

Optical spectroscopy in materials science 8.

**Infrared spectroscopy 2.
Raman spectroscopy**

Optical spectroscopy of anisotropic media

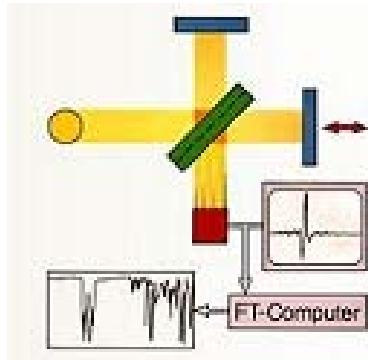
Kamarás Katalin

MTA Wigner FK

kamaras.katalin@wigner.mta.hu

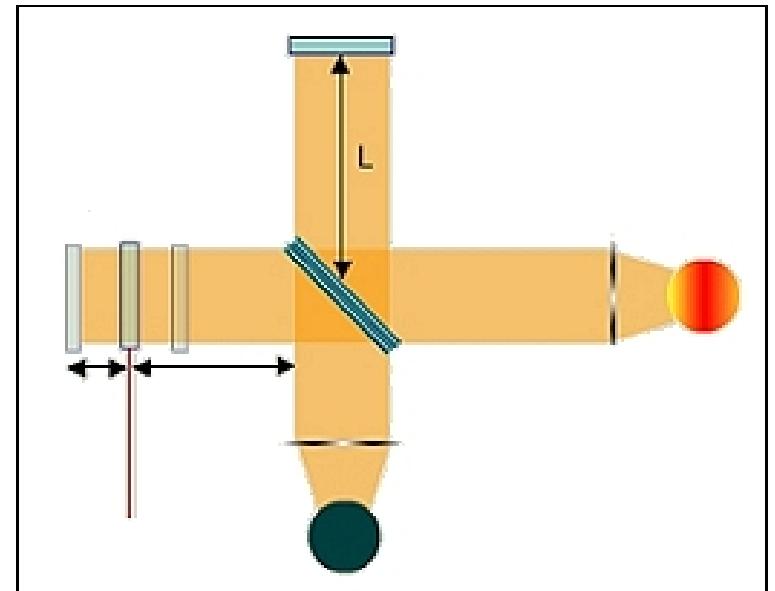


Fourier-transformation infrared (FTIR) spectroscopy

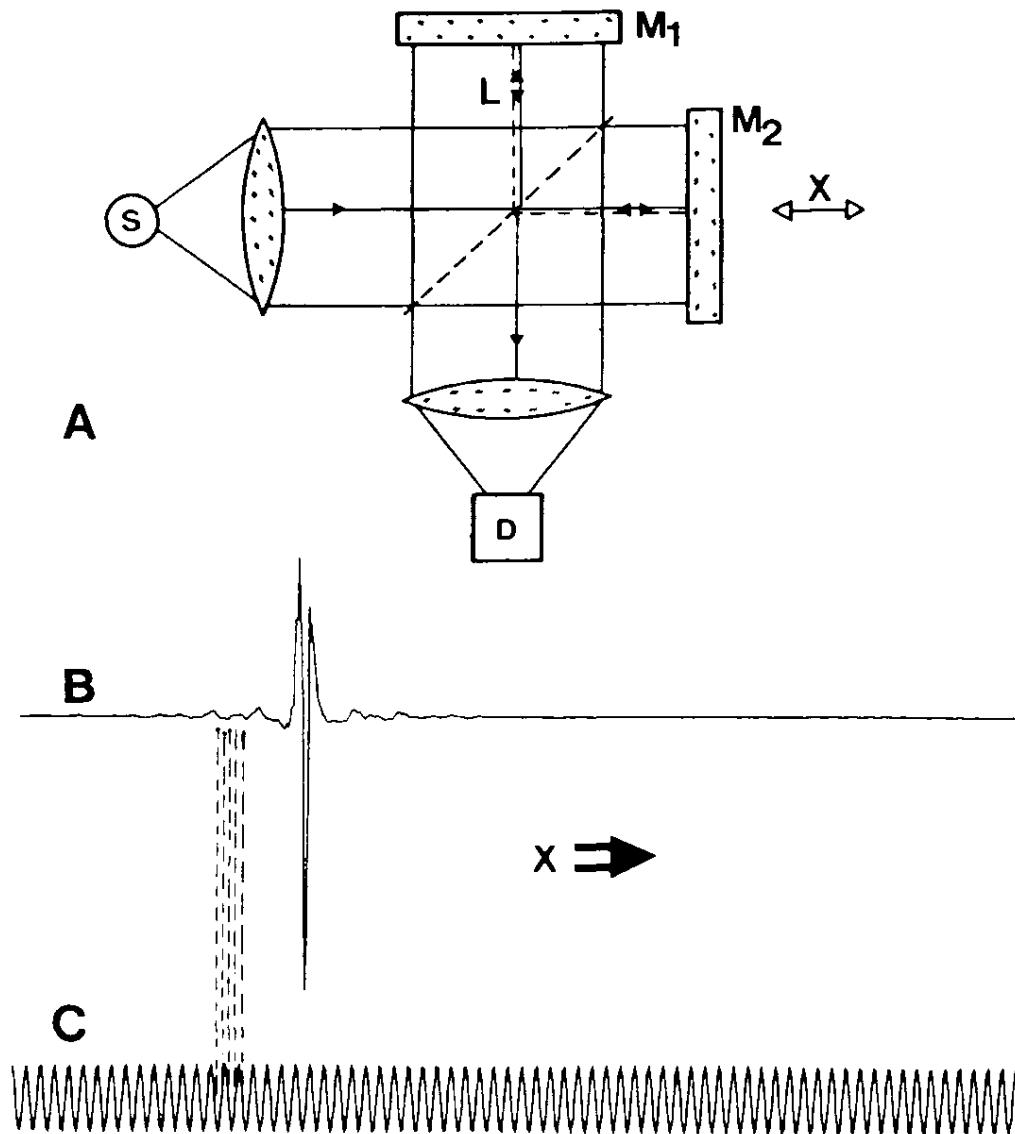


$$I(\nu^*) = \int_{-\infty}^{+\infty} I(x) \cos(2\pi\nu^* x) dx$$

$$i(\nu^*) = \Delta x \sum_{-M}^M I(m\Delta x) \cos(2\pi\nu^* m\Delta x)$$



Sampling control: He-Ne laser



Typical parameters

Mirror velocity: 0.5-60 mm/sec

He-Ne laser wavelength: 632.8 nm, wavenumber 15800 cm^{-1}

minimal distance of zero points: 316.4 nm $n_{\max} = 15800 \text{ cm}^{-1}$
632.8 nm $n_{\max} = 7900 \text{ cm}^{-1}$

frequency of signal at detector: $f=2vn^*$

for $f=1.58 \text{ mm/sec}$

$400 \text{ cm}^{-1} f=126 \text{ Hz}$

$4000 \text{ cm}^{-1} f=1260 \text{ Hz}$



Signal evaluation

Interferogram

Fourier transformation:

Apodization

Phase correction

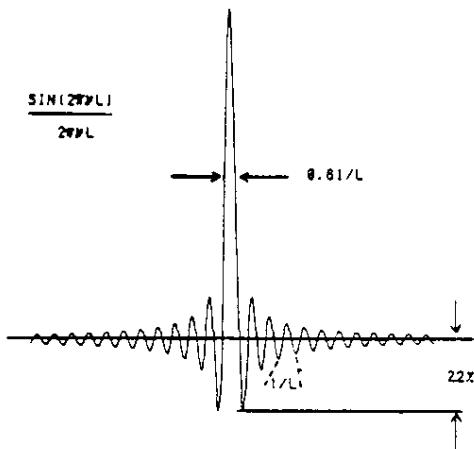
Zerofilling

$$i(\nu^*) = \Delta x \sum_{-M}^M I(m\Delta x) \cos(2\pi\nu^* m\Delta x) \quad \text{finite, discrete FT}$$



Apodization

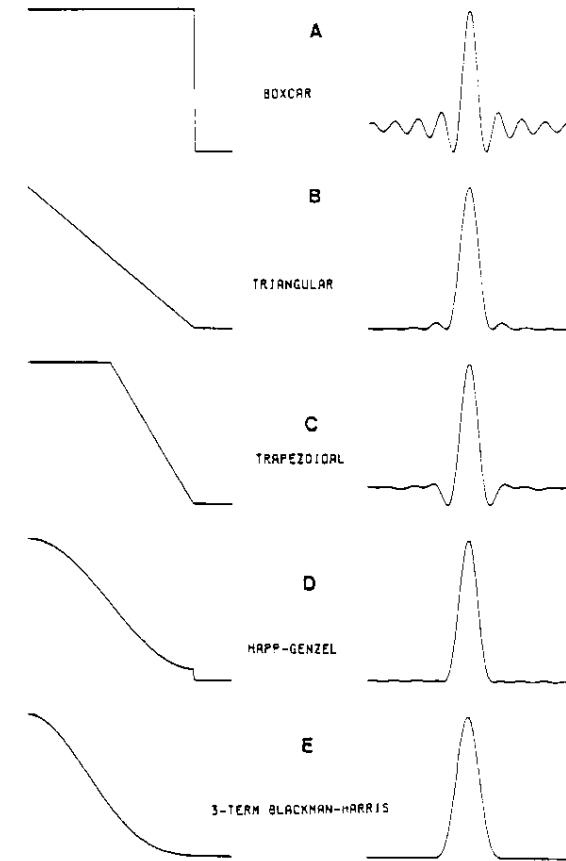
Instrumental lineshape:



Fourier transform of the boxcar cutoff, known as the sinc function. Largest side lobe is 22 % of the main lobe amplitude. L = Optical Pathlength Difference.

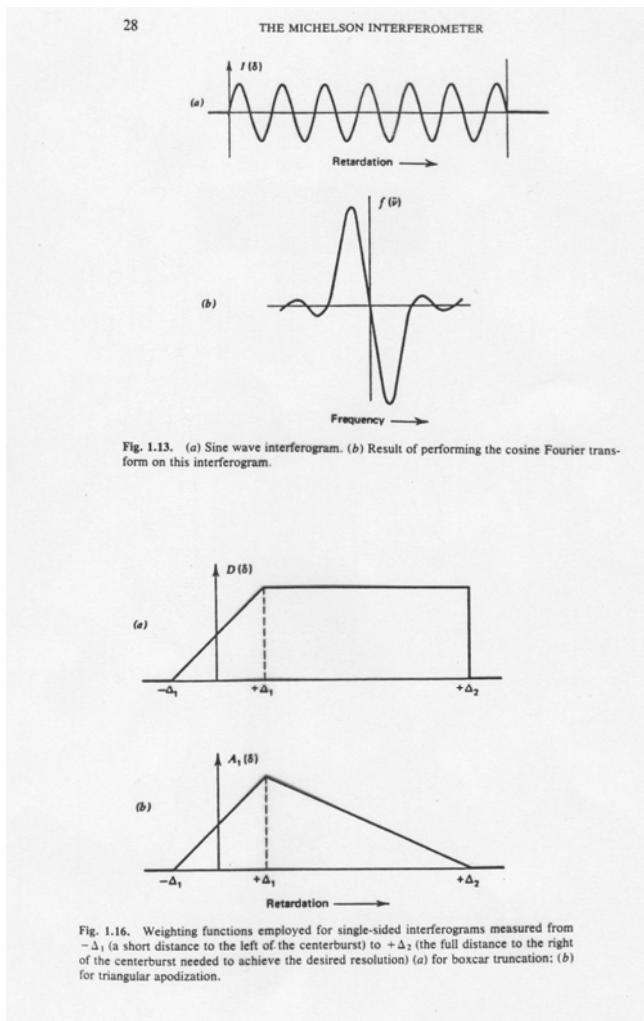
$$I(v) = 2M\Delta x \frac{\sin(2\pi v M \Delta x)}{2\pi v M \Delta x} = 2M\Delta x \operatorname{sinc}(2\pi v M \Delta x)$$

Apodization
(French for
“cutting the feet off”:
convolution with
other functions



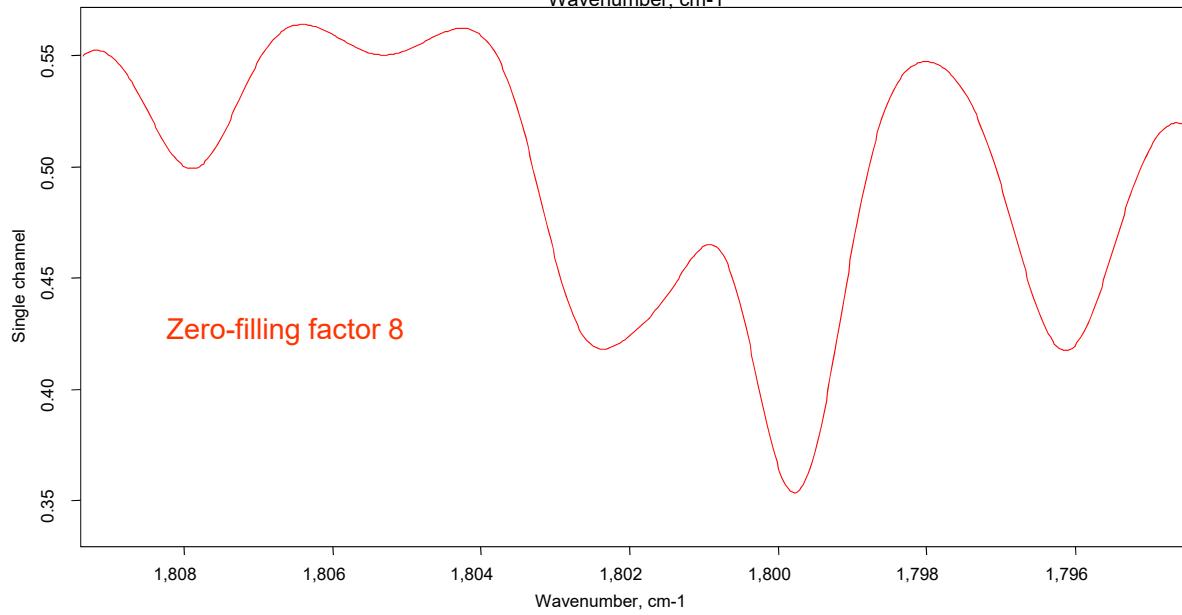
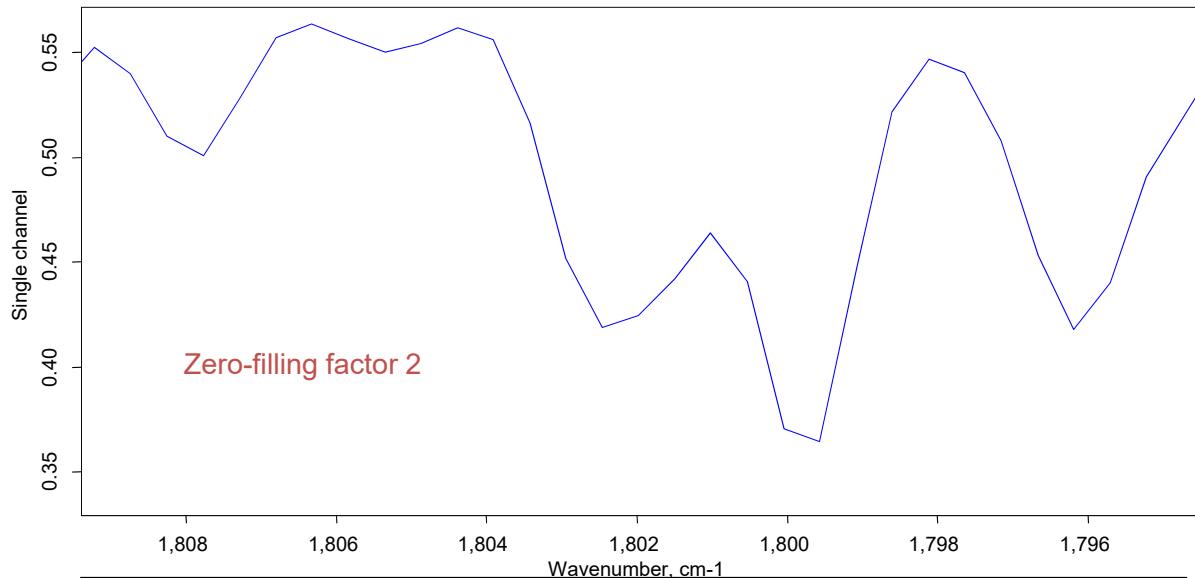
Several apodization functions (left) and the 'Instrumental Lineshape' produced by them (right). The cases A - D are commonly used in FT-IR.

Phase correction



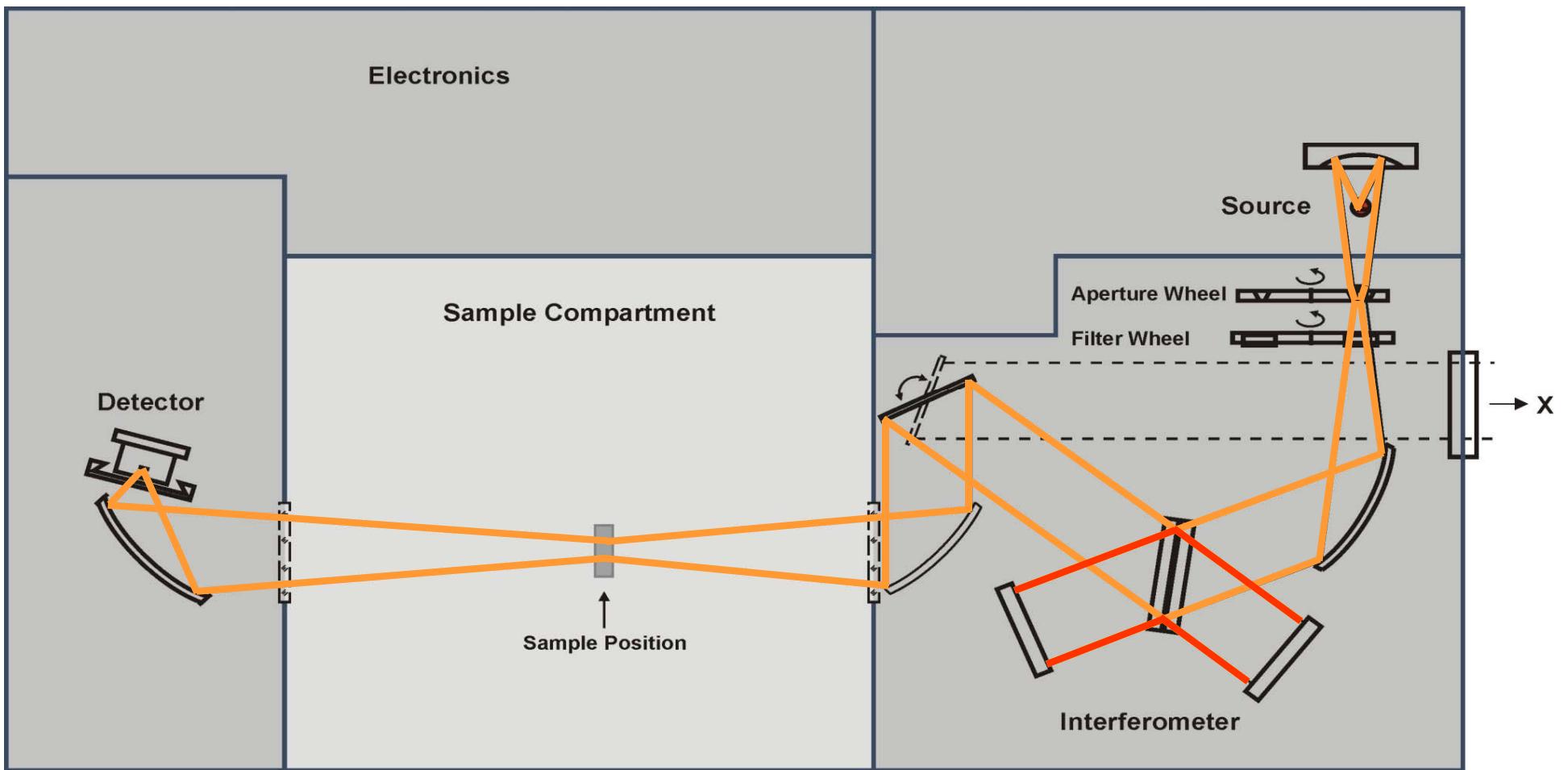
Interferogram contains $\sin(x)$ contributions
Complex Fourier transformation
Determination of phase at chosen frequencies
(real resolution decreases)

Zerofilling

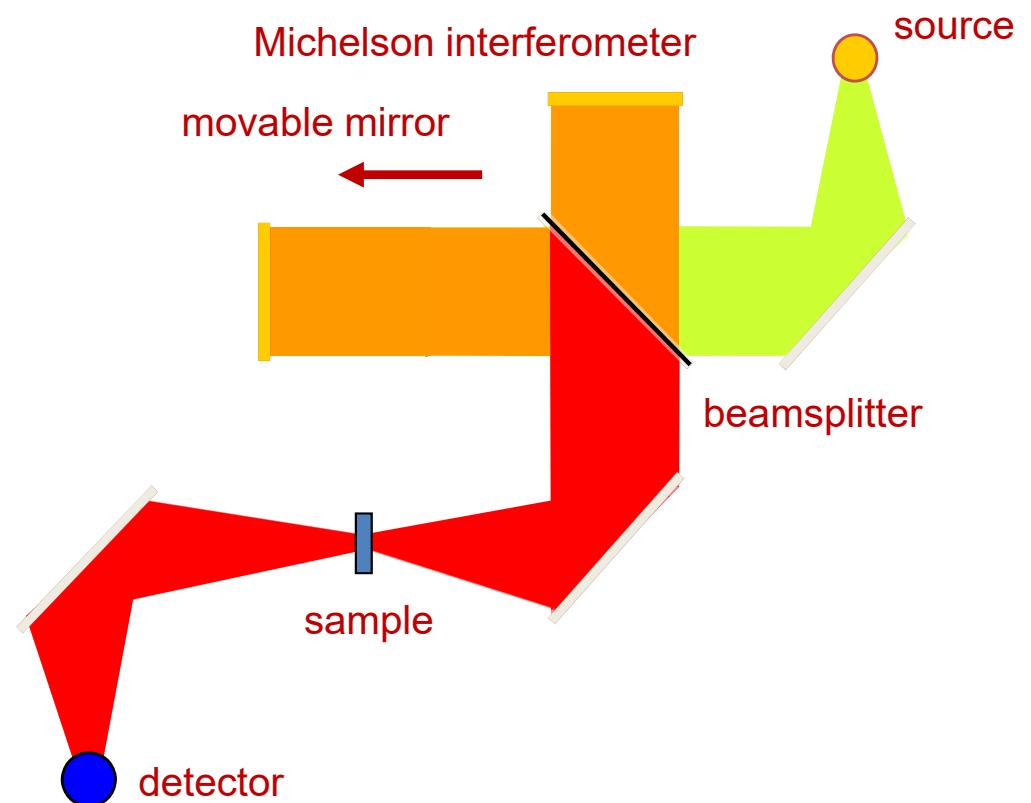
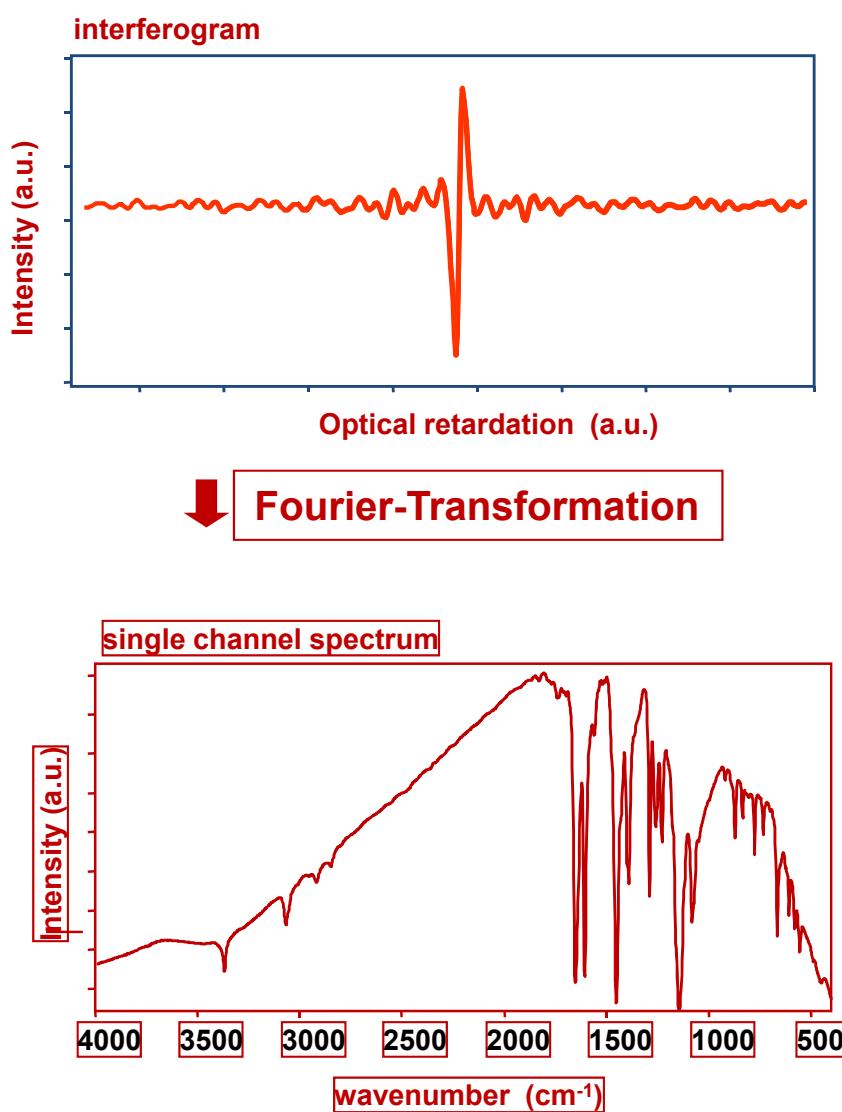


Adding zeroes to end of interferogram
“Spectrum-like” interpolation
Does not replace resolution!

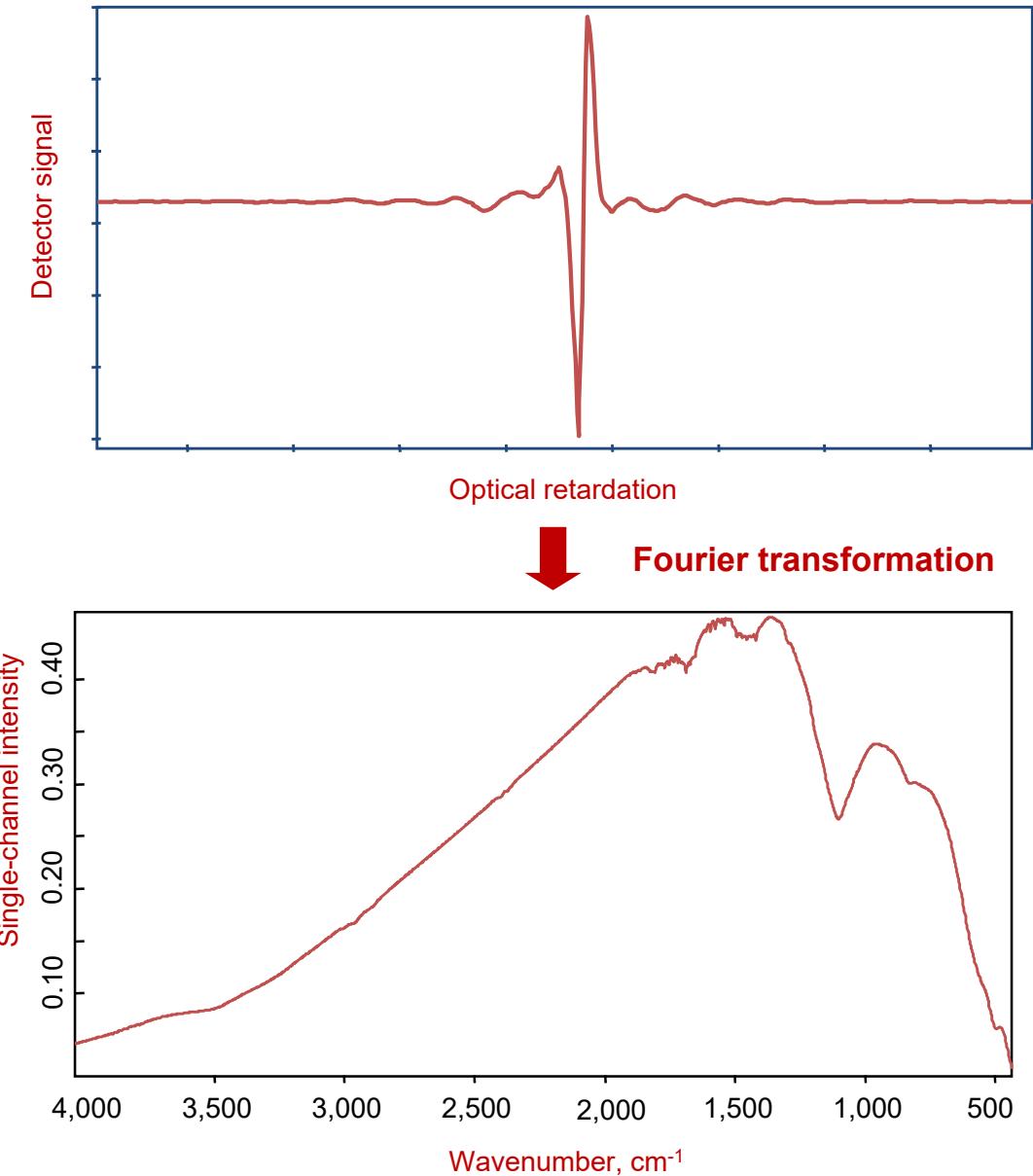
FTIR spectrometer



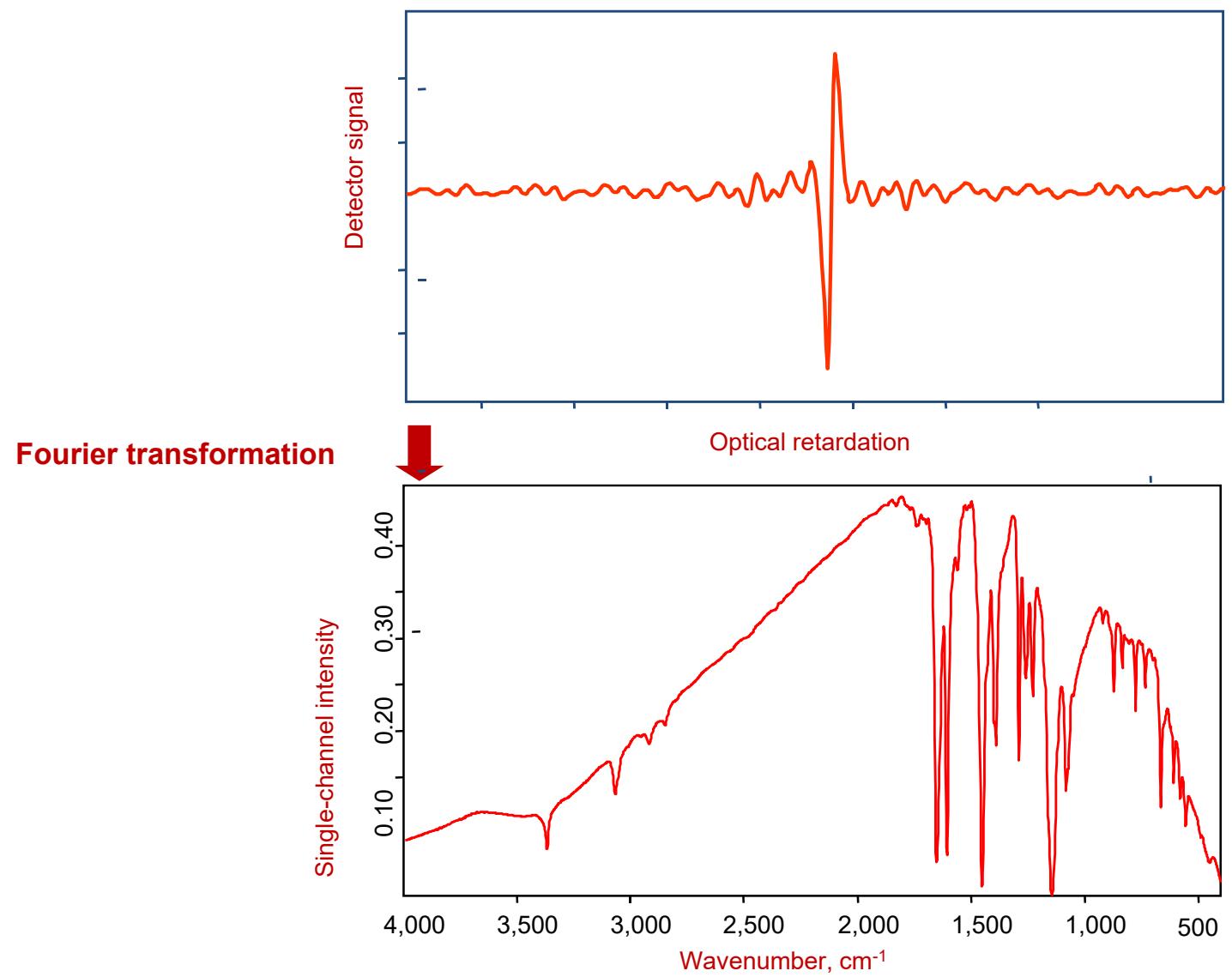
FTIR measurement



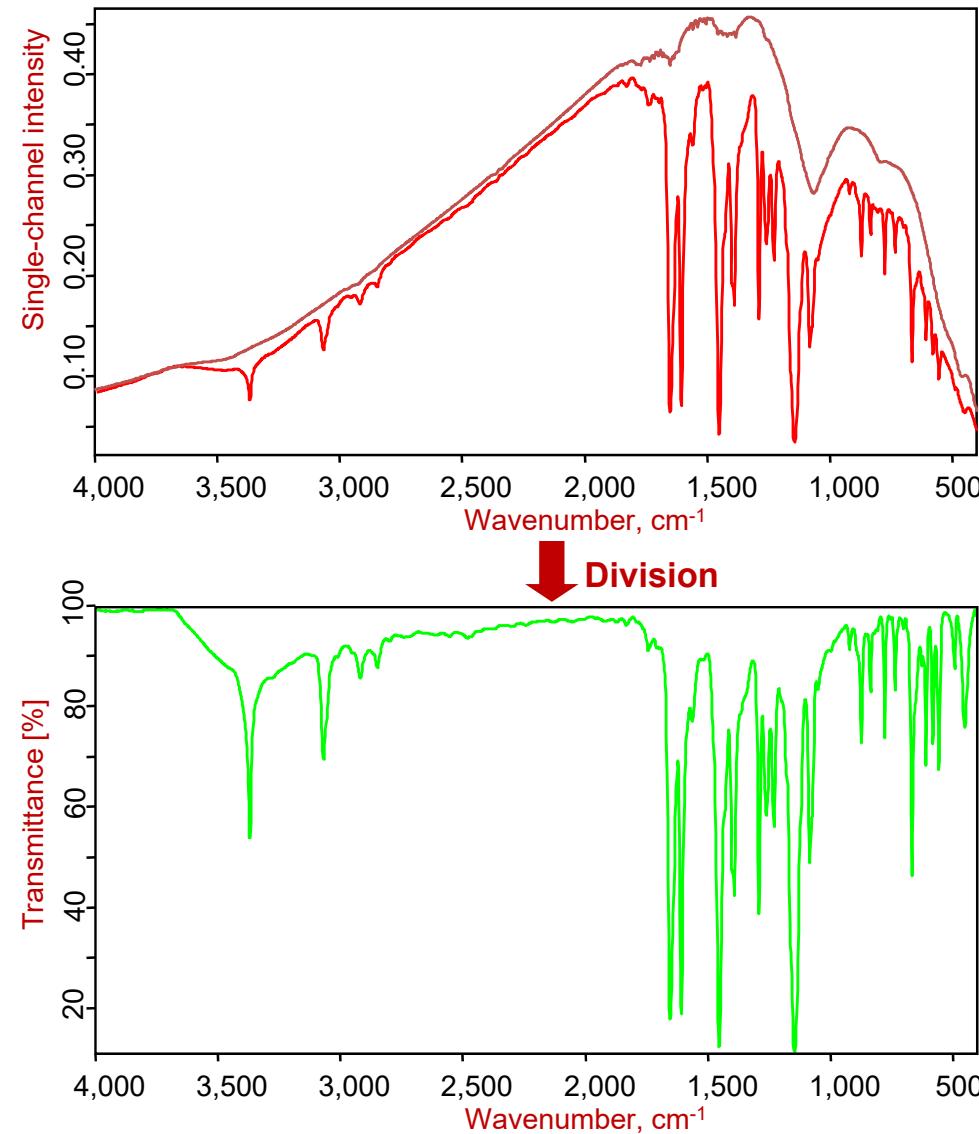
Reference spectrum



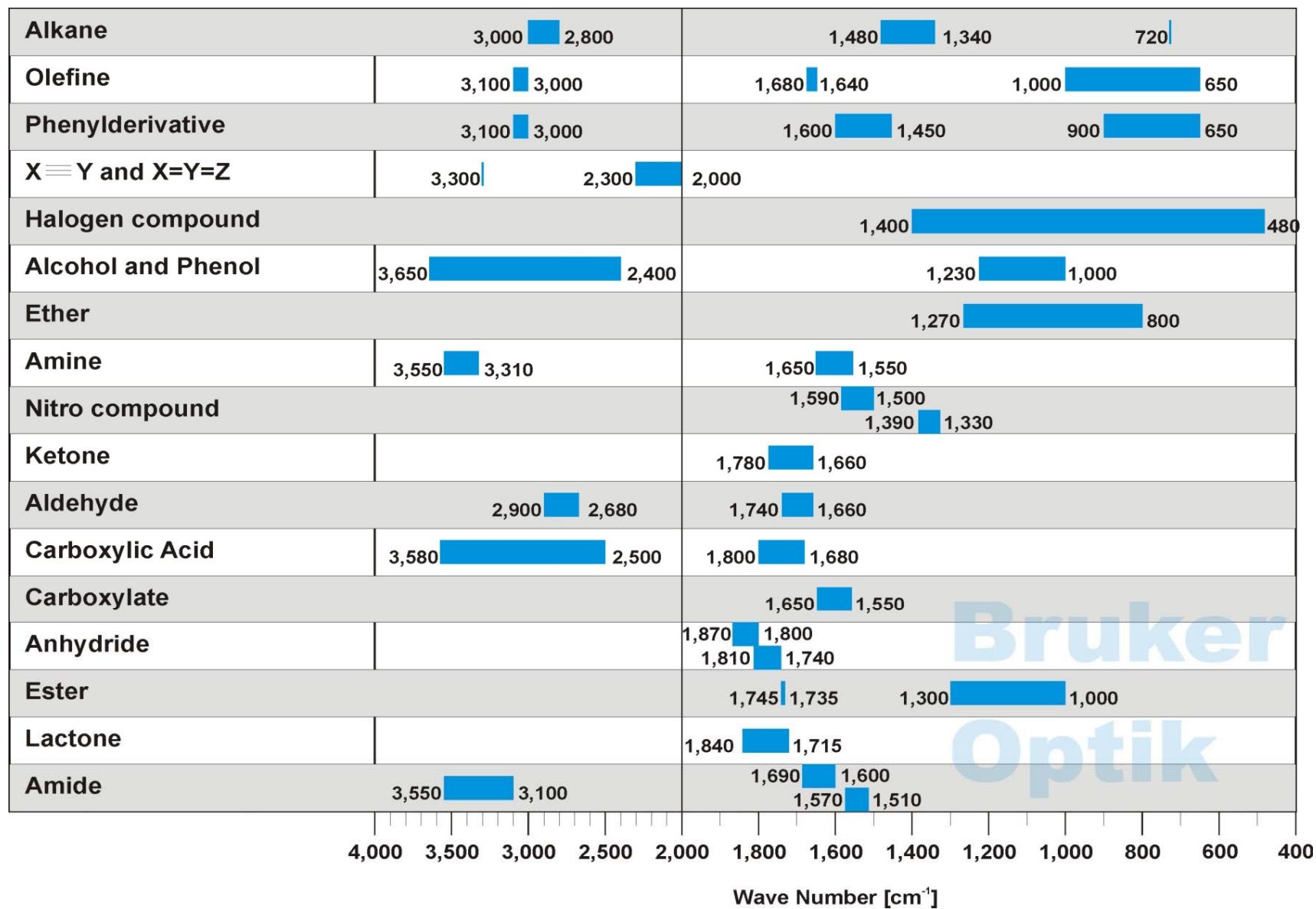
Sample spectrum



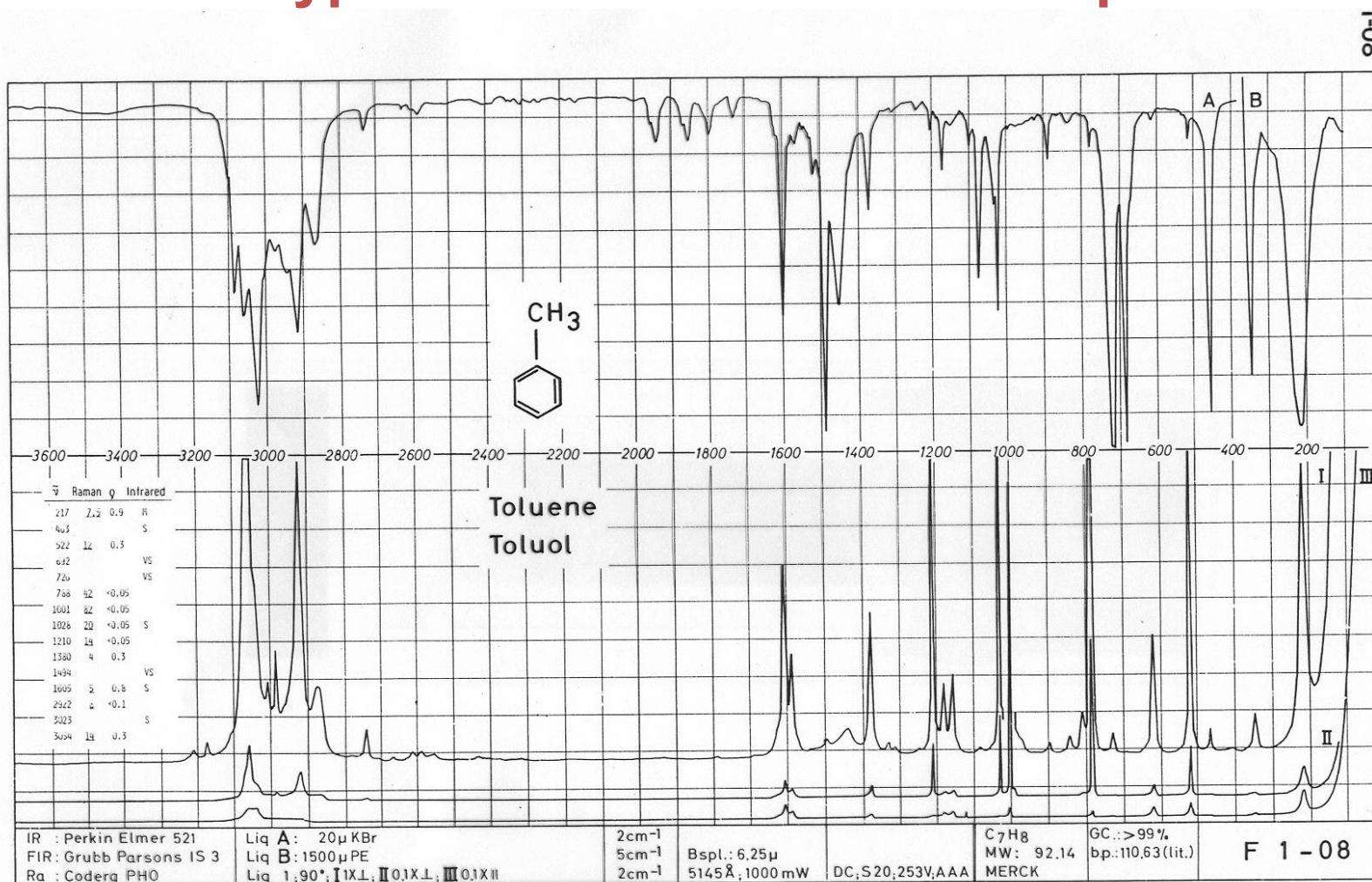
Transmission spectrum



Group frequencies



Typical infrared and Raman spectrum



B. Schrader:
Raman/Infrared Atlas
of Organic Compounds.
VCH Publishers, 1989.

Absorption spectroscopy

if $R \ll 1$,

$$T = \frac{I_T}{I_0} = e^{-\alpha d}$$

$$A \approx -\log T = \alpha d = \epsilon cd$$

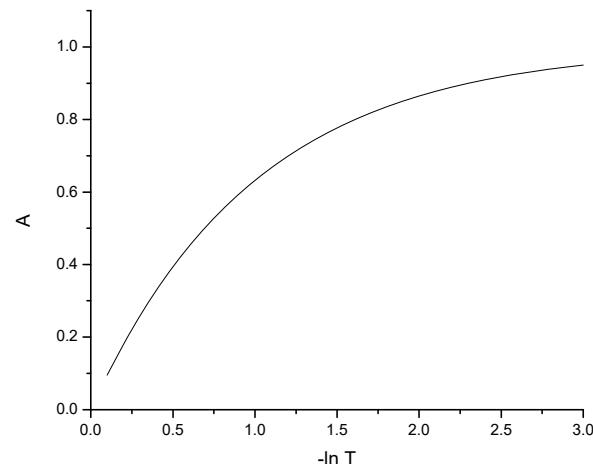
Beer's law
log, ln?



$$[\alpha] = \text{cm}^{-1} \quad [\epsilon] = 1/\text{cm/konc.}$$

specific (molar) absorption coefficient

Concentration can be determined:
known coefficient
calibration



Instruments



FIR/MIR



MIR/NIR



Near field/SNOM

Take-home message

- vibrational spectra: vibrations of atoms, damped harmonic oscillator with reduced mass $m_r = \frac{m_+ m_-}{m_+ + m_-}$ and relative displacement $r = (u_+ - u_-)$
- eigenfrequency of oscillator $\omega_0 = \sqrt{\frac{\kappa}{m_r}}$ κ interatomic interaction strength
- ionic crystals: Reststrahlen region with high reflectivity around ω_0
- longitudinal excitation if $\epsilon_{\text{rel}}(\omega) = 0$, $\omega_l^2 = \omega_0^2 + \frac{\Omega^2}{\epsilon_\infty}$, LO-TO splitting measure of intensity
- FTIR spectroscopy: Michelson interferometer, $I(\nu^*) = \int_{-\infty}^{+\infty} I(x) \cos(2\pi\nu^* x) dx$
- throughput and multiplex advantage
- interferogram of monochromatic source: cosine function, of continuous source: peaked
- FTIR spectrometer: source – beamsplitter – sample – detector
- measurement: interferogram \rightarrow single-beam spectrum \rightarrow transmission spectrum
- resolution depends on mirror pathlength, frequency range on sampling interval
- signal evaluation from interferogram: apodization, phase correction, zero-filling
- infrared spectral analysis: qualitative (group frequencies), quantitative (Beer's law)

Összefoglalás

- rezgési spektrumok: atomok rezgései, csillapított harmonikus oszcillátor, redukált tömeg $m_r = \frac{m_+ m_-}{m_+ + m_-}$, relatív elmozdulás $r = (u_+ - u_-)$
- sajátfrekvencia $\omega_0 = \sqrt{\frac{\kappa}{m_r}}$ κ az atomok közti kölcsönhatás erőssége
- ionos kristályok: Reststrahlen tartomány ω_0 körül nagy reflexió
- longitudinális gerjesztés $\varepsilon_{\text{rel}}(\omega_l) = 0$, $\omega_l^2 = \omega_0^2 + \frac{\Omega^2}{\varepsilon_\infty}$, LO-TO felhasadás intenzitást mér
- FTIR spektroszkópia: Michelson interferométer, $I(\nu^*) = \int_{-\infty}^{+\infty} I(x) \cos(2\pi\nu^* x) dx$
- átbocsátás és multiplex előny
- monokromatikus forrás interferogramja: cosinusfüggvény, folytonos forrásé: egy csúcs
- FTIR spektrométer: forrás – nyalábosztó – mintatér – detektor
- mérés: interferogram → egysugaras spektrum → transzmissziós spektrum
- a felbontást a tükrörúthossz, a frekvenciatartományt a mintavétel gyakorisága határozza meg
- jelfeldolgozás: interferogram – apodizáció – fáziskorrekción - zero filling
- infravörös analízis: kvalitatív (csoportfrekvenciák), kvantitatív (Lambert - Beer törvény)

Raman spectroscopy

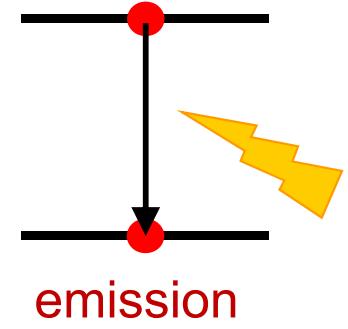
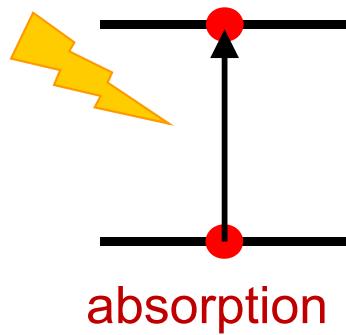


Raman scattering: history

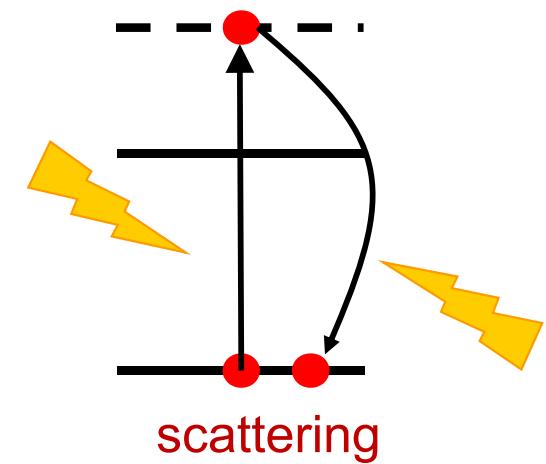
- C.V. Raman – K.S. Krishnan
- L. Mandelstam – G. Landsberg
("combination scattering")



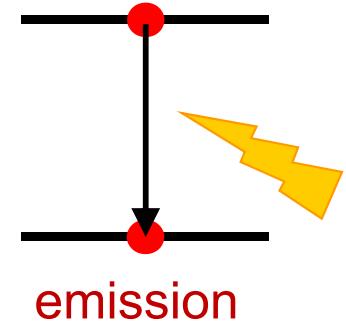
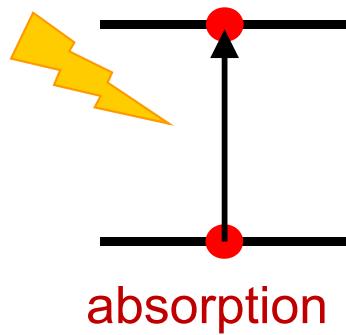
Nobel prize for physics 1930



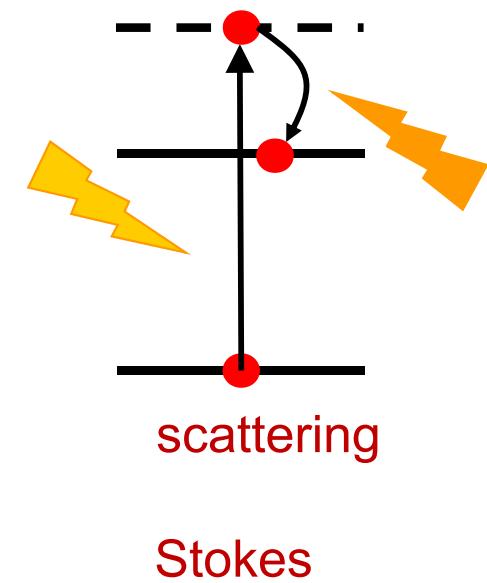
virtual state
excited state
ground state

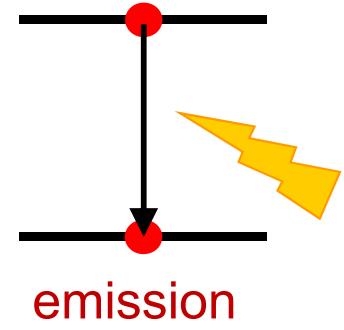
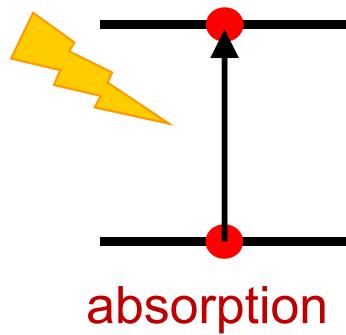


Rayleigh scattering

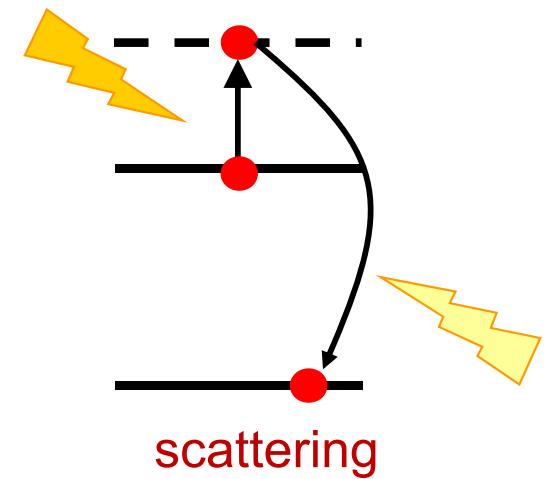


virtual state
excited state
ground state





virtual state
excited state
ground state



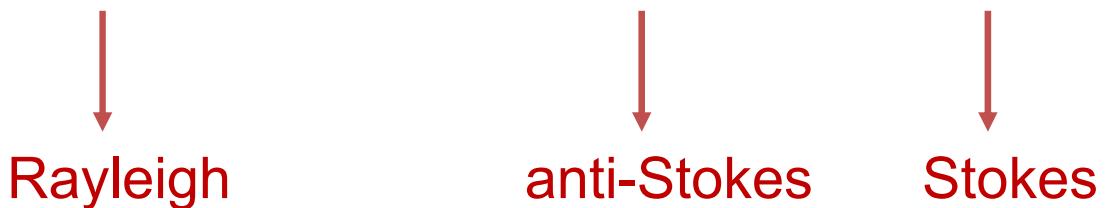
anti-Stokes

Infrared absorption and Raman scattering

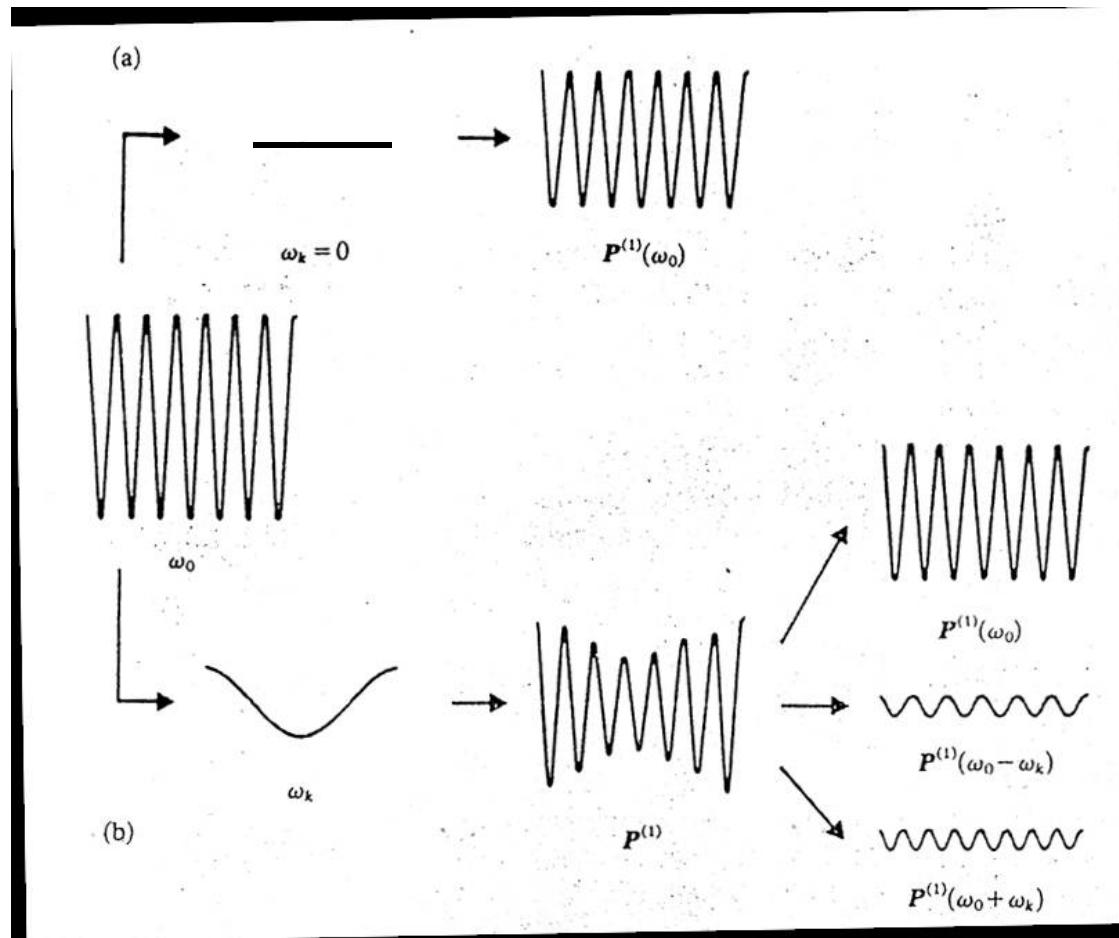
IR: $\mu = \mu_0 + (\Delta\mu) \cos \omega_0 t = \mu_0 + \frac{\partial \mu}{\partial r} r \cos \omega_0 t$ change of dipole moment during the vibration

For deformable objects: $\kappa \sim r$, or

$$\mu_{ind} = [\alpha_0 + (\Delta\alpha) \cos \omega_0 t][E_0 \cos \omega t] = \alpha_0 E_0 \cos \omega t + \frac{1}{2}(\Delta\alpha)E_0[\cos(\omega + \omega_0)t + \cos(\omega - \omega_0)t]$$



The Raman effect – classical picture



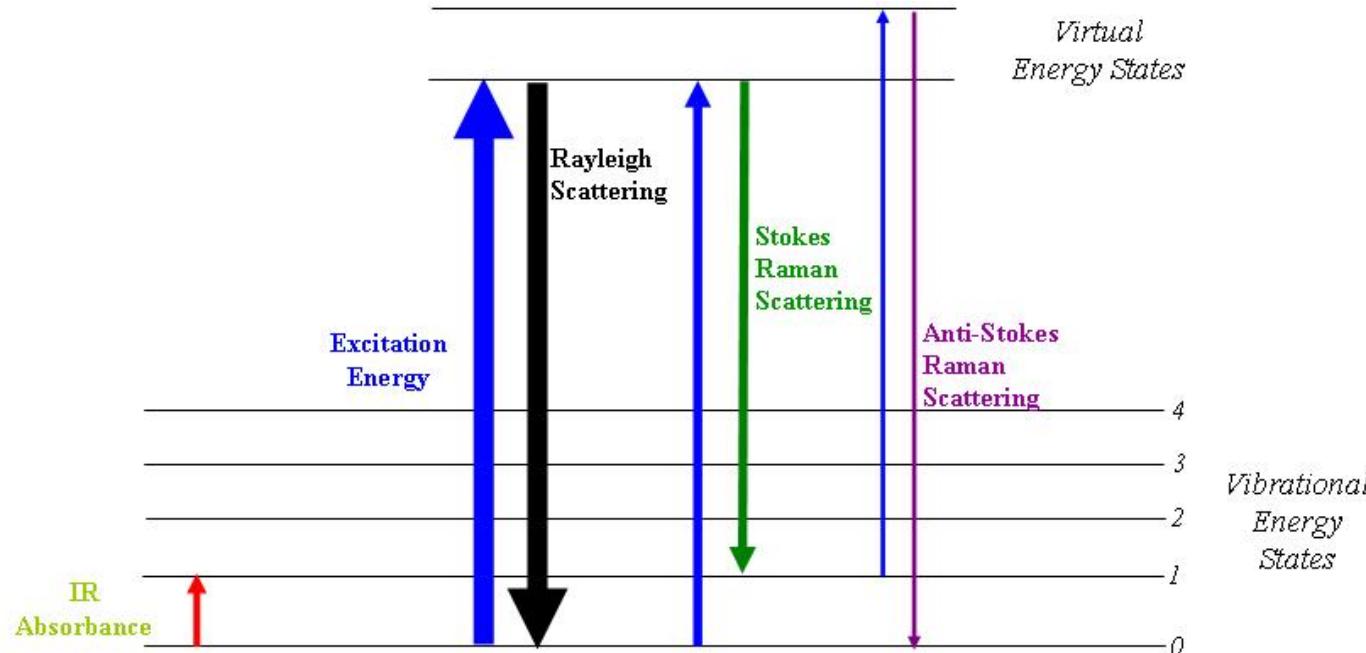
D. A. Long: Raman spectroscopy
McGraw-Hill, 1977

Rayleigh

Stokes

anti-Stokes

The Raman effect – quantum picture

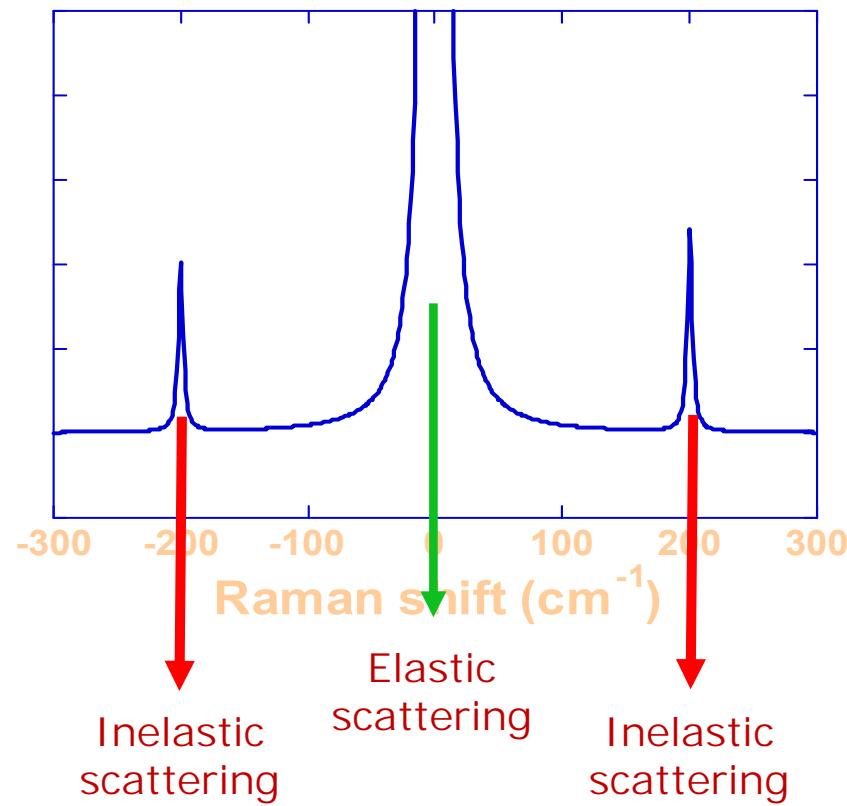


Álmosdi Péter, BME 2008
Source: Wikipedia

Raman spectroscopy

Light scattering by monochromatic light

Spectrum of scattered light relative to the exciting light

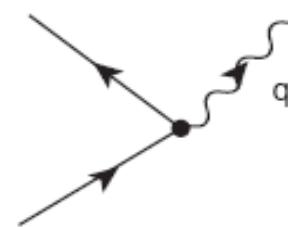
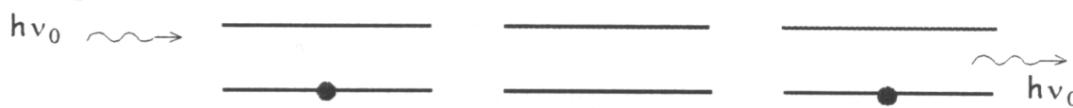


- Inelastic scattering can only be observed if the polarizability of the medium changes during the scattering process
- The magnitude of the shift does not depend on the frequency of the exciting light
- The probability of inelastic scattering is small, every one of 10^8 photons suffers inelastic scattering
- The magnitude of the shift depends on the properties of the medium
- Inelastic scattering happens on elementary excitations of the medium (usually phonons)

Raman scattering: energy and momentum conservation

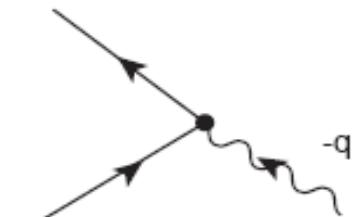
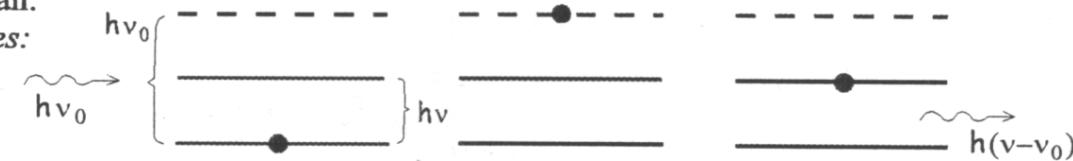
Szórás:

Rayleigh:

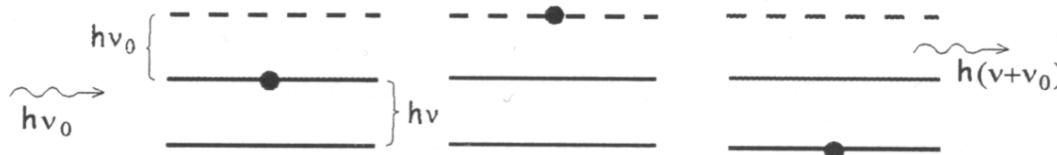


Raman:

Stokes:



Anti-Stokes:



The change in wave vector of the photon has to be compensated by the phonon

$$k_L, k_S \approx 10^4 \text{ cm}^{-1}$$

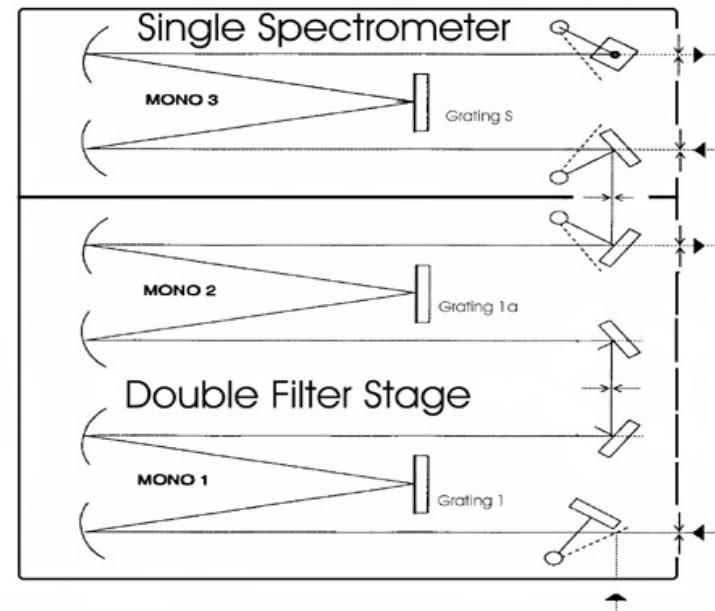
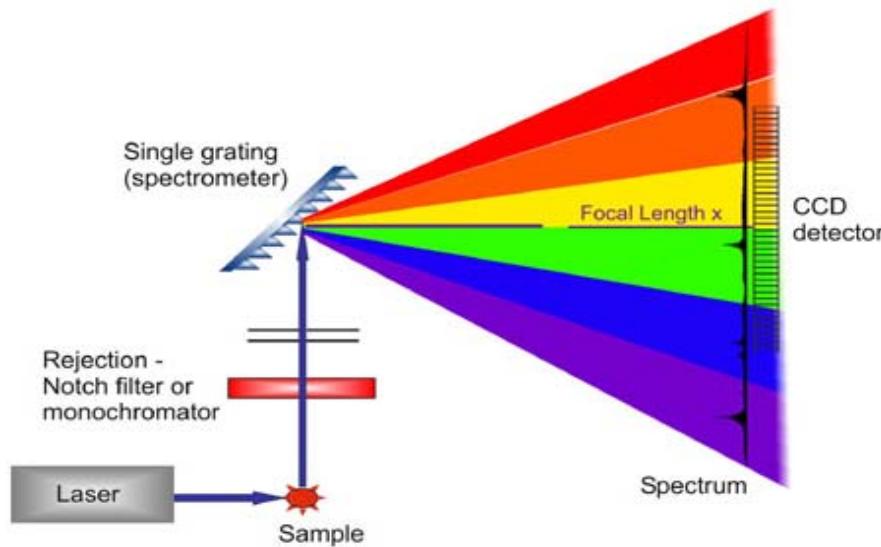


$$k_L, k_S \ll q$$

$$q \approx 10^{10} \text{ cm}^{-1}$$

Only phonons in the middle of the Brillouin zone take part in the scattering

Experimental setup

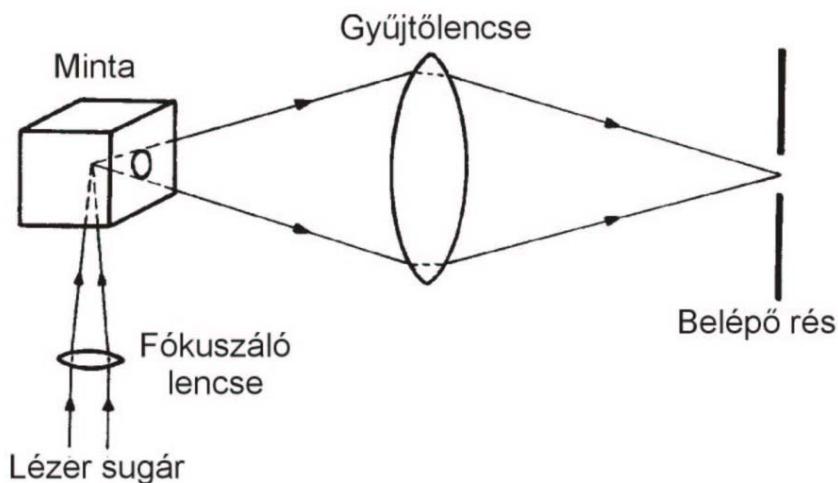


Excitation: visible, monochromatic light (laser) $\sim 10^4 \text{ cm}^{-1}$

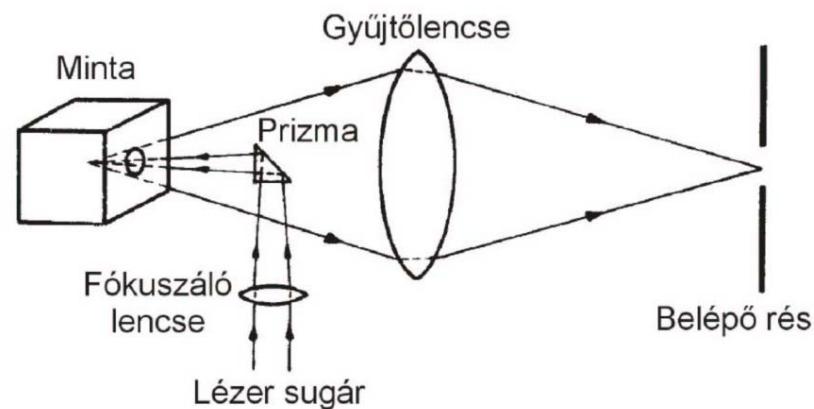
Frequency difference: infrared region, resolution: $\sim 1 \text{ cm}^{-1}$

Resolution of monochromator critical!

Experimental arrangements



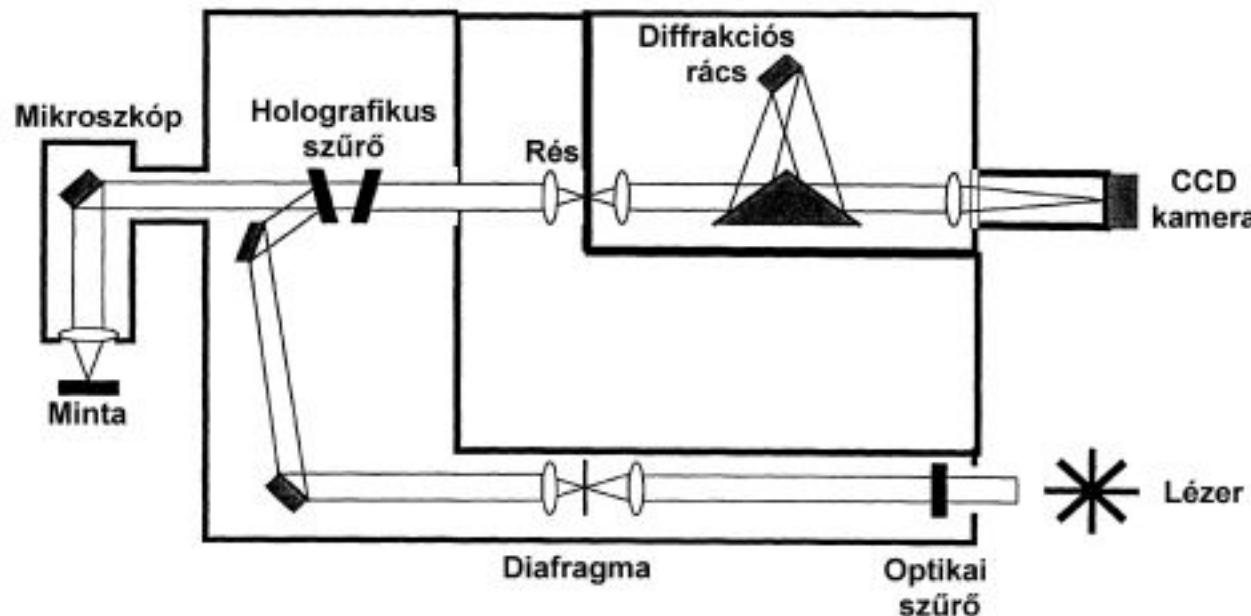
3.4a. ábra. A 90°-os gerjesztési elrendezésű mintatér.



3.4b. ábra. A 180°-os gerjesztési elrendezésű mintatér.
(a kis prizma helyett kisméretű síktükör is használható)

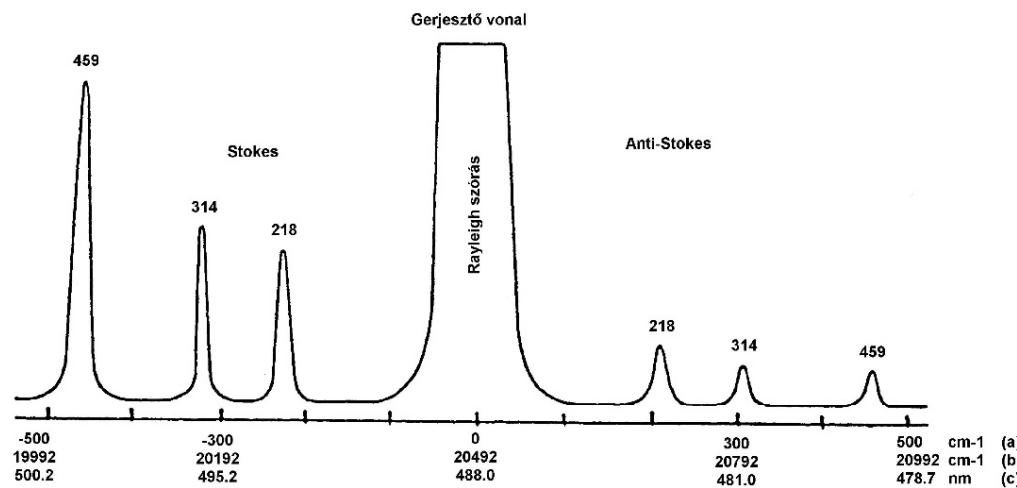
Mink János: Az infravörös és Raman spektroszkópia alapjai. Veszprémi Egyetem Analitikai Kémiai Tanszék

Raman microscope



3.11. Diódásoros detektorral működő Raman mikroszkóp.

Raman spectrum of CCl_4



3.2. ábra. A CCl_4 folyadék Raman színképe a gerjesztő vonal (Ar-ion lézer 488,0 nm) minden oldalán.

- (a) Raman eltolódás (cm^{-1})
- (b) Abszolút hullámszám skála (cm^{-1})
- (c) Hullámhossz skála (nm)

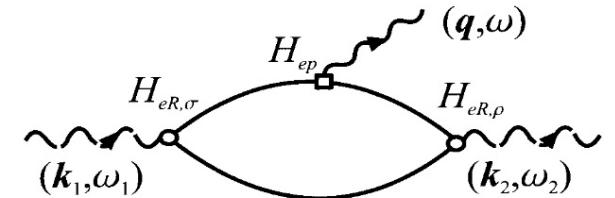
- a) Raman shift (cm^{-1})
- b) Absolute wavenumbers (cm^{-1})
- c) Wavelength (nm)

Mink János: Az infravörös és Raman spektroszkópia alapjai. Veszprémi Egyetem Analitikai Kémiai Tanszék

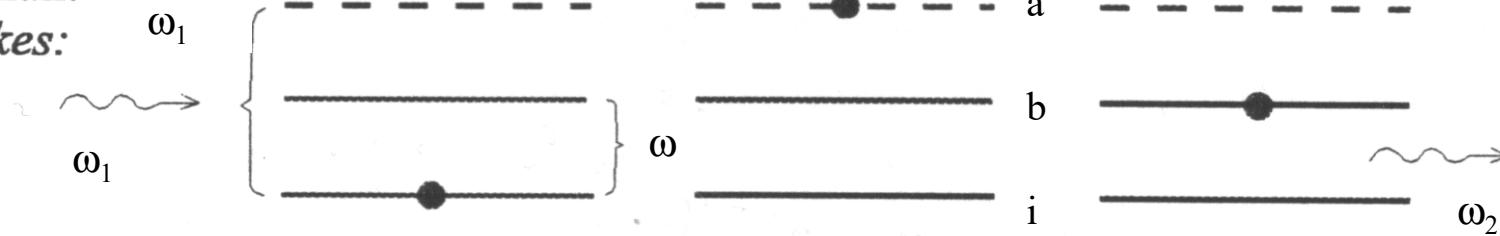
The Raman effect

Stokes scattering:

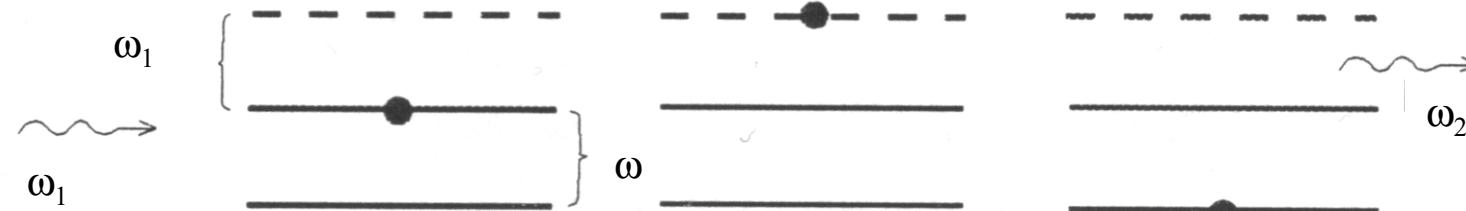
$$K_{2f,10} = \sum_{a,b} \frac{\langle \omega_2, f, i | H_{eR,\rho} | 0, f, b \rangle \langle 0, f, b | H_{ep} | 0, 0, a \rangle \langle 0, 0, a | H_{eR,\sigma} | \omega_1, 0, i \rangle}{(E_1 - E_{ai}^e - i\gamma)(E_1 - \hbar\omega - E_{bi}^e - i\gamma)}$$



Raman:
Stokes:



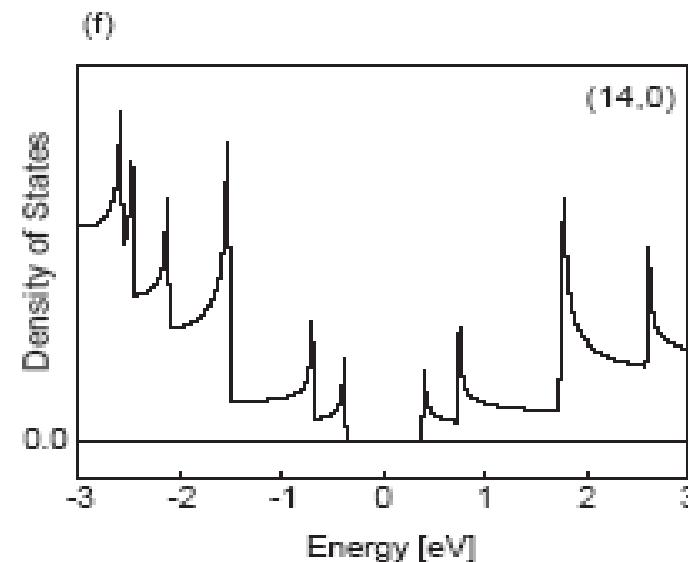
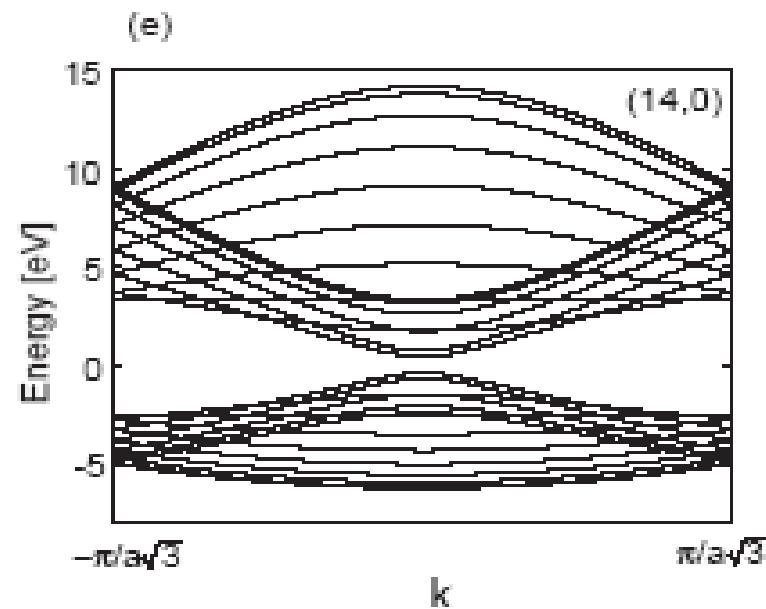
Anti-Stokes:



Resonant Raman scattering

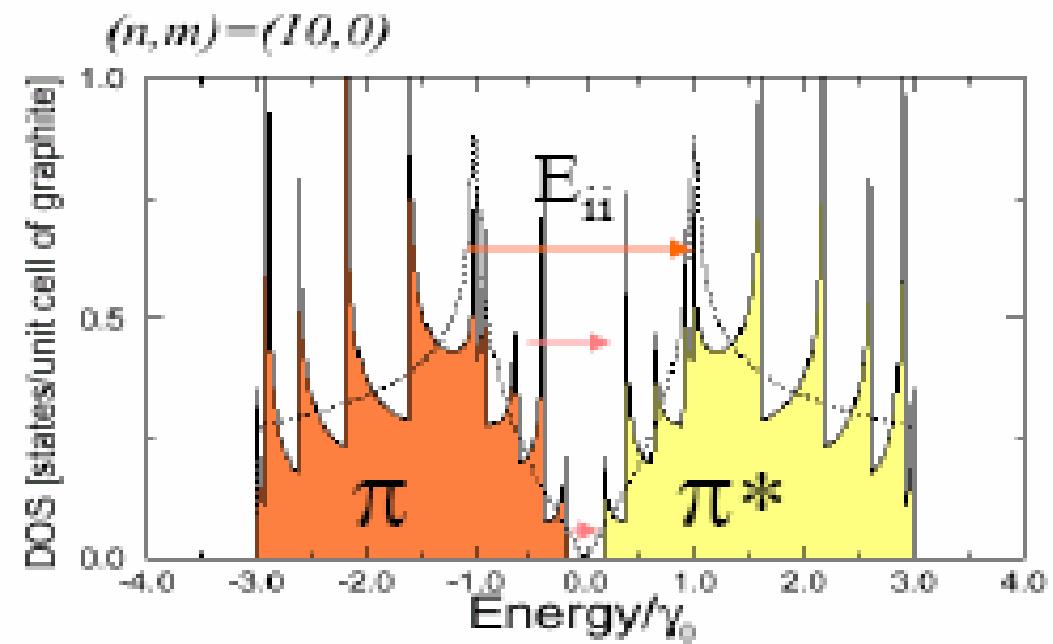
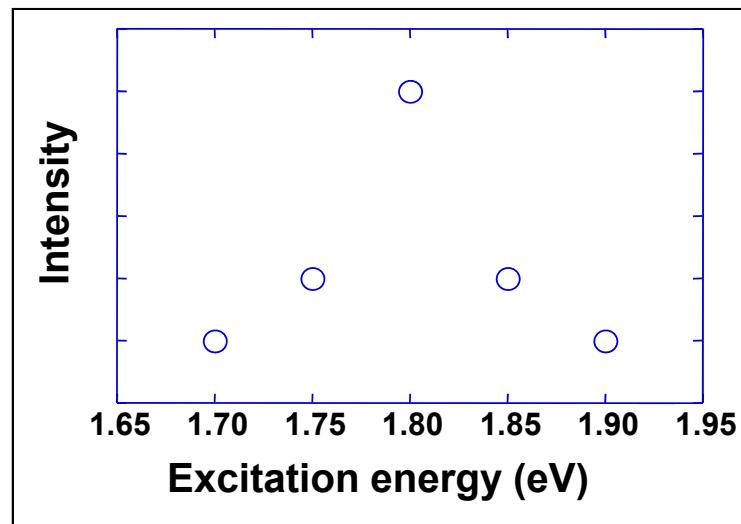
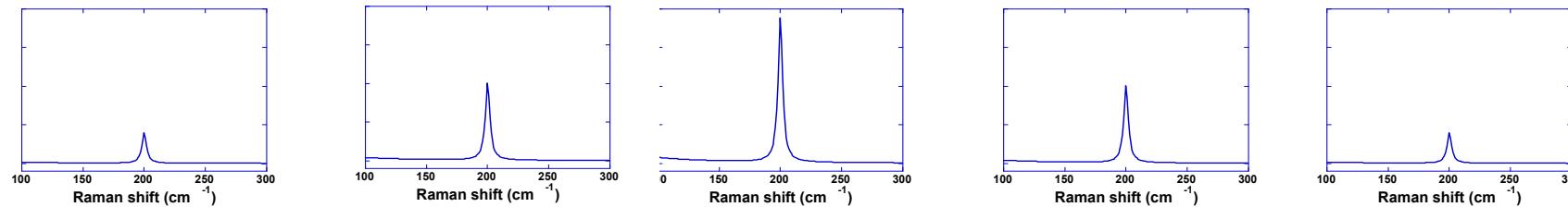
If the energy of the exciting laser approaches the energy of a real transition in the medium, the intensity of the Raman scattering increases by orders of magnitude. This is the **resonant Raman effect**.

Resonant Raman scattering is the strongest close to maxima in the density of states.



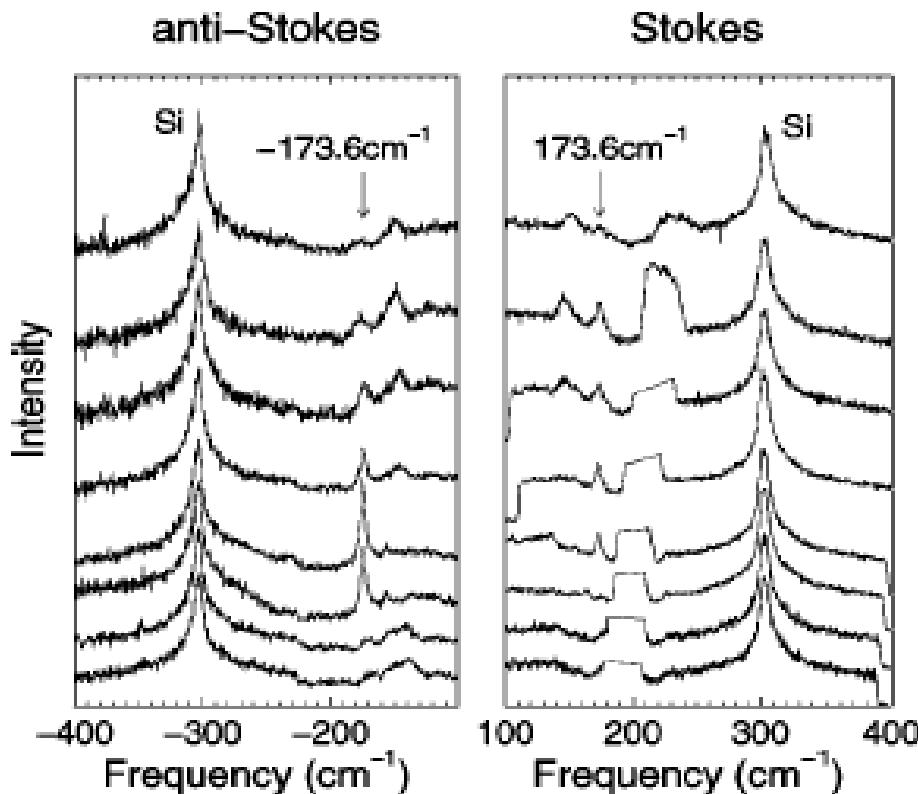
Resonant Raman excitation profile

Excitation energy

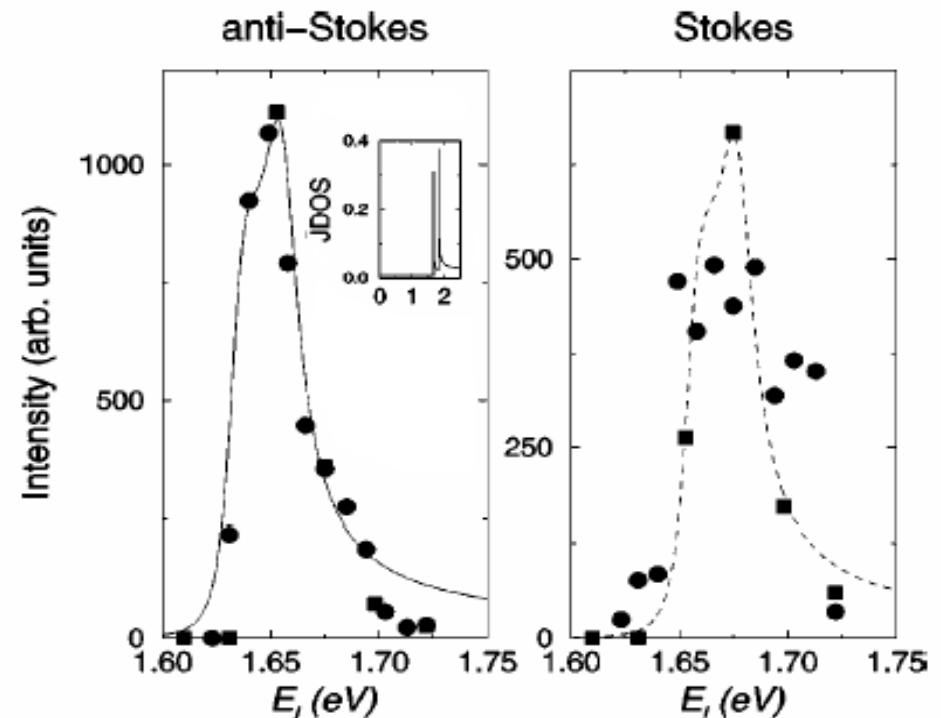


Excitation profile: example

Excitation: 1,623 - 1,722 eV



Excitation profile of the 173,6 cm⁻¹ mode

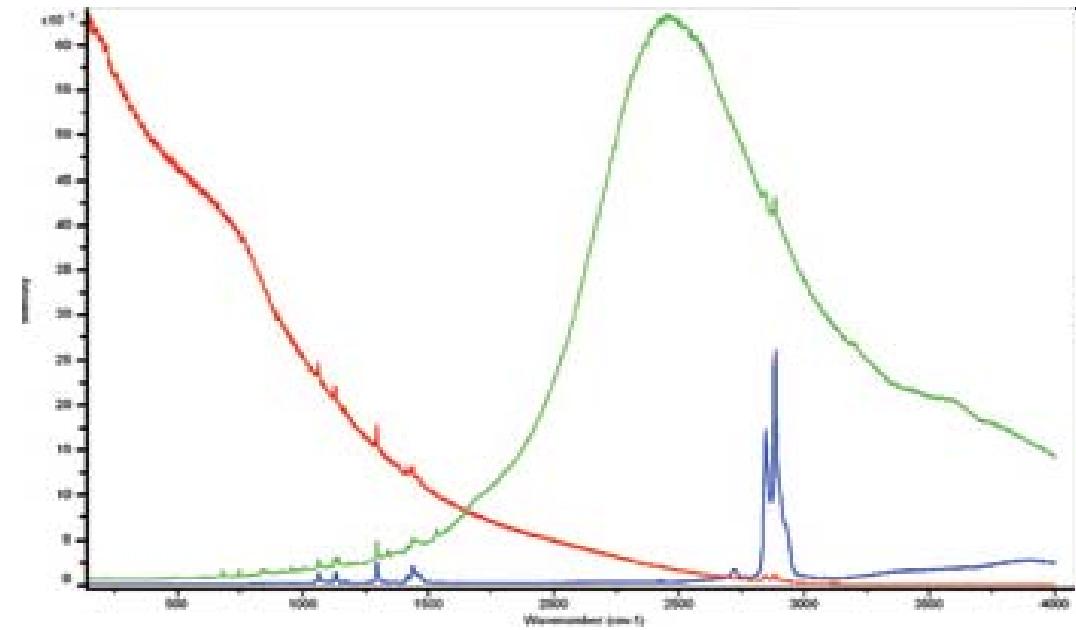


Veres Miklós, MTA Wigner FK

A.Jorio et al.
Phys. Rev. B 63 (2001) 245416

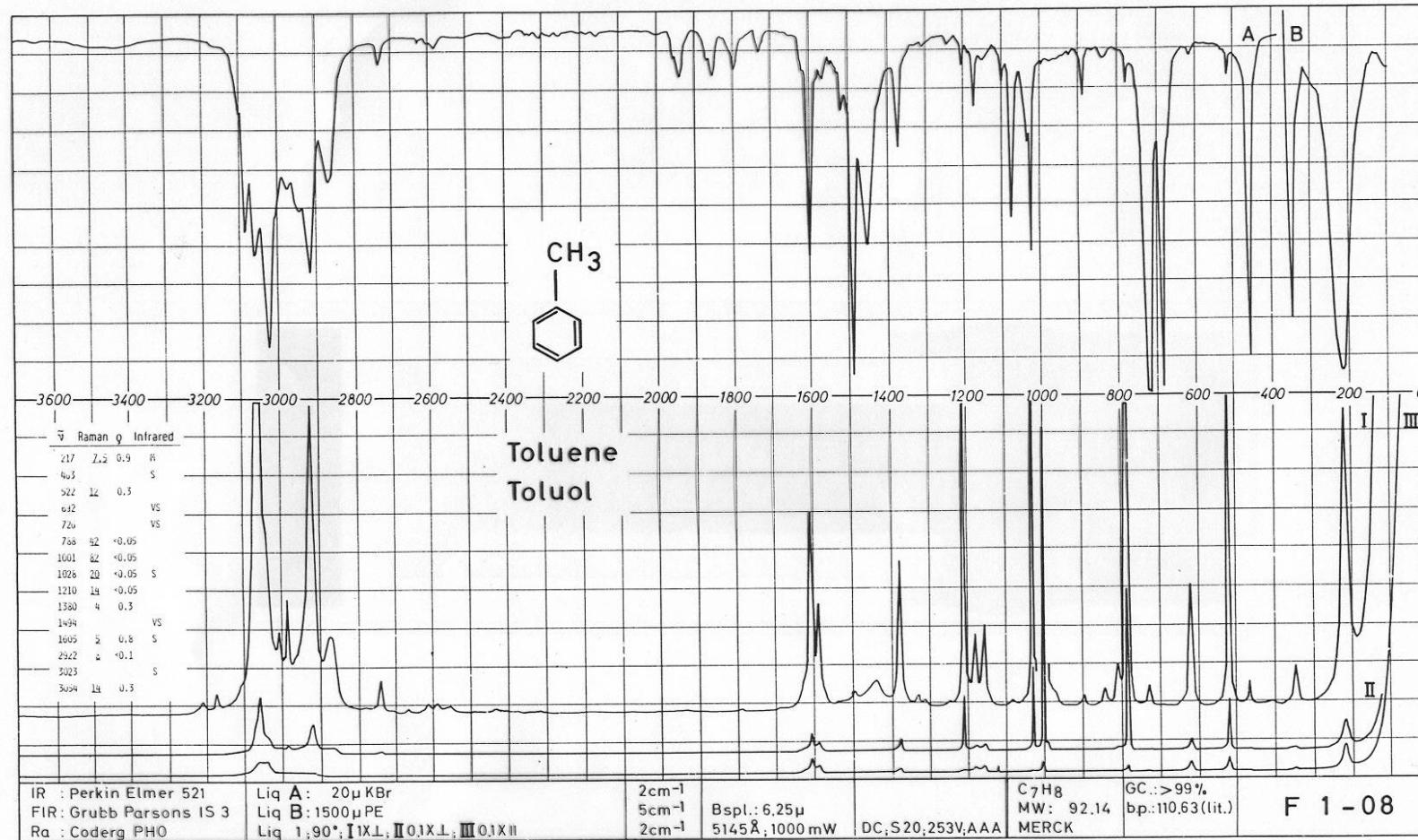
Choice of laser for eliminating fluorescence

If the excited state exhibits fluorescence, that can suppress the Raman lines. In this case one has to find the ideal laser.



Qualitative analysis

1-08



IR

Raman



Take-home message

- Raman scattering: two-photon process (exciting photon – virtual excited state – photon emission)
- measurement: with visible/NIR laser
- Raman shift is the difference of emitted and absorbed photon frequency, resolution depends on monochromator efficiency
- resonance Raman scattering: exciting light frequency matches a real excitation in the system
- qualitative analysis as with IR, quantitative is hindered by scattering into the whole space and by resonance effects

Összefoglalás

- Raman-szórás: kétfotonos folyamat (gerjesző foton elnyelése – virtuális gerjesztett állapot – fotonkibocsátás)
- gerjesztés látható/NIR lézerrel
- a Raman-eltolódás a kibocsátott és elnyelt foton frekvenciakülönbsége, a felbontást a monokromátor felbontása határozza meg
- rezonáns Raman-szórás: a gerjesztő fény frekvenciája megfelel a rendszer egy valódi gerjesztésének
- kvalitatív analízis mint az infravörösben, kvantitatív meghatározást akadályozza a teljes térbe kibocsátott szort fény és a rezonancia-effektusok

Optical spectroscopy of anisotropic media



Optical anisotropy

- χ , ε , n are tensors
- if the medium is not isotropic, there is different response to excitations of different polarization

Polarization	Quantity	Notation	
linear	n'	(linear) birefringence <i>(lineáris) kettőstörés</i>	
linear	n''	(linear) dichroism <i>(lineáris) dikroizmus</i>	
circular	n'	optical rotation <i>optikai forgatás</i>	optical activity <i>optikai aktivitás</i>
circular	n''	Or: circular birefringence <i>cirkuláris kettőstörés</i> circular dichroism <i>cirkuláris dikroizmus</i>	

Dielectric tensor

If ϵ is symmetric, it can be diagonalized by principal axis transformation:

$$\epsilon = \begin{pmatrix} \epsilon_{11} & 0 & 0 \\ 0 & \epsilon_{22} & 0 \\ 0 & 0 & \epsilon_{33} \end{pmatrix}$$

ϵ_{11} , ϵ_{22} , ϵ_{33} are the *principal values* of the dielectric tensor

$$n^{(1)} = \sqrt{\epsilon_{11}} \quad n^{(2)} = \sqrt{\epsilon_{22}} \quad n^{(3)} = \sqrt{\epsilon_{33}} \quad \textit{the principal values of the index of refraction}$$

Crystals: if the crystallographic axes are mutually perpendicular (orthorhombic or higher symmetry space group), both the real and imaginary part of the optical functions can be diagonalized on the basis of the axes



Optical anisotropy in crystals

Isotropic		
<i>cubic</i>	$\varepsilon = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & a \end{pmatrix}$	$n = \sqrt{a}$
<i>uniaxial</i> <i>trigonal (rhombohedral)</i> <i>tetragonal</i> <i>hexagonal</i>	$\varepsilon = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & b \end{pmatrix}$	$n_o = \sqrt{a}, n_e = \sqrt{b}$
<i>biaxial</i> <i>triclinic</i> <i>monoclinic</i> <i>orthorhombic</i>	$\varepsilon = \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & c \end{pmatrix}$	$n^{(1)} = \sqrt{a}$ $n^{(2)} = \sqrt{b}$ $n^{(3)} = \sqrt{c}$

Uniaxial systems: $n_e > n_o$ positive, $n_e < n_o$ negative

Anisotropy in infrared and Raman spectra

IR

$$\frac{\partial \mu}{\partial Q} \neq 0$$

$$\frac{\partial \mu}{\partial Q} = \begin{bmatrix} \frac{\partial \mu_x}{\partial Q} \\ \frac{\partial \mu_y}{\partial Q} \\ \frac{\partial \mu_z}{\partial Q} \end{bmatrix}$$

Raman

$$\frac{\partial \alpha}{\partial Q} \neq 0$$

$$\frac{\partial \alpha}{\partial Q} = \begin{bmatrix} \frac{\partial \alpha_{xx}}{\partial Q} & \frac{\partial \alpha_{xy}}{\partial Q} & \frac{\partial \alpha_{xz}}{\partial Q} \\ \frac{\partial \alpha_{yx}}{\partial Q} & \frac{\partial \alpha_{yy}}{\partial Q} & \frac{\partial \alpha_{yz}}{\partial Q} \\ \frac{\partial \alpha_{zx}}{\partial Q} & \frac{\partial \alpha_{zy}}{\partial Q} & \frac{\partial \alpha_{zz}}{\partial Q} \end{bmatrix}$$

Polarization of incident and scattered light can differ
Need both polarizer and analyzer



Scattering plane, scattering geometry

We shall consider the single molecule to be at the origin of a space-fixed Cartesian coordinate system x , y , z . This coordinate system will be the reference frame for definition of the directions of the incident and scattered radiation. Since the molecule has a fixed orientation, this coordinate system can also serve as the reference frame for the components of the polarizability tensors of the molecule. The illumination will be along the positive z -axis and we consider, first, observation along the x -axis, so that xz is the scattering plane (see Fig. 3.4(a)).

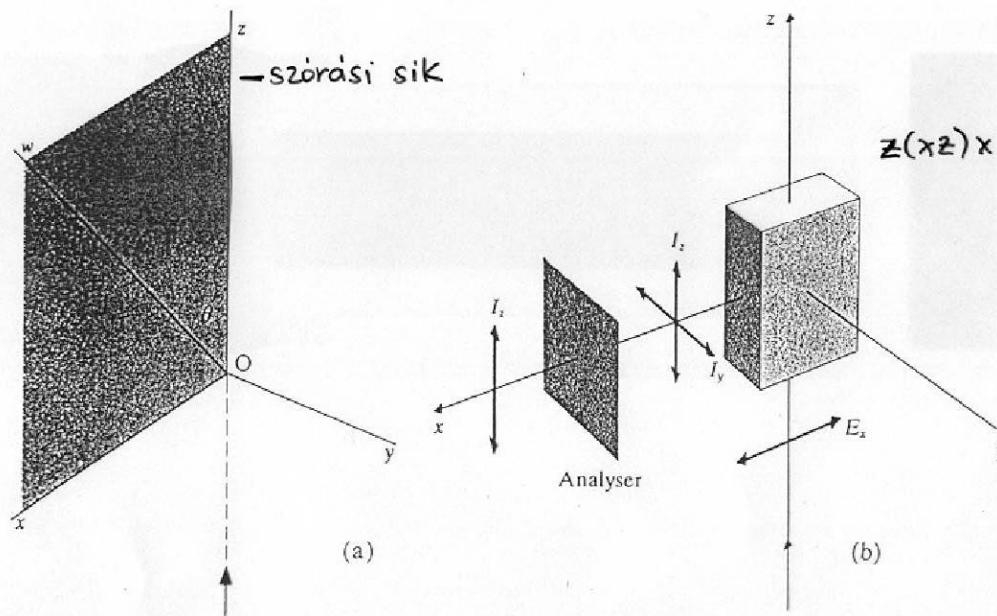
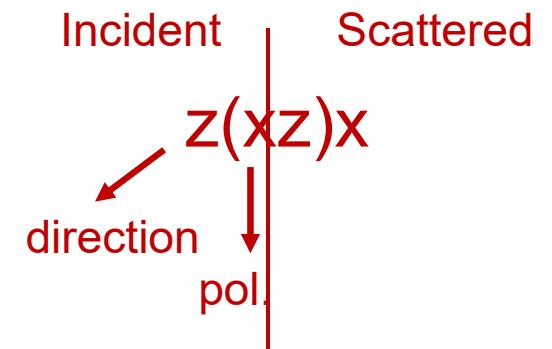


Fig. 3.4(a) Raman scattering from a gas or liquid : illumination and observation geometry

Fig. 3.4(b) Raman scattering from a crystal : geometry for $z(xz)x$ measurement



Depolarization ratio:

$$\rho_{\parallel}(\theta) = \frac{\|I_{\perp}(\theta)\|}{\|I_{\parallel}(\theta)\|}$$

θ angle between incident and scattered light
upper index: polarization of incident light
lower index: polarization of scattered light
(E relative to scattering plane)

Depolarization ratio

$$\rho_{\perp}(\theta) = \frac{{}^{\perp}I_{\parallel}(\theta)}{{}^{\perp}I_{\perp}(\theta)}$$

for “natural” light:

$$\rho_n(\theta) = \frac{{}^nI_{\parallel}(\theta)}{{}^nI_{\perp}(\theta)}$$

in z(xz)x geometry:

$$\rho_{\parallel}(\frac{\pi}{2}) = \frac{{}^xI_y}{{}^xI_z} \quad \rho_{\perp}(\frac{\pi}{2}) = \frac{{}^yI_x}{{}^yI_y} \quad \rho_n(\frac{\pi}{2}) = \frac{{}^nI_z}{{}^nI_y}$$

$$\rho_{\parallel}(\frac{\pi}{2}) = \frac{(\alpha'_{yx})^2}{(\alpha'_{zx})^2} \quad \rho_{\perp}(\frac{\pi}{2}) = \frac{(\alpha'_{zy})^2}{(\alpha'_{yy})^2} \quad \rho_n(\frac{\pi}{2}) = \frac{(\alpha'_{zx})^2 + (\alpha'_{zy})^2}{(\alpha'_{yx})^2 + (\alpha'_{yy})^2}$$

α_{zz} , α_{xx} from other orientation

the relative values of the matrix elements can be obtained from 6 measurements



Polarizability tensor for anisotropic materials

$$\alpha = \alpha_{iso} + \alpha_{aniso} = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & a \end{pmatrix} + \begin{pmatrix} \alpha_{xx} - a & \alpha_{xy} & \alpha_{xz} \\ \alpha_{yx} & \alpha_{yy} - a & \alpha_{yz} \\ \alpha_{zx} & \alpha_{zy} & \alpha_{zz} - a \end{pmatrix}$$

$$a = \frac{1}{3}(\alpha_{xx} + \alpha_{yy} + \alpha_{zz})$$

$$\gamma^2 = \frac{1}{2} \left\{ (\alpha_{xx} - \alpha_{yy})^2 + (\alpha_{yy} - \alpha_{zz})^2 + (\alpha_{zz} - \alpha_{xx})^2 + 6(\alpha_{xy}^2 + \alpha_{yz}^2 + \alpha_{zx}^2) \right\}$$

Depolarization ratio for non-oriented samples

$$\rho_{\parallel}(\theta) = \frac{\|I_{\perp}(\theta)\|}{\|I_{\parallel}(\theta)\|}$$

θ angle between incident and scattered light
upper index: polarization of incident light
lower index: polarization of scattered light
(E relative to scattering plane)

α and γ are symmetric towards rotation of coordinates:

$$\alpha_{xy} = \sum_{x',y'} \alpha_{x',y'} \cos(xx') \cos(yy') \quad x,y \text{ can be } x,y,z$$

Since α is a symmetric tensor (and we assume it to be real), $\alpha_{xy} = \alpha_{yx}$ and averaging on the spatial orientation of the molecules:

$$\overline{\alpha_{xx}^2} = \overline{\alpha_{yy}^2} = \overline{\alpha_{zz}^2} = \frac{45a^2 + 4\gamma^2}{45} \quad \overline{\alpha_{yx}^2} = \overline{\alpha_{yz}^2} = \overline{\alpha_{zx}^2} = \frac{\gamma^2}{15} \quad \overline{\alpha_{xx}\alpha_{yy}} = \overline{\alpha_{yy}\alpha_{zz}} = \overline{\alpha_{zz}\alpha_{xx}} = \frac{45a^2 - 2\gamma^2}{45}$$

The quantity determining the intensity: $\left(\frac{\partial \alpha_{xy}}{\partial Q} \right) = \alpha_{xy}'$

similar relations can be derived for these using α' and γ' , from where $\rho_{\perp} \left(\frac{\pi}{2} \right) = \frac{3(\gamma')^2}{45(a')^2 + 4(\gamma')^2}$



Depolarization ratio

$$a'=0 \longrightarrow \rho = \frac{3}{4} \quad \text{depolarized}$$

$$\gamma'=0 \longrightarrow \rho = 0 \quad \text{fully polarized}$$

$$0 < \rho < \frac{3}{4} \quad \text{partially polarized}$$

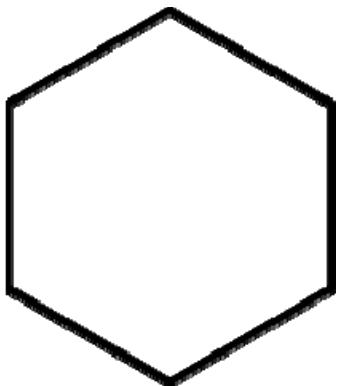
Fully polarized mode: $\rho=0$

e.g. $\alpha = \begin{pmatrix} \alpha_{xx} & 0 & 0 \\ 0 & \alpha_{yy} & 0 \\ 0 & 0 & \alpha_{zz} \end{pmatrix}$ and $\alpha_{xx} = \alpha_{yy} = \alpha_{zz}$

„totally symmetric” mode (A, A_g)



Depolarization ratio: example



cyclohexane

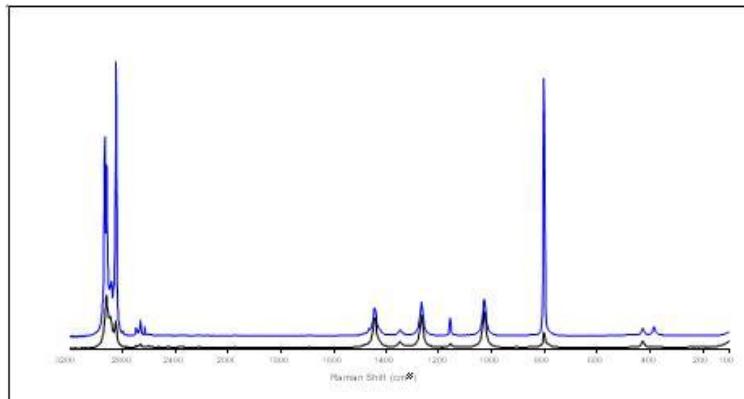


Figure 1. Raman spectra of cyclohexane arising from analysis Parallel (Blue) and Perpendicular (Black) to the excitation laser polarisation.

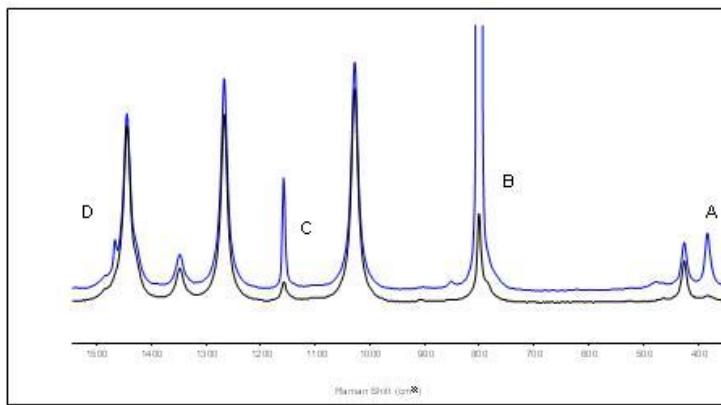
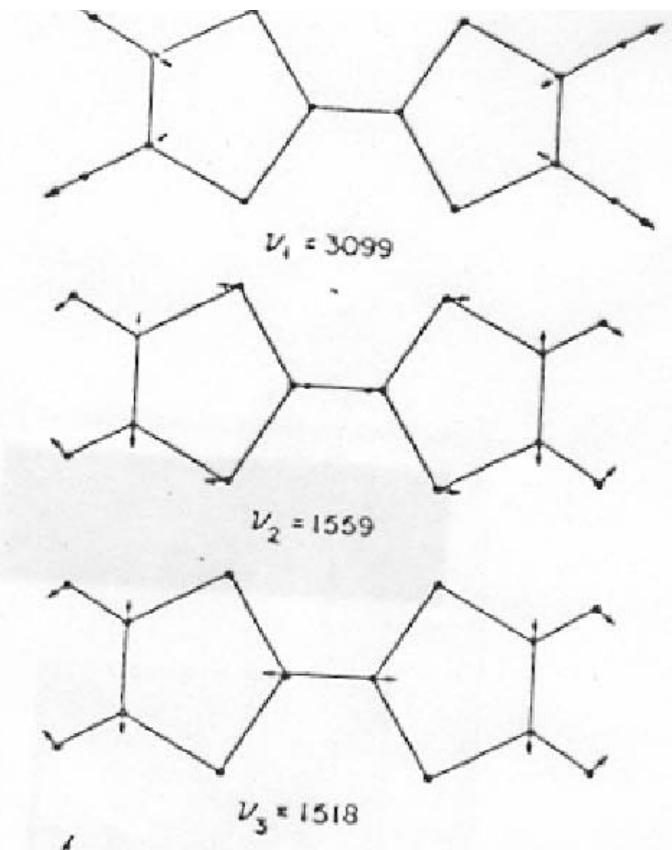
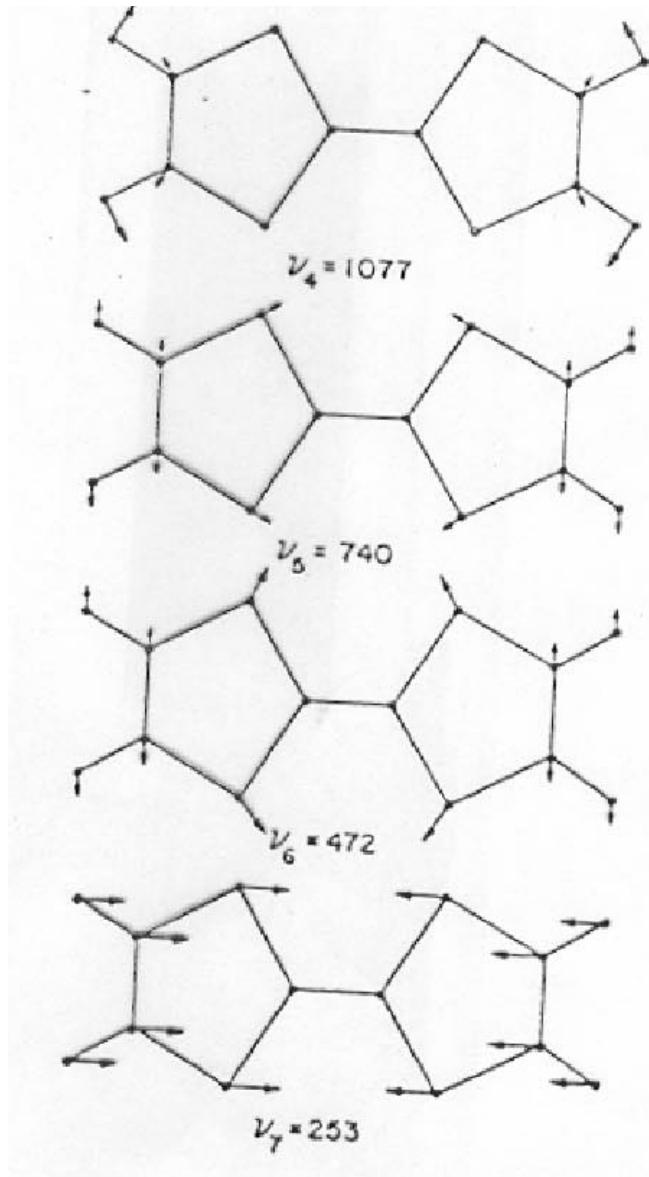


Figure 2. Zoom in on fingerprint region of cyclohexane, large changes in peaks A-D can be readily observed, this signifies that these peaks arise from totally symmetric vibrations.

Totally symmetric vibrational modes



Take-home message

- Anisotropy: linear, circular, dispersion, absorption
- **Linear birefringence and dichroism;**
- IR: three directions, one polarizer; Raman: three incoming, three scattered directions, two polarizers
- Raman: scattering plane, scattering geometry e.g. z(xz)x
- Depolarization ratio $\rho_{\parallel}(\theta) = \frac{\| I_{\perp}(\theta) \|}{\| I_{\parallel}(\theta) \|}$
- Polarizability tensor for anisotropic materials: $\alpha = \alpha_{iso} + \alpha_{aniso}$
- Depolarization ratio 0 → totally symmetric modes



Összefoglalás

- Anizotrópia: kettőstörés, dikroizmus, optikai forgatás, cirkuláris dikroizmus
- **Lineáris kettőstörés és dikroizmus:**
- IR: három irány, egy polarizátor; Raman: három bejövő, három szórt irány, két polarizátor
- Raman: szórási sík, szórási geometria, pl. z(xz)x
- Depolarizációs arány $\rho_{\parallel}(\theta) = \frac{\| I_{\perp}(\theta) }{ \| I_{\parallel}(\theta) }$
- Polarizálhatósági tensor anizotróp anyagokra: $\alpha = \alpha_{iso} + \alpha_{aniso}$
- Depolarizációs arány $0 \rightarrow$ teljesen szimmetrikus módusok

