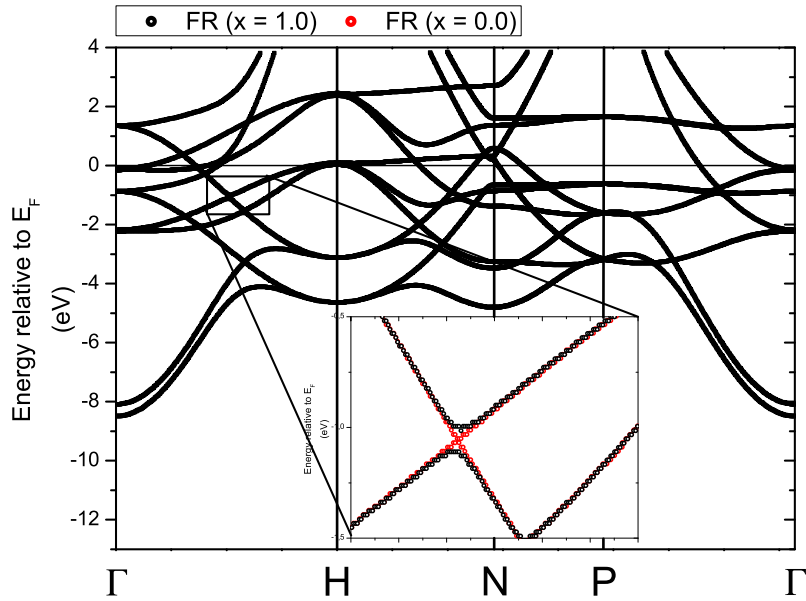


FIG. 3: Calculated fully relativistic band structure of bcc Fe. The small inset shows a comparison to the calculation with the spin-orbit coupling scaled to zero ($x=0$). The spin-orbit interaction leads to avoided crossings.

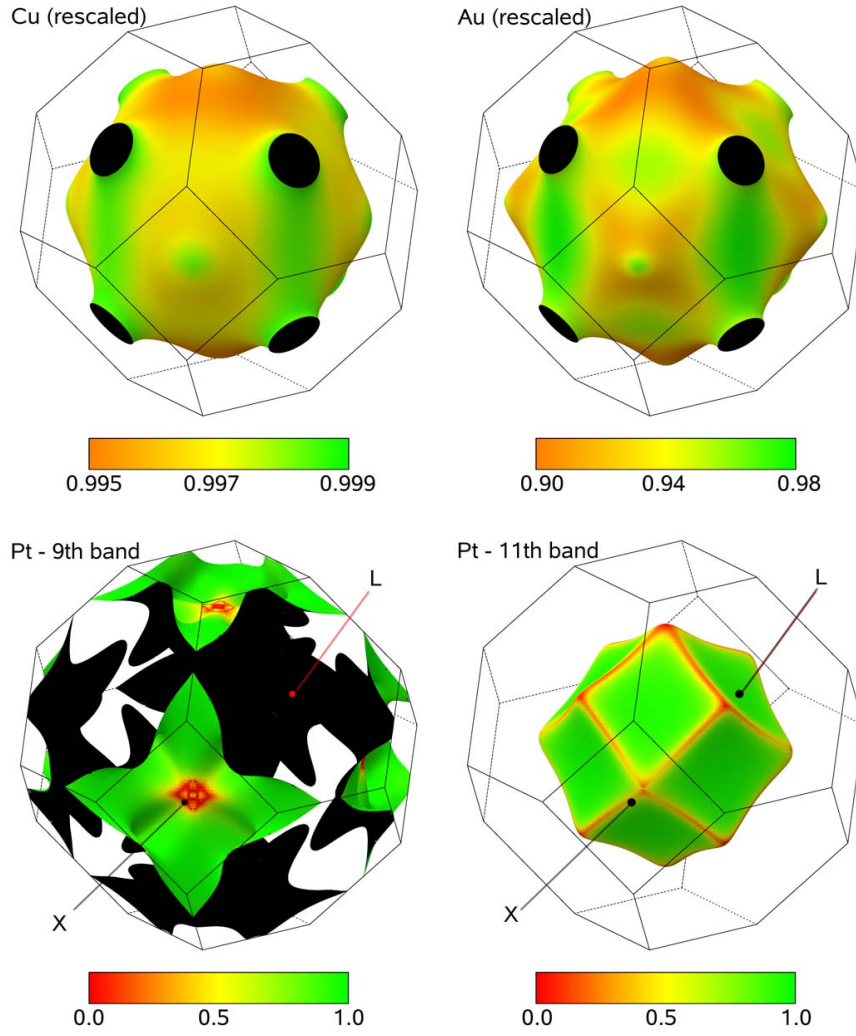


FIG. 4: Calculated relativistic Fermi surface of Cu (upper left), Au (upper right) and Pt (lower left: 9th band, lower right: 11th band), and the expectation values of $\hat{\beta}\sigma_z$ for the $|\Psi_k^+\rangle$ states are indicated as color code. Note the different scale for Cu and Au in comparison to Pt.

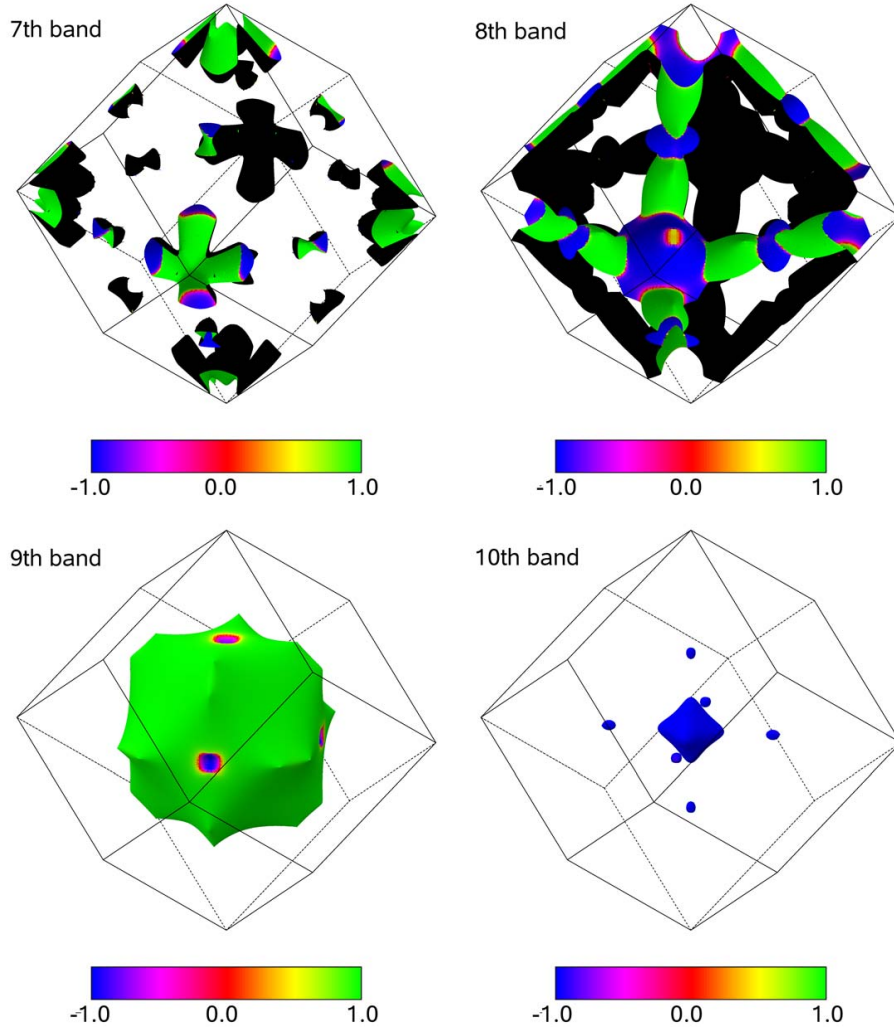


FIG. 5: Calculated relativistic Fermi surface for the bands 7-10 of bcc Fe. The expectation values of the $\hat{\beta}\sigma_z$ operator are given as color code.