Nanotechnology and material science - 2016

Literature

- D. Natelson:

Nanostructures and Nanotechnology Cambridge University Press (2015) ISBN-13: 978-0521877008

- S. M. Lindsay:

Introduction to Nanoscience Oxford Uni. Press. (2010) ISBN: 978–0199544202

- T. Ihn: Semiconductor Nanostructures, Oxford Uni. P. ISBN-13: 978-0199534432
- Scientific papers see citations

- Wikipedia

Douglas Natelson

Nanostructures and Nanotechnology

Convrighted Material

OXFORD

S. M. Lindsay Introduction to Nanoscience

Copyrighted Material

Nanotechnology today, Following Feynman's ideas 1959

"There is plenty of room at the bottom"

There are several ideas, predictions from the talk of Feynman (1959), which have been realized. He has envisioned the birth of nanotechology and realized the great potential at nanoscale.

Examples from Feynman's suggestions:

Electron beam litography (EBL) -

Using electron beams, demagnified in an electron microscope, to write small features: "We can reverse the lens of an electron microscope in order to demagnify as well as magnify . . . This, when you demagnify it 25,000×, it is ... 32 atoms across." Sub 10nm accessable. \rightarrow See top-down techniques

Soft litography ('98)

"We would just have to press the same metal plate again into the plastic and we would have another copy." Stamping technology, leaving an imprint of the nano-features on the surface of the stamp. The stamp can then be used to print out multiple copies of the original (laboriously manufactured) nano-structure very rapidly. \rightarrow See micro fluid. 11/10/2016





(Bottom) Principle of soft litography



After Lindsay: Intro. to Nanosicence Section 1.3

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Nanotechnology today, Following Feynman's ideas 1959

"There is plenty of room at the bottom"

- Focused ion beam (FIB)

Use ions to etch structures. "A source of ions, sent through the lens in reverse, could be focused to a very small spot." Today it is used for nanoscale millign machine. (E.g. TEM preparation, etc...) \rightarrow See top down techniques

- Machines at the nanoscale

"Consider the possibility that we too can make a thing very small, which does what we want—that we can manufacture an object that maneuvers at that level!" E.g. motor that rotates on a carbon nanotube shaft. Tiny molecular/biomotors have been constructed, but which operate on very different principles from the motors humans build.

Miniaturizing computer components → supercomputers

"For instance, the wires could be 10 or 100 atoms in diameter If they had millions of times as many elements, they could make judgments" See COMS presently, 14nm node or results of molecular electronics, also achived possibilites as Deep Mind in GO (2016).

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Nanotechnology today, Following Feynman's idea

"There is plenty of room at the bottom"

 Making atomic scale structures by evaporating layers of atoms (MBE, ALD):

"So, you simply evaporate until you have a block of stuff which has the elements . . . What could we do with layered materials with just the right layers?" **Molecular beam epitaxy** (MBE) layers of atoms are formed by projecting hot vapors onto a substrate in UHV. Different types of atoms can be projected to form layered structures with nanometer thickness. \rightarrow See topdown. **Atomic layer depostion** (ALD): grow e.g. oxides layerby-layer \rightarrow See bottom-up

Manufacturing: machines that make machines and so... "I let each one manufacture 10 copies, so that I would have a hundred hands at the 1/16 size." This idea, of making small machines, that make more even smaller machines etc. (Gray goo) Is not realised, but exexponential growth through copying copies is what lies behind the amazing polymerase chain reaction, the biochemical process that yields macroscopic amounts (micrograms) of identical copies of just one DNA molecule. \rightarrow see example later







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After Lindsay: Intro. to Nanosicence Section 1.3

Nanotechnology today, Following Fe

"There is plenty of room at the bottom"

- Doing synthesis of complex organic molecules by "pushing atoms together": "We can arrange atoms *the way we want."* With the invention of STM, we can image molecules and even induce reactions by pushing \rightarrow See tools of nano
- **Resonant antennas for light emission and absorption:** *"It is possible to emit light from a whole* set of antennas, like we emit radio waves." This is the modern field known as "nanophotonics." For example, arrays of nanoparticles can be used to guide light. \rightarrow See optics part

Using quantum phenomena in electronic devices: "We could use, not just circuits, but some system involving quantized energy levels, or the interaction of quantized spins." Quantum mechanics offers us completely novel ways to do computations. Massive parallel computing based quantum entanglement. Field of Quantum Computing. Quantum Manifesto 2017-2027. \rightarrow See new concept in electronics 11/10/2016

After Lindsay: Intro. to Nanosicence Section 1.3



(Up) STM image of covalent bond structure of a chemical reaction on surface, Oteyza et. al. Science 340, 1434 (2013)



Site-controlled gallium nitride based (AlInGaN) guantum dots can en novel applications in optoelectronics, nanoelectronics, and information processing. These quantum dots, fabricated by metal-organ chemical vapor deposition, are optically active at room temperature

https://www.cse.umich.edu/eecs/research/group.html?r id=26 &g id=66



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Nanotechnology

Nanotechnology:

Manipulation of matter with at least one dimension sized from 1 to 100 nanometers

Multidisciplinary field including physics, chemistry, biology and engineering. Various applications: nanoelectronics, biomaterials, nanomedicines, energy production..., toxicity.

How small is nano?

Increadibly different scale: 1nm = 10⁻⁶mm Thus a 1cm³ = 10²¹ nm³ Converstion between macro and nanoworld is ~ Avogadro-number

E.g. Caesar's last breath: 15th March -44. 1l of gas = 0.05mol of N_2 . Earth atmosphere has a mass of 10^{18} kg with 80% of N_2 . I.e. it has 10^{20} mol of N_2 . If N_2 from Ceasr's last breath diffused evently through the atmosphere, we inhale all the time 10 molecule of Caesar's last breath!

Size matters in other way as well... - Length scales

Electronics, optics, mechanics, fluidics, bio ...

First example: Electronics

- Present status of CMOS
- Length scales in electron transport ^{11/10/2016}
 Nanotechnology and material science Lecture I
 Other examples...







History of nanotechnology

1959 An inspiration of Nano. Feynman famous talk There's Plenty of Room at the Bottom

1970 First sequencing of DNA

1974 N. Taniguchi has used first ,nano-technology'

1981 STM unprecedented visualization (later manipulation) of individual atoms and bonds, (low cost tool) [Nobel 1986] 1986 AFM openned a way to explore chemical and bio systems as well

1985 Discovery of fullerenes \rightarrow carbon nanostructures [Nobel 1996]

1990 DFT calculations get accurate by better exchange and correlation interactions. Various program packages.

2004 Graphene as the first 2D crystal extracted \rightarrow van der Waals heterostructures [Nobel 2010]

Today nanotechnology is a common platform for modern physics, biology and chemistry. ,Like' plastic in everday life.





Moore's law, towards nano in electronics



Conventional MOSFET design



Step

22nm 3D Tri-gate technology





Gordon E. Moore, Co-founder of Intel

Intel MosFET



Intel MosFET

Bottleneck is leakage

Conventional MOSFET design



22nm 3D Tri-gate technology

10nm

Intel MosFET

Bottleneck is leakage



For the past five decades, the number of transistors per chip — a rough measure of processing power — has o two years, in step with Moore's law (top). Chips also in speed', or rate of executing instructions, until 2004, we capped to limit heat. As computers increase in power new class of machines has emerged roughly every ten











Spintronics

L_{spin}: spin relaxation length.

When size is $< L_{spin}$, the spin information of electron is not lost during travelling through.





(Up) Spin valve devices used in hard disk reader head as a spintronics device (Left) Graphene based spin valve, where ferromagnetic leads with magnetization pointing up (green) and down (red) are used to inject spin polarized current, which propagates thorugh graphene for several µm distances. (b) Influence of perpendicular B field, measured in non-local geometry.



Phase coherence in electronics

L_{ϕ}: **Phase coherence length** When size is < L_{ϕ} phase coherent processes could take place. E.g. qunatum interference.

(a) Typical optical interference setup with two slits. (b) An analog interferometer for electrons. There are two paths where electrons can get from source to drain electrode. Phase difference between the two paths is induced by magnetic field. (i.e. Aharonov- Bohm effect) (c) Realization of the interferometer device in 2DEGs with AFM litography. Intensity corresponds to the transferred electrons, it is measured by QPC.



2016.11.10.

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L_e: **Elastic mean free path** When size is $< L_e$, electrons propagate via the system without scattering. They have ballistic motion.

(Left) Ballistic graphene device, where graphene is stacked between hBN layers. Contacts are yellow, graphene is smooth blue region with a width of w.

(Right) **Transfer magnetic focusing in graphene.** Electrons follow a circular trajectory in magnetic field, which sends electrons from contact to the other when the cyclotoron radius properly set.





T.Taychatanapat et. al., Nature Physics 9, 225 (2013)

2016.11.10.

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Full quantum mechanical description

 λ_{F} : Fermi wavelength When size is < $\lambda_{F} L_{\phi}$ electrons occupy separated discrete states linked to wavefunctions.



(Up) Quantum mirage: Electronic state of a Co atom (placed in a focal point of an ellipse) is projected to a remote location to the other focal point by the Cu atoms forming a corral. Created by manipulation atoms one-by-one with STM and performing STM measurements.

(Left) Artificial atoms Small cionfinement potential is generated in the InGaAs region with disk like shape in the semiconductor heterostructure. This confinemet acts like an atom, in which fixed number of electron (few) is confined. Prpbability profile of the discrete wave functions solved for the cylindrical confinement.

See more details in A. Palyi: Quantumelectronics, Halbritter, Csonka: Fundamentals of Nanoelectronics

Near-field scanning optical microscopy (NSOM)

Resolution of optical microscope limited by λ Nano objects can effect EMF on scale $<< \lambda$ E.g. small opening with d $<< \lambda$. But evanescent field still gets through. NSOM probe this. See example: a) Double slit experiment \rightarrow diffraction pattern

b) Add an extra small sub- λ aperture (w<< λ) close by (i.e. z<< λ) and move its position \rightarrow Double slit with sizes << λ can be resolved.

Tip, aperture or particle in the near field of the sample can scatter the evanescent component into propagating component that survives to far field.

Contrast is system dependent.

Principle of operation: small aperture very close to a double slit



Diffraction pattern for a double slit (Fourier transformation of slits)



Near-field scanning optical microscopy (NSOM)

Setup:

- Coated optical fiber with a subwavelegth aperture (∞ 10nm)
- Piezo positioning of the sample to achive nm lateral resolution
- Tip-sample distance is kept constant by tuning fork like AFM configuration

Various operation modes:

- a) Illumination b) Collection c) Illumination/collection
- d) Reflection e) Reflection/collection f) Aperture less modes (e.g. tip, metal particle)....

Used for biology, photonic chrystals, plasmonic structures





(Top left) Setup of NMOS, (Top right) End of optical fiber tip (Bottom) NMOS operational methods



Example: Comparison of photoluminescence maps recorded from a MoS_2 flake using NSOM with a campanile probe (top) and conventional confocal microscopy (bottom). Scale bars: 1 μ m

Micro-fluidics ,Lab-on-chip'

Simple example: **inkjet printers** micron scale droplets of ink through a microfabricated array of orifices.

Future goal, "lab-on-a-chip" technologies:

More complex systems, enabling the circulation, routing, mixing, and storage of small quantities of fluids on demand, microfluidic systems can perform complex chemical and biochemical procedures using much more reduced amounts of analytes or reactants than traditional, "full size" bench approaches.

If mass production is cheap --> revolutional medical diagnostics (e.g. DNS check similar to pregnant test)

Typically based on planar semiconductor fabrication (similar to MEMS) or recetly soft lithographic techniques involving PDMS. **Replica molding of various PDMS layers** on top. \rightarrow cheap thus revolutional for LOC.



Blood

Reagent 1

Sample

Pump

→

→

Mixer

1st PCF

DNA amplification

(Top) lab-on-chip (LOC) devices that can be used at the "point of need." (Bottom) Sscheme of a silicon based LOC device for Blood testing

→

Allele-specific

Mixer

Reagent 2

PMMA

2nd PCR

Si

Detector

Electro-

Micro-fluidics ,Lab-on-chip'

Reynold number (Re): ratio of inertial force and viscous forces

- Re large : turbulent flow, -Re small: laminar flow

Macroscopic world is in the large Re limit.

Cosequences: Two examples:

- mixing coffee with milk is fast

- during swimming we can coast. (Momentum is enough to overcome comparatively weak viscous drag forces)

Micro and nano world is in low Re limit.

- Laminar flow → no turbulances. Mixing fluids is based on slow diffusion at interface. → challanging to mix fluids efficiently in microfluidic systems. Challanges for chemical or bio reactions
- Swimmig for a bacterium. Its size ~1μm, initial velocity v ~30μm/sec, Re~ 10⁻⁵. Coasting distance: 1nm!



laminar flow



turbulent flow





(Top) While a macro scale swimmer can coaat in water micron scale one can not!

(Left) Velocity profile of low Re, laminar flow typical in microfluidics system. And of high Re turbulent flow.

(Bottom) Special microfluidic arrangements for mixing liquids, which based on flow focusing. A liquid of interest may be "squeezed" down hydrodynamically to small (tens of nanometers) transverse dimensions. This small flow width leads to diffusive mixing times across the flow down to the microsecond regime



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See Natelson Section 10.1 and 10.6, 10.7

Magnetic separation at nanoscale

A multiple example showing differences at nanoscale

It is used for capturing or purifying e.g. proteins, antibodies, or DNA from diverse biological samples.

Principle: Targeted analyte connects to functionalized magnetic particles and removed by magnetic field gradient. Micron sized magnetic beads are widely used in biology

Recently magnetic nanoparticles can be synthesized chemically. Much larger surface! \rightarrow Increased efficincy.

However **issues at nanoscale**: force of B field ~ volume, viscous force ~ diameter, fluctuations i.e. Brownian motion $^{k}_{B}T/d$. \rightarrow Nanoscale is not preferable. Still it works for 10nm scale particles with better sensitivity. . Reasons: a) Single domain particles -> large stray field $^{M}_{sat}/d$ and b) large interparticle force which order neighbouring particles \rightarrow collective response of particles



(Up) Principle of magnetic separation. Functional magnetic beads are added to mixture under investigation (A) Mix beads (black spheres) with crude sample (red Xs) containing desired analyte (green squares); (B) capture analyte-bound beads with magnet; (C, wash away crude sample components; (D) elute analyte from beads; (E) transfer to new tube.

http://www.abraxiskits.com/products/magnetic-beads/



11/10/2016 See Natelson Section 7.7 Nanotechnology and material science Lecture I

Cafer T. Yavuz Science 314, 964 (2006) DOI: 10.1126/science.1131475

See D. Natelson Section 9.1.4 27

L. LU et al. ADVANCED ENGINEERING MATERIALS 2001, 3, No. 9, 663

Mechanics at nanoscale

Examples:

Easier to accommodate strain at nanoscale...

- Novel heterostructures: Possible to relax lattice mismatch at nanoscale. E.g. InAs/InP heterostructures
- Huge strain before failure E.g. intercalation of lithium into silicon NWs for potential chargable battery option. Strains up to 200% is possible! Nanowires can respond much more reversibly to the distortions associated with lithiation and delithiation (Up)

Superplasticity

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Plastic deformation happens with displacement of dislocations When grain size smaller than the length scale of formation/ propagation of dislocations (i.e. at the nanoscale) \rightarrow different plastic deformation properties. Grain bonderies dominate.



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(Up) InAs/InP heterostructures in nanowires, possible due to lattice mismatch relaxing at nanoscale. (RIght) Si nanowire as its size increasing during Li intercalation over 200%. A reversible process.



L. Sorba (Pisa)

NEMS/MEMS systems

Nano/micro electro mechanical systems

Various applications: automative systems, sensing, electronics etc. Huge market.

Planar techniques for semiconductor manufacturing. Limited fabrication paths and materials

Used for: cantilevels, Inertial sensing, mass sensing, inkjet head, ...

NEMS goals: ultimate limit of mass (or force) sensing, e.g. to detect a molecule by its mass.

Resonance freq: $f=VD/m \rightarrow To$ increase mass sensitivity: $m \supseteq , D \nearrow$ and also Q should be large.

Use: Carbon nanotubes or graphene

Achived resolution 1.7 yg (1 yg = 10^{-24} g) (2012) Mass of one proton.





See D. Natelson Section 9.4

BioNanoTechnology

Biology operates truly at the nanoscale

There are nanoscale machines in our cells, e.g. they fabricate complex structures (molecules), they can act as motors, pumps, transducers to mechanical energy. Biological systems can build up complex structures from nano to macro scale.

Typical objects and their sizes

Viruses: E.g. Tobacco mosaic virus 18nm in diameter and 300nm long
Cells: their wall are at the ~10nm scale
Ion transporters, pumps etc.

Molecules and their interaction governed by electrostatic, van der Waals, hydrogen bonding & hydrophobic interactions at k_BT .



Source: Wikipedia

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(Left) Sodium-potassium pump: It is an enzyme, which pumps sodium (Na) out of cells while pumping potassium (K) into cells, both against their concentration gradients.It as active element using energy from ATP. One of its application: nerve conduction.

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http://book.bionumbers.org/what-is-the-thickness-of-the-cell-membrane/

protein

(Up) Example of a virus goemetry. Tobacco mosaic virus. It has a helical protein shell (called capsid), which encloses the genetic material (RNA).

(Down) Electron micrograph of a cell, with its wall. The membranes consist lipid bilayers. Red circle denotes the hydrophilic head, the pink lines represent the hydrocarbon chains forming a tight hydrophobic barrier excluding water, polar or charged compounds

Capsid



I. example: DNA origami

Nanoscale folding of DNA to create non-arbitrary two- and threedimensional shapes at the nanoscale. Based on well established knowledge of DNA structures and possibility to set arbitrary base sequences, which then determines interactions and final shape. There are multiple smaller "staple" strands, which bind the longer in various place. First staples are designed by a program, then DNA is mixed, neated and cooled. During cooling, the various staples pull the long strand into the desired shape.

True **bottom-up self-assembly method**, which is considered promising alternatives that offer cheap, parallel synthesis of nanostructures under relatively mild conditions. Possible to do 3D structures, used e.g for drug delivery.



https://ninithi.com/2015/08/20/smart-dna-nanobots-mount-a-deadly-attack-on-cancer-cells-first-human-trial-this-year/



(Up) Principle of DNA origami, (Right) Realization of 2D and also 3D structures (Bottom) Smart DNA nanobot for drug delivery, which opens and closes. The aim is to attack cancer cells. Size:35nm in width.





II. example: Virus enabled synthesis and assembly

Idea: Biological systems use proteins to manipulate inorganic

materials like patterning bones, seashells. Try to program it do for us. Phage display technique:

Bachteriphages viruses pray upon bacteria

Special one called M13. Diameter 9nm Length 900nm. It contains a single DNA with 6407 bases, which encodes proteins that constitutes the phage's protein coat. It attracts E. coli bacteria, which reproduces them. Protein p3 is essential for binding to E. coli.

It is know which DNA segment codes the proteins in the coating. Try to modify them to have affinities to desired materials.

Procedure - bio amplification with spirit of evolution:

- Started with M13 with broad varying composites of P3 (or recently also P8) . - Expose test surface with desired material, - Wash away phages do not bind strongly, - Bound phages eluted separately and introduced to *E. coli* for reproduction for amplification,

Repeat the process and DNA sequence the outcome. → try to understand binding mechanisms or motifs.





11/10/2016 See D. Natelson Section 11.2.3 also Wikipedia

Principle of virus templated production of battery electrodes



E.g.1: Develop a library of peptides that bind selectively to

semiconductors. Start with 10⁹ combinations of P3 . Genomes of best binders were analyzed to get trends. E.g. groups were found which selectively bind to GaAs(100) and not to Si.

E.g.2: virus templated synthesis for electrodes of Li ion battery.

Cobalt oxide has shown excellent electrochemical cycling properties and thus promising as an electrode for advanced lithium batteries. Try to use viruses and bio assembly to produce electrodes.

- Develop P8 with binding affinity for Co_3O_4 and Co_3O_4 -Au nanoparticles. \rightarrow Cover M13 with Co_3O_4 and Co_3O_4 -Au.

- Two dimensional assembly of viruses on polyelectrolyte multilayers by liquid crystalline ordering \rightarrow It works as a promising electrode with high surface area.



11/10/2016 K.T. Nam et al. Science 312, 885 (2006)

(Down) AFM image of the naowire array on polimer electrolites



(Down) Capacity for the assembled monolayer of Co_3O_4 nanowires/Li cell (theoretical limit is 3800 for Li ion bat.)

Gecko tape – Nanofabrication to mimic bio

Gecko's feet are coated with hearlike structures (Seta scale $\sim \mu m$) and all ended with nanoscale projections called spatulae.

Results in a remarkable adhesive property due to van der Waals and large contact area of this hierarchal structure. I.e. adhesion ~ 10 Ncm⁻²

Try to immitate with nanostructure. E.g. by using polimer nanorods (see image) or CNTs. Very strong reversible adhesion can be achieved.

See products e.g. nanoGripTech.com: Dry adhesives



See D. Natelson Section 11.2.5



Gecko adhesive system



(Top) Biological example, the micro and nanostructure of Gecko's feet.

(Left) Millions of synthetic setae from polimer nanorods and resulting adhesion experiment (Bottom) products from nanoGripTech

Best gripping material—especially to skin



E.g. Nano-catalyst

Role of Catalysts: - reduce the temperature of a transformation,
 -reduce reagent-based waste and - enhance the selectivity of a reaction → green chemistry

Catalysts play essential role in production of medicines, fine chemicals, polymers, lubricants ...

- Nano size: a) High surface to volume ration b) also different electronic and structural structure an nanoscale → new chemical properies could show up.

Example1: Chemistry of gold. Au in bulk form know as chemically inert, but it has remarkable catalitic properties at nanoscale due to the the strongly modified electronic structure of gold nanoclusters /nanostructures as their size and/or dimensionality are reduced.





(Left) Interaction of gold and H2 molecule Au atoms with low cooridnation can bind so strongly to H2 that it can even pull a gold atomic chain. (Right) Set up for selective catalytic reaction for $CO+O_2 \rightarrow CO_2$, which blocks $O_2+H_2 \rightarrow HO_2$ using Au nanparticles (yellow) and water.

Csonka et al. PRB 73, 075405 (2006)

Example2:

H2 is important industrial product. However CO is also produced in such processes, which is highly undesired for e.g. ammonia production or in fuel cells. \rightarrow Find a cheap way to remove CO down to 50pmm range. Way out: generate CO+O₂ \rightarrow CO₂ reaction.

Au nanoparticles can catalyze $CO+O_2 \rightarrow CO_2$ reaction while $O_2+H_2 \rightarrow HO_2$ is efficiently blocked by using proper water pressure and flow velocity of the gas. (HO groups on Au surface helps.)



Read more at:

Johnny Saavedra et al., Nature Chemistry (2016). DOI: 10.1038/nchem.2494 http://phys.org/news/2016-05-tuning-gold-nanoparticle-catalyzed-carbon.html

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www.researchgate.net/publication/284727255_Catalysis_A_brief_review_on_Nano-Catalyst

Potential future impact of Nano

E.g. Energy sector

Sustainability of our need? – Strongly increasing consumption, - Oil, coal, gas are dominating ... How Nano does and will help?

Efficiency Reduce sharply the energy consumption:

- Light, strong, multifunctional materials

Reducing mass while maintaining necessary structural strength and performance. E.g. carbon fiber composites demonstrate the potential (presently micro)

or multifunctional systems incorporating nanomaterials (e.g., windows that incorporate solar cells).

- Reduce loss during electrical transmission Use of nanomaterials to enable *local* generation and storage of electrical energy

- **Solid state lighting** (Lighting is 20% of overall energy consumption) Normal bulb: 15 lumens/W, LED ~300lumens/W. Nanostructuring the LED semiconductor materials as a photonic band gap system \rightarrow possibility to further improve





(Upup) World energy consuption vs. Time. (Up) Carbon composites as dominating parts of modern airplanes e.g. Boing 787. (Down) Energy efficiency of SSLighting.



LED lamps require less power to emit light than the older light sources. Efficiency is denoted in luminous flux (measured in lumen) per unit added power (measured in wat). As about one fourth of world electricity consumption is used for lighting purposes, the highly energy-efficient LED lumps contribute to saving the Earth's resources.

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Nattelson Section 12.1.

Potential applications of Nanotechnology

Power generation by solar cells:

Flux of energy from the Sun in the form of light 340W/m2 (direct sunlight). Energy demand of word: 24TW= 24 10¹²W (continuous). E.g. with 10% conversion efficiency 7x10¹⁰ m2. This is ~ 75% of area of HU.

Most widely used silicon solar cells. PN junctions where built in E field separates electrons and holes.

Schockley–Queisser limit on efficiency in *pn* junction cells: max. 34% (Taking into account blackbody radiation, thermalization of

extra energy, and the spectrum of sunlight, (band gap 1.34eV), 1 photon → 1 e-h pair) Goals: go beyond this limit or decrease fab price etc

(RIght) World biggest solar plant (2013) Mojave Desert of California, US. It has an installed capacity of 354MW and generates 662GWh of power annually. Area: 6.5 km². \rightarrow 10000 such plants are rquired.





(Up) Operation principle of pn-junction solar cell. (1-2) At the interface of a p and n dopped semiconductor depletion layer forms with electric field (3) in this region. This could separate electron and holes generated by photon absorption. (Down) Theoretical limit of efficiency of solar cells using pn jucntions created from a semiconducor with fixed band gap (E.g. for silicon 1.1eV.) assuming the Sun as a black body radiator of 6000K.



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Nanotechnology and material science Lecture I Nattelson Section 12.1. also Wikipedia

Potential applications of Nanotechnol

Power generation by solar cells:

Goals: go beyond SO limit or decrease fab price etc Various strategies with ingredients from nanotechnology

Multijunction cells: For Si pn-junctions the efficiency limit is $32\% \rightarrow$ try other III-V semiconductors and even a multilayer of them. First blue, later green then red absorption layers. Get them down to nano thicknesses. Efficiency: 43% (2015). Theoretical limit with infinite number of layers is 86.8% Expected efficiency: 50-70%

Hybrid organic/inorganic solar photovoltaics: Chemically synthesized semiconductor nanocrystals with organic semiconducting polymers. Optical absorption can be tuned by quantum confinement.

Nanocrystals for *multiexciton generation and carrier multiplication*: When $\hbar \omega > E_a$ photon generates a hot e-h pai then with inelastic processes $\hbar \omega - E_a$ converts to heat. In nanoparticles (no translation invariance \rightarrow no k conservation) collisional excitation or Auger scattering take place \rightarrow Photon with $3E_q$ energy can generate 3 e-h pairs! Using narrow gap Semiconductor 1 photon \rightarrow >2 particles. \rightarrow Go above Schockley-O. limit. 11/10/2016



(Up) The spectrum of the Sun light with colored segments which is absorbed by different layers of a multilayered semicopnductor cell. (Down) Principle of multiexciton generation in nanocrystal quantum dots. In bulk semicondcutors $\hbar \omega - E_a$ converts to heat after generating e/h pairs. In quantum dots without k conservation multiply e/h pairs can be generated reducing the heat loss.





C. Smith et. al. Nanomaterials 2014, 4(1), 19; A. Polman et al. Nat. Mat., 11, 174 (2012).

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Potential applications of Nanotechnology

Power generation by solar cells:

- Dye-sensitized or Grätzel solar cells (DSSC):

Efficiency: 10-12% Hope to get cheap manufacturing. Steps of photon to current conversion:

- photon is absorbed by Ru complex photosensitizers on TiO₂ (or ZnO) nanoparticle surface
- photosensitizers are excited and e is injected to TiO2 conduction band
- Electron diffuses to TCO contact via TiO2 nanoparticles
- Oxidized photosensitizer from I⁻ ion, which is oxidized to , I_3^-
- I₃⁻ diffuses toward the counter Pt electrode and then it is reduced to I⁻ ions
- Nanoparticles with *large surface area* to contain large amount of dyes.

Pro/contras:

+ Inexpensive compared to the silicon solar cells,

+ no recombination due to e/h separation, + mechanical robustness - costly Ru (dye), Pt (catalyst) and conducting glass or plastic (contact) are needed \rightarrow Replace Pt by CoSx (2010), graphene ...

Electrolyte has T instablities (freeze , thermal expand) \rightarrow solid electrolites ince 2012 Nanotechnology and material science Lecture I





(Up) Molecular dye anchored to TiO nanoparticle. The Ru²⁺-bipyridine complex can be excited by visible photon to a state where it inject an e to TiO2 nanoparticle http://www.ifm.liu.se/compchem/research/solarcells/

(Down) Structure of the DSSC: transparent conducting electrode TCO with nanocrystalline TiO2 film coated with dye molecules. It interacts with an electrolyte generating e transfer between dye and conter electrdes via redox processes.



Potential applications of Nanotechnology



Efficiency (%)



https://en.wikipedia.org/wiki/Multi-junction_solar_cell#/media/File:Best_Research-Cell_Efficiencies.png

Potential applications of Nanote Sunlight

- Artificial photosynthesis:

Idea: sunlight drives chemical reactions to store it energy in chemical form.

E.g. Convert $2H_2O$ into $2H_2 + O_2$ Or covert $CO_2 \rightarrow CO$

CO is a source for production of methanol, which can be used as a substitute for gasoline and for manufacture others (adhesives, medicines and PET

...)

Clear advatage volume energy density.

E.g. lithium-ion battery: ~2 MJ/L, gasoline: 36 MJ/L.

Operation principle: Photon absorbed in engineered e.g. nanostructure \rightarrow e/h pairs. \rightarrow spetially separated e.g. by band bending \rightarrow e ends up at the surface where chemical species can be reduced

Role of nanostructures: high specific surface area,

special surface sites for engineering

Nanotechnology and material science Lecture I





Multijunction Semiconductor that Absorb Light in the Visible Range with High Light Utilization Efficiency Gold Nanocatalyst via Nanoscale Structural Control Technology

(Up) Highest Efficiency Artificial Photosynthesis Technology by Toshiba (2014) Efficiency: 1.5% <u>https://www.toshiba.co.jp/rdc/rd/detail_e/e1412_01.html</u> http://phys.org/news/2015-09-molecular-catalyst-artificialphotosynthesis-carbon.html

Potential applications of Nanotechnology

Storing energy

Nanostructured materials important in energy storing systems: Batteries and supercapacitors

- Batteries

Energy is stored electrochemically through reactions performed at the two electrodes mediated by an electrolyte.

E.g. lithium ion batteries

Discharging process: Li⁺ ions are deintercalated from the anode and transported to the cathode, where they are reduced. Electrons flow from the anode through the load to the cathode.

Charging process: positive voltage is applied to the cathode \rightarrow current in the opposite direction

Structure: Li ion permeable separator between anode and cathode, graphitic carbon anode, LiCoO₂ cathode.

Important parameters:

- mass-specific capacity: e.g. graphite electrode 370 mAh/g
- Speed of charging/discharging Many cycles without degradation **Ideas from nanotechnology:**

- Silicon as anode: theoretical capacity 4000mAh/g. Li_{4.4}Si alloy is a stable structure. But large lithium filling \rightarrow 300% volume change. Bulk Si can not sustain, but nanostructered could!

11/10/2016

Wikipedia

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(Up) Structure and operation principle of Li ion batteries. Ion and electron flow are shown fduring discharging and charging process.

(Down) TEM image of Si nanowire as Li is intercallates and increase the volume. Large surface of Si nanowire allows the relaxation of the huge strain



Potential applications of Nan

E.g. lithium ion batteries

- Max. speed of charging/discarging important.
 High surface area electrodes → high speed
 operation would require high speed
- E.g. inverse opal structures with open framework can be created by nanotech and coated with active electrode material. \rightarrow Battery which can charge in seconds!

It out-power supercapacitors while retaining comparable energy density of batteries.

E.g. supercapacitors

Energy stored electrostatically through the

arrangement of charge on two non-reacting electrodes and the polarization of a dielectric medium.

Used: cars to store breaking energy, trams, memory back-ups in electronics. Important:

- Very high surface area of electrodes: $^m^2/g \rightarrow$ nanostructured electrode materials

- Be fast: RC is small \rightarrow good conducting material

Pro/contras

- + Rate is not limited by reaction kinetics + Lifetime is longer.
- Energyodensity is low: ~50kJ/l *Wikipedia*

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H. Zang et. al. Nature Nanotechnology 6, 277–281 (2011)



(Down) Carbide derived carbon (CDC) electrodes which consist nm scale pores \rightarrow Due to pores 75% more energy storage capacity using as electrode in supercapacitor.

C. Shen et. Al. Scientific Reports **3**, Article number: 2294 (2013)

Potential applications of Nanotechnology

Environmental protection – with nanoparticles

- Automobile exhaust systems: metal nanoparticle catalyst are widely used.
- E.g. filter system of a Diesel engine
 - Diesel oxidation catalysts (DOC): Role is to convert CO to CO, and remaining hydrocarbons to CO₂ and H₂O. (90% efficiency). \rightarrow reduce such pollutants
 - AlOx, palladium, platinum nanostructures are widely used
 - Diesel particle filters (DPF): high levels of particulate matter (soot) consisting mainly carbon. One strategy to burn the soot. or e.g. nanoparticle platinum is also tried to convert
 - **NOx filtering**: First step NOx absorber (e.g. zeolit trap). Second step: -Selective catalytic reduction (SCR) Convert NOx to N₂ and H₂O. Adding gaseous reductant (called DEF) e.g. carbamide, ammonia to the exhaust. Diesel cars emit x10 more then gasoline cars \rightarrow they convert to fine particles, serious health concerns! And also help in creation of ozone (45% from transportation)
- Photocatalytic decomposition of hydrocarbon pollutants

E.g. titania (TiO2) particles in water solution. Photons induce redoc process at the particle surface, generate reactive oxigane e.g. ozone, and also OH group. \rightarrow oxidize organic contaminants. Used in urban air treatment (e.g. kill viruses,

bacterias) or wastewater. 11/10/2016

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(Up) Automobile exhaust systems contain various catalists where metal nanoparticles are used. (Down) Principle of Photocatalitic docomposition with TiO2 nanoparticles Light generates e and h pairs in the particle. They induce reactions at the surface, like generation of reactive oxigen ions or OH groups.

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Wikipedia